

Division of Health Service Regulation

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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:<br><br><b>MHL047-148</b> | (X2) MULTIPLE CONSTRUCTION<br>A. BUILDING: _____<br><br>B. WING _____ | (X3) DATE SURVEY COMPLETED<br><br>R-C<br><b>12/16/2025</b> |
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| NAME OF PROVIDER OR SUPPLIER<br><br><b>SERENITY THERAPEUTIC SERVICES #6</b> | STREET ADDRESS, CITY, STATE, ZIP CODE<br><b>10147 ROCKFISH ROAD<br/>RAEFORD, NC 28376</b> |
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|--------------------|---|---------------|---|--------------------|
| V 000              | <p><b>INITIAL COMMENTS</b></p> <p>A complaint and follow up survey was completed on December 16, 2025. The complaints were substantiated (intake #NC00234960, NC00234307). A deficiency was cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G .5600C Supervised Living for Adults with Developmental Disability.</p> <p>This facility is licensed for 4 and has a current census of 3. The survey sample consisted of audits of 3 current clients.</p>   | V 000         | <p style="text-align: center; color: blue; font-weight: bold;">RECEIVED</p> <p style="text-align: center; color: red; font-weight: bold;">JAN 12 2026</p> <p style="text-align: center; color: blue; font-weight: bold;">DHSR-MH Licensure Sect</p> |                    |
| V 364              | <p><b>G.S. 122C- 62 Additional Rights in 24 Hour Facilities</b></p> <p>§ 122C-62. Additional Rights in 24-Hour Facilities.</p> <p>(a) In addition to the rights enumerated in G.S. 122C-51 through G.S. 122C-61, each adult client who is receiving treatment or habilitation in a 24-hour facility keeps the right to:</p> <p>(1) Send and receive sealed mail and have access to writing material, postage, and staff assistance when necessary;</p> <p>(2) Contact and consult with, at his own expense and at no cost to the facility, legal counsel, private physicians, and private mental health, developmental disabilities, or substance abuse professionals of his choice; and</p> <p>(3) Contact and consult with a client advocate if there is a client advocate.</p> <p>The rights specified in this subsection may not be restricted by the facility and each adult client may exercise these rights at all reasonable times.</p> <p>(b) Except as provided in subsections (e) and (h) of this section, each adult client who is receiving treatment or habilitation in a 24-hour facility at all times keeps the right to:</p> | V 364         |   |                    |

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE W. Peck, MSW TITLE Supervisor (X6) DATE 1/7/2026

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| V 364              | <p>Continued From page 1</p> <p>(1) Make and receive confidential telephone calls. All long distance calls shall be paid for by the client at the time of making the call or made collect to the receiving party;</p> <p>(2) Receive visitors between the hours of 8:00 a.m. and 9:00 p.m. for a period of at least six hours daily, two hours of which shall be after 6:00 p.m.; however visiting shall not take precedence over therapies;</p> <p>(3) Communicate and meet under appropriate supervision with individuals of his own choice upon the consent of the individuals;</p> <p>(4) Make visits outside the custody of the facility unless:</p> <p>a. Commitment proceedings were initiated as the result of the client's being charged with a violent crime, including a crime involving an assault with a deadly weapon, and the respondent was found not guilty by reason of insanity or incapable of proceeding;</p> <p>b. The client was voluntarily admitted or committed to the facility while under order of commitment to a correctional facility of the Division of Adult Correction of the Department of Public Safety; or</p> <p>c. The client is being held to determine capacity to proceed pursuant to G.S. 15A-1002; A court order may expressly authorize visits otherwise prohibited by the existence of the conditions prescribed by this subdivision;</p> <p>(5) Be out of doors daily and have access to facilities and equipment for physical exercise several times a week;</p> <p>(6) Except as prohibited by law, keep and use personal clothing and possessions, unless the client is being held to determine capacity to proceed pursuant to G.S. 15A-1002;</p> <p>(7) Participate in religious worship;</p> <p>(8) Keep and spend a reasonable sum of his</p> | V 364         |   |                    |

