

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL068-094	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 09/04/2024
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NAME OF PROVIDER OR SUPPLIER RSI - PINEY MOUNT	STREET ADDRESS, CITY, STATE, ZIP CODE 429 PINEY MOUNTAIN ROAD CHAPEL HILL, NC 27514
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V 000	INITIAL COMMENTS An annual survey was completed on September 4, 2024. Deficiencies were cited. This facility is licensed for the following service category: 10A NCAC 27G .5600C Supervised Living for Adults with Developmental Disability. This facility is licensed for 6 and has a current census of 6. The survey sample consisted of audits of 3 current clients.	V 000		
V 114	27G .0207 Emergency Plans and Supplies 10A NCAC 27G .0207 EMERGENCY PLANS AND SUPPLIES (a) Each facility shall develop a written fire plan and a disaster plan and shall make a copy of these plans available to the county emergency services agencies upon request. The plans shall include evacuation procedures and routes. (b) The plans shall be made available to all staff and evacuation procedures and routes shall be posted in the facility. (c) Fire and disaster drills in a 24-hour facility shall be held at least quarterly and shall be repeated for each shift. Drills shall be conducted under conditions that simulate the facility's response to fire emergencies. (d) Each facility shall have a first aid kit accessible for use.	V 114	Fire Drills: Fire drills and disaster drills are conducted quarterly per shift in accordance with NFPA 101 and NFPA 2800, respectively. RSI has two operational in-home periods: Day(9a-9p) and Night (9p-9a), which encompass the two work periods of staffing and the needs of residents within the home. Those times and names are referenced on the fire drill forms. The schedule allows for at least eight drills per year with 2 waking residents from sleep (NFPA 101 33.7.3.1). RSI also varies times (NFPA 101 2012 33.7.3.2). NC DHHS DHSR Construction Section and Chapel Hill Fire Marshal's Office have reviewed the facility's fire drill schedule in their inspections/surveys. It is common for staff to use standard nomenclature such as night shift, graveyard shift, overnight, midnight shift, third shift, or late shift when discussing working times. This is aided by some working a day program, an additional shift not included in the in-home operational needs. Nomenclature and Shift Structure: It is common for staff to use common nomenclature such as night shift, graveyard shift, overnight, midnight shift, third shift or late shift when discussing working times. Some staff also work at a day program outside of the in-home program. Individuals also refer to their working schedules as different shifts. Neither is intended to replace the formal Fire Drill policy definition of a shift above. Review of Drill Records: • Q1 2024: All required fire and disaster drills were completed as scheduled. • Q2 2024: Drills were completed, but records indicated gaps. We have addressed these gaps through corrective measures. • Q3 2024: All drills were completed as required. Corrective Actions: RSI will monitor fire and disaster drills quarterly and provide corrective training accordingly. The results of said training can be observed in Q3 2024, following errors detected in Q2 2024.	

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

KyMBERLEI SCHMIDT DOR

Director of Autism Services

STATE FORM

6899

SVZ211

If continuation sheet 1 of 6

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V 114	<p>Continued From page 1</p> <p>This Rule is not met as evidenced by: Based on record review and interviews, the facility failed to ensure fire and disaster drills were done quarterly on each shift. The findings are:</p> <p>Review on 9/3/24 of the facility's fire and disaster drill log from November 2023-August 2024 revealed:</p> <ul style="list-style-type: none"> -There was no fire drill conducted for the night shift during the 2nd quarter (April, May, June) of 2024. -There was no disaster drill conducted for the night shift for the 2nd quarter (April, May, June) of 2024. -There were no disaster drills conducted during the 4th quarter (October, November, December) of 2023. <p>Interview on 8/30/24 with client #1 revealed:</p> <ul style="list-style-type: none"> -He was distracted during the interview and kept looking at his tablet. -He was not asked about fire and disaster drills. <p>Interview on 9/4/24 with client #2 revealed:</p> <ul style="list-style-type: none"> -He could not be interviewed. -He kept repeating questions the surveyor asked him. <p>Interview on 9/4/24 with client #3 revealed:</p> <ul style="list-style-type: none"> -He could not be interviewed because he was nonverbal. <p>Interview on 8/30/24 with the Senior Direct Support Coordinator revealed:</p> <ul style="list-style-type: none"> -They had 3 separate staff shifts and "the shifts will sometimes overlap." -There was a Former Direct Support Coordinator (DSC) at the facility who left around January and February 2024. -"He (the Former DSC) wasn't doing his job." 	V 114		

