

NORTH CAROLINA DEPARTMENT OF HEALTH AND HUMAN SERVICES
 DIVISION OF HEALTH SERVICE REGULATION
 NURSING HOME LICENSURE AND CERTIFICATION SECTION
 2711 MAIL SERVICE CENTER
 RALEIGH, NORTH CAROLINA 27699-2711
 TELEPHONE: (919) 855-4520

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2021

NURSING HOME APPLICATION - INITIAL
(Including Adult Care Home Beds in Combination Facilities)

LEGAL IDENTITY OF APPLICANT:

 {Full legal name of corporation, partnership, individual, or other legal entity owning the enterprise or service.}

DOING BUSINESS AS (d/b/a) - names under which the facility or services are advertised or presented to the public:

PRIMARY: _____
 Other: _____

FACILITY MAILING ADDRESS:

Street/P O Box: _____
 City: _____ State: _____ Zip: _____ - _____

FACILITY SITE ADDRESS:

Street: _____
 City: _____ County: _____
 Telephone: (____) _____ Zip: _____ - _____ Fax: (____) _____
 E-mail Address for Administrator: _____

PART A OWNERSHIP AND MANAGEMENT DISCLOSURE

1. The following information is required by Nursing Home Licensure Rule 10A NCAC 13D .2101.
 - a. What is the name of the **LEGAL ENTITY** with the ownership responsibility and liability? If it is a Corporation, please write the exact wording of the corporate office name as on file with the NC Secretary of State. If the legal entity is a Unit of Government, please write the name of the unit which has ownership responsibility and liability for the services offered.

 NAME: _____
 - b. Mailing Address: _____

 City: _____ State: _____ Zip: _____

 Telephone: (____) _____ Fax: (____) _____
 Senior Officer: _____

- c. Indicate the Percent of Ownership of the Legal Identity: _____
- d. Is legal entity: (check one)
For Profit _____ Not For Profit _____

e. Is the legal entity a: (check 1, 2, 3 or 4)

(1) PROPRIETOR _____

(2) LIMITED LIABILITY CORPORATION _____

(3) PARTNERSHIP _____

(a) General _____ If General, where is it registered? County _____ State _____

(b) Limited _____ If Limited, where is it registered? State _____

(c) Is the limited partnership registered with the North Carolina Corporations Division in the NC Department of the Secretary of State?

YES _____ NO _____

(d) List the names and addresses of ALL persons who have a 5% financial interest or more and the names of all officers:

Name: _____ Title: _____

Address: _____ Percent of Ownership: _____

Name: _____ Title: _____

Address: _____ Percent of Ownership: _____

Name: _____ Title: _____

Address: _____ Percent of Ownership: _____

(4) CORPORATION _____

(a) Where was the corporation originally established? State _____

(b) List the names and addresses of ALL persons who have a 5% financial interest or more and the names of all officers:

Name: _____ Title: _____

Address: _____ Percent of Ownership: _____

Name: _____ Title: _____

Address: _____ Percent of Ownership: _____

Name: _____ Title: _____

Address: _____ Percent of Ownership: _____

(5) UNIT OF GOVERNMENT

(a) What is the name and title of the official in charge of the above governmental unit?

Name: _____

Title: _____

(b) Check the word which best describes the above type of governmental unit:

CITY ____ COUNTY ____ STATE ____ AUTHORITY ____

2. Does the licensee (legal entity: individual, partnership, corporation or unit) own the building from which services are offered? YES _____ NO _____

If NO, who owns the building?

Name: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Telephone: (____) _____ Fax: (____) _____

Note: If neither the building owner nor the lessee is shown as the license applicant, explain on a separate page.

3. Is this facility part of a multiple facility system within North Carolina? (A multiple facility system is defined as two or more nursing homes or health care facilities under the same ownership.)

YES ____ NO ____

If "YES", give the name and address of the multiple facility system (Parent Company) located within North Carolina.

a. Name of the Parent Company: _____

b. Mailing Address: _____ c. City: _____

d. State: _____ e. Zip: _____ - _____ f. Telephone: (____) _____

g. Name of Senior Officer: _____

4. Does the facility operate under a management contract?

YES ____ NO ____

If "YES", give the name, address and name of chief executive officer of the organization that manages the facility.

a. Name of Organization: _____

b. Mailing Address: _____ c. City: _____

d. State: _____ e. Zip: _____ - _____ f. Telephone: (____) _____

g. Name of Chief Executive Officer: _____

PART B OPERATIONS

PROVIDE NAMES FOR THE FOLLOWING:

1. FACILITY PERSONNEL

a. Full-time administrator as required in 10A NCAC 13D .2201(c).