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| V 364   | Continued From page 2<br><br>own money;<br>(9) Retain a driver's license, unless otherwise prohibited by Chapter 20 of the General Statutes; and<br>(10) Have access to individual storage space for his private use.<br>(c) In addition to the rights enumerated in G.S. 122C-51 through G.S. 122C-57 and G.S. 122C-59 through G.S. 122C-61, each minor client who is receiving treatment or habilitation in a 24-hour facility has the right to have access to proper adult supervision and guidance. In recognition of the minor's status as a developing individual, the minor shall be provided opportunities to enable him to mature physically, emotionally, intellectually, socially, and vocationally. In view of the physical, emotional, and intellectual immaturity of the minor, the 24-hour facility shall provide appropriate structure, supervision and control consistent with the rights given to the minor pursuant to this Part. The facility shall also, where practical, make reasonable efforts to ensure that each minor client receives treatment apart and separate from adult clients unless the treatment needs of the minor client dictate otherwise.<br>Each minor client who is receiving treatment or habilitation from a 24-hour facility has the right to:<br>(1) Communicate and consult with his parents or guardian or the agency or individual having legal custody of him;<br>(2) Contact and consult with, at his own expense or that of his legally responsible person and at no cost to the facility, legal counsel, private physicians, private mental health, developmental disabilities, or substance abuse professionals, of his or his legally responsible person's choice; and<br>(3) Contact and consult with a client advocate, if there is a client advocate. | V 364   |   |   |

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| V 364              | <p>Continued From page 3</p> <p>The rights specified in this subsection may not be restricted by the facility and each minor client may exercise these rights at all reasonable times. (d) Except as provided in subsections (e) and (h) of this section, each minor client who is receiving treatment or habilitation in a 24-hour facility has the right to:</p> <ol style="list-style-type: none"> <li>(1) Make and receive telephone calls. All long distance calls shall be paid for by the client at the time of making the call or made collect to the receiving party;</li> <li>(2) Send and receive mail and have access to writing materials, postage, and staff assistance when necessary;</li> <li>(3) Under appropriate supervision, receive visitors between the hours of 8:00 a.m. and 9:00 p.m. for a period of at least six hours daily, two hours of which shall be after 6:00 p.m.; however visiting shall not take precedence over school or therapies;</li> <li>(4) Receive special education and vocational training in accordance with federal and State law;</li> <li>(5) Be out of doors daily and participate in play, recreation, and physical exercise on a regular basis in accordance with his needs;</li> <li>(6) Except as prohibited by law, keep and use personal clothing and possessions under appropriate supervision, unless the client is being held to determine capacity to proceed pursuant to G.S. 15A-1002;</li> <li>(7) Participate in religious worship;</li> <li>(8) Have access to individual storage space for the safekeeping of personal belongings;</li> <li>(9) Have access to and spend a reasonable sum of his own money; and</li> <li>(10) Retain a driver's license, unless otherwise prohibited by Chapter 20 of the General Statutes.</li> </ol> <p>(e) No right enumerated in subsections (b) or (d) of this section may be limited or restricted except</p> | V 364         |   |                    |

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| V 364              | <p>Continued From page 4</p> <p>by the qualified professional responsible for the formulation of the client's treatment or habilitation plan. A written statement shall be placed in the client's record that indicates the detailed reason for the restriction. The restriction shall be reasonable and related to the client's treatment or habilitation needs. A restriction is effective for a period not to exceed 30 days. An evaluation of each restriction shall be conducted by the qualified professional at least every seven days, at which time the restriction may be removed. Each evaluation of a restriction shall be documented in the client's record. Restrictions on rights may be renewed only by a written statement entered by the qualified professional in the client's record that states the reason for the renewal of the restriction. In the case of an adult client who has not been adjudicated incompetent, in each instance of an initial restriction or renewal of a restriction of rights, an individual designated by the client shall, upon the consent of the client, be notified of the restriction and of the reason for it. In the case of a minor client or an incompetent adult client, the legally responsible person shall be notified of each instance of an initial restriction or renewal of a restriction of rights and of the reason for it. Notification of the designated individual or legally responsible person shall be documented in writing in the client's record.</p> <p>This Rule is not met as evidenced by:<br/>Based on record review and interviews, the facility failed to ensure the restriction of one of three audited clients (#1) access to personal property had a written statement detailing the</p> | V 364         |   |                    |

