| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | MHL049-175 | A. BUILDING: _____ B. WING _____ | 01/10/2025 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| SAFE HAVEN | 914 SOUTH MAGNOLIA STREET MOORESVILLE, NC 28115 |

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| V 000 | INITIAL COMMENTS<br><br>An annual survey was completed on 1/10/25. Deficiencies were cited.<br><br>This facility is licensed f or the following service category: 10A NCAC 27G .1700 Residential Treatment Staff Secure for Children or Adolescents.<br><br>This facility is licensed for 4 and currently has a census of 4. The survey sample consisted of audits of 3 current clients. | V 000 | | |
| V 114 | 27G .0207 Emergency Plans and Supplies<br><br>10A NCAC 27G .0207 EMERGENCY PLANS AND SUPPLIES<br>(a) Each facility shall develop a written fire plan and a disaster plan and shall make a copy of these plans available<br>to the county emergency services agencies upon request. The plans shall include evacuation procedures and routes.<br>(b) The plans shall be made available to all staff and evacuation procedures and routes shall be posted in the<br>facility.<br>(c) Fire and disaster drills in a 24-hour facility shall be held at least quarterly and shall be repeated for each shift.<br>Drills shall be conducted under conditions that simulate the facility's response to fire emergencies.<br>(d) Each facility shall have a first aid kit accessible for use. | V 114 | | |

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| V 114 | Continued From page 1<br><br>This Rule is not met as evidenced by:<br>Based on record reviews and interviews, the facility failed to hold fire and disaster drills quarterly for each shift. The findings are:<br><br>Interview on 1/9/25 with the Owner/Director revealed:<br>-The first client was admitted to the facility on 3/29/24;<br>-Facility staff worked 3 shifts (1st 8:00am - 2:00pm, 2nd 2:00pm - 11:00pm, 3rd 11:00pm - 8:00am).<br><br>Review on 1/9/25 of the documented fire drills for the months of April 2024 - December 2024 revealed:<br>-No documentation of a 3rd shift drill for the quarter of April 2024 - June 2024;<br>-No documentation of a 3rd shift drill for the quarter of July 2024 - September 2024;<br>-No documentation of a 1st or 3rd shift drill for the quarter of October 2024 - December 2024.<br><br>Review on 1/9/25 of the documented disaster drills for the months of April 2024 - December 2024 revealed:<br>-No documentation of drills for the quarter of April 2024 - June 2024;<br>-No documentation of 2nd or 3rd shift drills for the quarter of July 2024 - September 2024;<br>-No documentation of drills for the quarter of October 2024 - December 2024.<br><br>Interview on 1/9/25 with Client #2 revealed:<br>-He was admitted to the facility on 4/29/24;<br>-Fire drills were held twice a month;<br>-He was unable to remember a disaster drill.<br><br>Interview on 1/9/25 with Staff #1 revealed: | V 114 | | |

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| V 114 | Continued From page 2<br>-He had been employed at the facility since the first client was admitted in March 2024;<br>-Fire drills were held on the 1st and 15th of every month;<br>-He primarily worked 2nd shift so he was not aware if fire drills were held on 3rd shift;<br>-Disaster drills were not consistently completed..."We try to do those twice a month. That's sporadic."<br>-He was unable to remember when the last disaster drill was completed or what type of drill it was.<br><br>Additional interview on 1/9/25 with the Owner/Director revealed:<br>-Fire and disaster drills were completed at the same time;<br>-He was going to ensure disaster drills were documented;<br>-He was not aware drills were required to be completed on each shift;<br>-"I don't think many (drills) have been done on 3rd shift." | V 114 | | |
| V 118 | 27G .0209 (C) Medication Requirements<br><br>10A NCAC 27G .0209 MEDICATION REQUIREMENTS<br>(c) Medication administration:<br>(1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs.<br>(2) Medications shall be self-administered by clients only when authorized in writing by the client's physician.<br>(3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, | V 118 | | |

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| V 118 | Continued From page 3<br>pharmacist or other legally qualified person and privileged to prepare and administer medications.<br>(4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be recorded immediately after administration. The MAR is to include the following:<br>(A) client's name;<br>(B) name, strength, and quantity of the drug;<br>(C) instructions for administering the drug;<br>(D) date and time the drug is administered; and<br>(E) name or initials of person administering the drug.<br>(5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.<br><br>This Rule is not met as evidenced by:<br>Based on record reviews, interviews and observation, the facility failed to document medications on the MARs immediately after administration for 2 of 3 audited clients (#1 and #2). The findings are:<br><br>Review on 1/9/25 of Client #1's record revealed:<br>-An admission date of 3/18/24;<br>-An age of 13 years old;<br>-Diagnoses included Attention Deficit Hyperactivity Disorder (ADHD) and Post Traumatic Stress Disorder (PTSD);<br>-A Physician Order dated 3/27/24 for Hydroxyzine Hydrochlorothiazide (HCL) (anxiety) 10 milligrams (mg), take 1 tablet by mouth (po) daily at 7:00am, | V 118 | | |

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| V 118 | Continued From page 4 | V 118 | | |

Quetiapine Fumarate (regulate mood) 100mg, take 1 and a half tablets po daily at 6:45am, and Clonidine HCL (ADHD) 0.1mg, take 1 po at 7:00am.

Review on 1/9/25 of Client #1's MARs for the month of January 2025 revealed:
-No documentation at 12:30pm that Hydroxyzine HCL, Quetiapine Fumarate and Clonidine had been administered that morning.

Interview on 1/9/25 with Client #1 revealed:
-Staff #2 had administered him his morning medications earlier in the day.

Review on 1/9/25 of Client #2's record revealed:
-An admission date of 4/29/24;
-An age of 16 years old;
-Diagnoses included ADHD, PTSD, Disruptive Mood Dysregulation Disorder, and Mild Intellectual Developmental Disability;
-A physician order dated 9/16/24 for Clonidine HCL (ADHD) .1mg, take 1 tablet daily at 7:00am.

Review on 1/9/25 of Client #2's MARs for the month of January 2025 revealed:
-No documentation at 12:03pm that Clonidine had been administered that morning.

Interview on 1/9/25 with Client #2 revealed:
-Staff #2 had administered him his morning medication earlier in the day.

Observation on 1/9/25 at 1:25pm of Staff #2 revealed:
-Staff #2 documented her initials on the MARs for Clients #1 and #2 which indicated that she had administered their morning medications for the day.

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| V 118 | Continued From page 5<br><br>Interview on 1/9/25 with Staff #2 revealed:<br>-She had been employed as a Paraprofessional at the facility since May 2024;<br>-She had been trained in Medication Administration;<br>-She had administered medications to Clients #1 and #2 earlier in the day;<br>-She failed to document on the MARs that she had administered medications;<br>-"I forgot (to document the MARs). We (staff and clients) were rushing this morning ...Normally, it's not the case."<br><br>Interview on 1/9/25 with the Owner/Director revealed:<br>-He was informed during a telephone call earlier in the day with Staff #2 she failed to document the MARs for Clients #1 and #2 after she administered their morning medications. | V 118 | | |