

1 10A NCAC 13E .0601 is adopted with changes as published in 31:06 NCR, pp. 462-474, as follows:

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3 **SECTION .0600 - ENROLLMENT AND SERVICE PLANNING**

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5 **10A NCAC 13E .0601 ENROLLMENT OF PARTICIPANTS**

6 (a) Prior to ~~enrollment~~, enrollment the applicant, responsible party, or other caregiver shall have a personal interview  
7 with a program staff member. During the interview, the staff shall complete initial documentation identifying the  
8 following:

- 9 (1) social and medical care needs;  
10 (2) spiritual, religious, or cultural needs; and  
11 (3) whether the program can meet the applicant's expressed needs.

12 The staff person doing the interviewing shall sign the assessment of needs and the applicant, responsible party, or  
13 other caregiver shall sign the application for enrollment. These signed documents shall be obtained before the  
14 individual's first day of attendance as a participant in the program and shall be maintained in the participant's record.

15 (b) Any adult (18 years of age or over) who, because of a physical condition or mental disability, needs a substitute  
16 home for purpose of respite for the caregiver may be enrolled for overnight respite services when, in the opinion of  
17 the caregiver, family, participant, physician, appropriate licensed health professional, or social worker and the  
18 administrator, the services and accommodations of the facility will meet the respite needs of the participant.

19 (c) Individuals shall not be admitted:

- 20 (1) for treatment of mental ~~illness~~, illness or alcohol or drug abuse;  
21 (2) for maternity care;  
22 (3) for professional nursing care under continuous medical supervision;  
23 (4) for lodging, when the personal assistance and supervision offered for the participant are not needed;  
24 or  
25 (5) who pose a threat to the health or safety of others.

26 (d) A medical examination report signed by a ~~physician, nurse practitioner, or physician's assistant~~, physician or  
27 appropriate licensed health professional completed within the prior three months, shall be obtained by the program at  
28 the time of enrollment. The report must be updated annually no later than the anniversary date of the initial report.

29 (e) The program shall assure that the participant's physician or ~~prescribing practitioner~~ appropriate licensed health  
30 professional is contacted for orders for medications, treatments, and special diets if current physician orders are not  
31 part of the medical examination report required in Paragraph (d) of this Rule for inclusion in the participant's record.  
32 Prior to or the day of admission, the participant's physician or ~~prescribing practitioner~~ appropriate licensed health  
33 professional shall be contacted for clarification of orders, if orders are not clear or complete.

34 (f) The program shall assure that the participant has been tested for tuberculosis disease within the past 12 months of  
35 each admission for overnight respite services in accordance with the NC Division of Public Health's Tuberculosis  
36 Policy Manual, incorporated herein by reference including any subsequent amendments and editions, and shall be free

1 of active tuberculosis. This manual may be accessed free of charge at  
2 <http://epi.publichealth.nc.gov/cd/lhds/manuals/tb/toc.html>.

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4 *History Note: Authority G.S. 131D-6.1;*

5 *Eff. April 1, 2017.*