Name of Administrator: First name _____ Middle initial _____ Last name _____

Date Hired As Administrator: _____ N. C. License No.: _____

- b. Nursing
 - 1. Director of Nursing: First full name _____ Middle initial _____ Last name _____
 N.C. License Number: _____ Date Hired as DON: _____
- c. Activity Director: _____
- d. Dietary Services Director: _____
- e. Social Services Director: _____

2. MEDICAL AND DENTAL STAFF FOR EMERGENCY CALL

- a. Medical Director's Name Address

First name _____	_____
Middle initial _____	_____
Last name _____	_____
e-mail address: _____	N.C. License No: _____
- b. Dentist(s) Name(s) Address(es)

1. _____	_____
2. _____	_____
3. _____	_____

3. CONTRACT/OTHER PERSONNEL OR CONSULTANTS

- a. Physical Therapist: _____
- b. Occupational Therapist: _____
- c. Speech Therapist: _____
- d. Medical Records: _____
- e. Pharmacy Consultant: _____
- f. Dietary Consultant: _____
- g. Other (i.e. Respiratory Therapist): _____

4. PHARMACY

- a. Source of Drugs:
 - 1. Do you have a pharmacy located in your facility? YES _____ NO _____
 - 2. If "YES", please complete:
 Pharmacist Manager: _____
- b. If a pharmacy is not located in your facility, what is the name of the pharmacy from which drugs are obtained?
 Name: _____
 Street Address: _____
 City, State, Zip: _____

PART C PATIENT SERVICES

- 1. Continuing Care Retirement Communities (CCRC)
 - a. Is the facility licensed by the Department of Insurance as a "Continuing Care Retirement Community"? a. YES _____ NO _____
- 2. Is the facility a "Combination Facility", thereby incorporating licensed ACH beds? 2. YES _____ NO _____
 If "Yes", indicate which rules the facility chooses to apply to the operation of these ACH beds. Nursing Home Licensure _____ ACH Licensure _____

*(NH Licensure rules only, ACH rules only, or both NH & ACH licensure rules. ** Complete checklist if using both sets of rules.)*

3. NUMBER OF BEDS BY TYPE (*Must complete required data supplement form)

a. Nursing Beds (NF)		(TOTAL)	a. _____
1. General Nursing Facility Beds			1. _____
2. *Alzheimer's Special Care Unit Resident Beds			2. _____
3. Ventilator Dependent Resident Beds			3. _____
4. Traumatic brain Injury Beds			4. _____
Are you equipped to accommodate bariatric residents?			Y ___ N ___
b. Adult Care Home (ACH)			(TOTAL) b. _____
1. General Adult Care Home Beds			1. _____
2. *Alzheimer's Special Care Unit Resident Beds			2. _____
Are you equipped to accommodate bariatric residents?			Y ___ N ___
c. TOTAL LICENSED BEDS			(TOTAL a & b) c. _____

PART D LICENSE FEE

A non-refundable license fee is required and must accompany this application prior to the issuance of a nursing home license. The payment should be in the form of check, certified check or money order and must be made payable to: **“The Division of Health Service Regulation.”** A separate check is required for each licensed entity.

Pursuant to §131E-272, effective August 14, 2009 initial license fees will be \$470.00 (base fee) plus \$19.00 per bed. Fees for initial licensure effective during the months of October – December will be credited to the license renewal fee.

This application must be completed and submitted to the Nursing Home Licensure and Certification Section, Division of Health Service Regulation, with the license fee, prior to the issuance of a nursing home license. The legislation (SB 622, Session Law 2005-276) prohibits a license from being issued if the fee has not been paid.

The undersigned submits this application for licensure for the year 2016 {subject to the provisions of the Nursing Home Licensure Act, Article 6, Chapter 131E of the General Statutes of North Carolina and to the rules adopted thereunder by the North Carolina Medical Care Commission} and certifies the accuracy of this information.

 Typed Name of Chief Administrative Officer
 or Authorized Official

 (Written Signature)

Title: _____

Date: _____

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