

DEPARTMENT OF HEALTH AND HUMAN SERVICES  
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 03/07/2025  
FORM APPROVED  
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>34G001</b>		(X2) MULTIPLE CONSTRUCTION A. BUILDING _____  B. WING _____		(X3) DATE SURVEY COMPLETED  <b>C</b> <b>03/06/2025</b>	
NAME OF PROVIDER OR SUPPLIER  <b>CASWELL CENTER</b>				STREET ADDRESS, CITY, STATE, ZIP CODE <b>2415 W. VERNON AVENUE</b> <b>KINSTON, NC 28501</b>			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)			ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETION DATE
W 000	INITIAL COMMENTS			W 000			
W 156	<p>A complaint survey was completed on 3/6/25 for intake #NC00227994. The allegation was substantiated and deficiencies were cited.</p> <p><b>STAFF TREATMENT OF CLIENTS</b> CFR(s): 483.420(d)(4)</p> <p>The results of all investigations must be reported to the administrator or designated representative or to other officials in accordance with State law within five working days of the incident. This STANDARD is not met as evidenced by: Based on record review and interview, the facility failed to ensure the investigation report was completed within 5 working days. This affected 1 of 1 audit clients (#1). The finding is:</p> <p>Review on 3/6/25 of client #1's medical records dated 2/24/25 revealed the following: an object which appeared to be coiled up wire but when removed was determined to be folded up hardened rubber glove with a wire in foreign body removed intact without mucosal damage...Glove/wire were found in the stomach. Removal was successful.</p> <p>Review on 3/6/25 of the facility's internal investigation summary report dated 2/24/25 revealed the following, "To complete a thorough investigation, the investigation team needs to interview Staff A and client #1. Additionally, client #1's medical records from his hospitalization need to be secured to gather more information regarding the foreign bodies that were removed at the hospital. These interviews and records review support the need for additional time to complete the investigation". Further review revealed, "Client #1 is prescribed 1:1 intensive</p>			W 156			

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (\*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W 156	Continued From page 1 supervision and has no privacy per his Life Plan and Behavior Support Plan".			W 156			
W 249	<p>During an interview on 3/6/25, the Advocate stated she still needed to talk to her supervisor before she can complete the investigation report.</p> <p><b>PROGRAM IMPLEMENTATION</b> CFR(s): 483.440(d)(1)</p> <p>As soon as the interdisciplinary team has formulated a client's individual program plan, each client must receive a continuous active treatment program consisting of needed interventions and services in sufficient number and frequency to support the achievement of the objectives identified in the individual program plan.</p> <p>This STANDARD is not met as evidenced by: Based on record reviews and interviews, the facility failed to ensure 1 of 1 audit clients (#1) received a continuous active treatment program consisting of needed interventions and services as identified in the Individual Program Plan (IPP) in the area of maladaptive behaviors. The finding is:</p> <p>Review on 3/6/25 of client #1's medical records dated 2/24/25 revealed, "Findings: object which appeared to be coiled up wire but when removed was determined to be folded up hardened rubber glove with ? wire in foreign body removed intact w/o mucosal damage...Glove/wire were found in the stomach. Removal was successful".</p> <p>Review on 3/6/25 of client #1's IPP dated 3/21/24</p>			W 249			

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W 249	<p>Continued From page 2</p> <p>revealed, "Supervision Requirements...Home: 1:1 Staff remain within arm's reach to prevent ingestion risk".</p> <p>Review on 3/6/25 of client #1's Behavior Support Program (BSP) dated 8/5/24 revealed, "Target Behaviors Related to Signs/Symptoms:...3. Pica/Pica-Related Behavior: Any actual ingestion, false claim to have ingested, and/or any threat to ingest non-edibles (i.e., batteries, thumb tacks, laundry detergent, paperclip, staples, coins, material from a hospital bracelet, cologne, ear buds, pieces of wood, cleaning supplies, grooming supplies, pieces of electronics, masks, etc.)." Further review stated, "ENVIRONMENTAL SUPPORTS (PREVENTIONS):...14. Due to his history of ingesting small items, (client #1) has restricted access to all items 3 inches or less or items that can be compressed to 3 inches or less...17. Client #1's clothing will be adapted prior to use to remove any items that could be ingested (i.e., buttons, zippers, snaps, strings, other types of fasteners, shoelaces, etc.). Client #1 should not have access to clothing that has been modified."</p> <p>During an interview on 3/6/25, client #1 revealed how Staff B took off his mask and threw it mask away while the both of them were in the restroom. Further interview revealed when Staff B turned his back to use the restroom, client #1 took a mask out of the trash, pulled out the two wires, made a ball of the wires and swallowed it. Client #1 also stated when Staff B took off a glove, he was able to retrieve it from the trash without Staff B seeing.</p> <p>During an interview on 3/6/25, the Advocate stated the doctor has confirmed client #1 did not</p>	W 249			

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W 249	Continued From page 3 swallow any screws, but in fact he swallowed the two grommets from a hoodie that client #1 was seen wearing on camera during dinner the night of the incident. Further interview revealed it is not known how client #1 obtained the hoodie.	W 249			