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| V 364              | <p>Continued From page 5</p> <p>reason for the restriction and failed to review the restriction as required. The findings are:</p> <p>Review on 12/10/25 of client #1's record revealed:<br/>-Admission date of 6/3/2025.<br/>-Diagnoses of Mild DD, Schizoaffective Disorder, with Bipolar, and Post-Traumatic Stress Disorder.<br/>-No documentation of restriction in Individualized Support Plan dated 6/3/25.</p> <p>Interview on 12/10/25 with Client #1 revealed:<br/>-"I have to turn my phone in everyday to the staff and they give it back the next day."<br/>-"I get my phone in the morning and turn it into staff in the evening."</p> <p>Interview on 12/10/25 and 12/11/25 with Qualified Professional (QP) revealed:<br/>-"I did not know that we had to document or review any taking the [client #1's] phone away."<br/>-"I thought it was ok to take his phone because it's written in his Behavior Support Plan (BSP)."<br/>-"The team and guardian agreed on the rule, so I thought it was ok."</p> <p>Interview on 12/15/25 with the Lead Qualified Professional (QP) revealed:<br/>-"I didn't know that we had to review and document when we take client #1's phone away."<br/>-"The [Psychologist] makes the BSP and the staff implement the (BSP)."<br/>-"[Client #1's] phone restriction is written in his BSP and I thought that was all we had to do."<br/>-"I wasn't aware that the facility is responsible for reviewing and document the phone restriction."</p> | V 364         |   |                    |

## Appendix 1-B: Plan of Correction Form

### Plan of Correction

Please complete all requested information and email completed Plan of Correction form to:

Plans.Of.Correction@dhhs.nc.gov

| <b>Provider Name:</b>  | Serenity Therapeutic Services, Inc.  | <b>Phone:</b>  | 910-904-7147   |
|--|--|--|--|
| <b>Provider Contact Person for follow-up:</b>  | Darrin McNeill/ Administrator  | <b>Fax:</b>  | 910-904-7148   |
|  |  | <b>Email:</b>  | ceo@serenityts.com   |
| <b>Address:</b>  | 10147 Rockfish Rd., Raeford, NC 28376  |  | <b>Provider #:</b> MHL-047-148   |
| Finding  | Corrective Action Steps  | Responsible Party  | Timeline   |
| <p>V364 G.S. 122C-62 Additional Rights in 24-Hour Facilities</p> <p><b>1. The facility failed to ensure the restriction of one of three audited client's (#1) access to personal property had a written statement detailing the reason for the restriction and failed to review the restriction as required.</b></p> | <p>1. As needed, the QP will develop a written statement and place it in the client's record indicating a detailed reason for restriction(s). The QP will evaluate each restriction every seven days and document it in the client's record. If the restriction(s) are renewed, the QP will write a statement in the client's chart that states the reason for the renewal of the restriction. For an incompetent adult client, the QP will notify the legally responsible person of each instance of an initial restriction or renewal of a restriction of rights and of the reason for it within 72-hours and document the notification in writing in the client's record.</p> | <div style="background-color: black; width: 100px; height: 20px; margin: 0 auto;"></div> | <p><b>Implementation Date:</b><br/>December 16, 2025</p> <p><b>Projected Completion Date:</b><br/>January 31, 2026</p> |