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V 114	Continued From page 2 -The drills were possibly not done by staff at the end of 2023 and beginning of 2024. -He confirmed staff failed to conduct fire and disaster drills quarterly on each shift. Interview on 9/4/24 with the Director of Autism revealed: -The safety committee for the agency created the form for fire and disaster dills. -"We really don't have a 1st, 2nd or 3rd shift for their facilities." -The forms were set up to cover day and night shifts. -Staff worked a day or night shift at the facility. -"Staff shifts vary depending on the needs of the clients." -She confirmed staff failed to conduct fire and disaster drills quarterly on each shift.	V 114		
V 118	27G .0209 (C) Medication Requirements 10A NCAC 27G .0209 MEDICATION REQUIREMENTS (c) Medication administration: (1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs. (2) Medications shall be self-administered by clients only when authorized in writing by the client's physician. (3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications. (4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be	V 118	On 9.11.24 medication administration and ordering procedures were reviewed with all medication certified staff currently trained at the home.	

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V 118	<p>Continued From page 3</p> <p>recorded immediately after administration. The MAR is to include the following: (A) client's name; (B) name, strength, and quantity of the drug; (C) instructions for administering the drug; (D) date and time the drug is administered; and (E) name or initials of person administering the drug. (5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.</p> <p>This Rule is not met as evidenced by: Based on record reviews and interviews, the facility failed to keep the MARs current affecting two of three audited clients (#1 and #3). The findings are:</p> <p>Reviews on 8/29/24 and 8/30/24 of client #1's record revealed: -Admission date of 10/1/92. -Diagnoses of Severe Intellectual Disability, Autistic Disorder, Impulse Control Disorder, Depression and Fragile X Syndrome. -Physician's order dated 3/13/24 for Tar gel shampoo 5% (dandruff), use every other day.</p> <p>Review on 8/30/24 of MARs for client #1 revealed: -August 2024-Staff documented the shampoo was given on 8/4, 8/18 and 8/29. -July 2024-Staff documented the shampoo was given on 7/1, 7/3, 7/5, 7/7, 7/8, 7/11, 7/13, 7/14,</p>	V 118		

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V 118	<p>Continued From page 4</p> <p>7/17, 7/19, 7/21, 7/22, 7/23, 7/25, 7/28, 7/29 and 7/30. (Staff documented the shampoo was given consecutive days 4 times, however the order was for every other day).</p> <p>Reviews on 8/29/24 and 8/30/24 of client #3's record revealed: -Admission date of 12/5/22. -Diagnoses of Autism, Obsessive Compulsive Disorder, Anxiety Disorder and Epilepsy with generalized tonic clonic seizures on awakening -Physician's order dated 3/8/24 for Dandruff shampoo, shampoo scalp with each shower.</p> <p>Review on 8/30/24 of MARs for client #3 revealed: -August 2024-No staff initials to indicate the shampoo was given on 8/1 am, 8/2 am/pm, 8/3 pm, 8/4 pm, 8/5 am, 8/6 thru 8/8 am/pm, 8/9 thru 8/16 am, 8/14 pm, 8/16 thru 8/18 pm, 8/19 thru 8/24 am, 8/21 pm, 8/23 pm, 8/24 pm, 8/26 thru 8/29 am and 8/26 pm. -July 2024-No staff initials to indicate the shampoo was given on 7/1 thru 7/3 am, 7/3 thru 7/9 pm, 7/10 am, 7/11 am, 7/13 am, 7/14 pm, 7/16 am, 7/18 pm, 7/19 & 7/20 am/pm, 7/22 thru 7/27 am, 7/26 pm, 7/27 pm and 7/29 thru 7/31 am. -June 2024-No staff initials to indicate the shampoo was given on 6/1 am, 6/2 pm, 6/3 am, 6/5 thru 6/8 pm, 6/7 am, 6/8 pm, 6/10 pm, 6/13 thru 6/15 am, 6/15 pm, 6/16 pm, 6/18 pm, 6/20 am, 6/21 am, 6/22 pm, 6/25 pm, 6/26 thru 6/28 am, 6/29 pm and 6/30 am.</p> <p>Interview on 8/30/24 with the Senior Direct Support Coordinator revealed: -He was aware staff had not been documenting the shampoo was given to client #1 whenever took a shower.</p>	V 118		

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V 118	<p>Continued From page 5</p> <ul style="list-style-type: none"> -The shampoo was being given to client #1 whenever he took a shower, however it is not being documented by staff on the MAR. -He was also aware staff were not consistently documenting the shampoo was given to client #3 whenever he took a shower. -Client #1 went home visits some weekends and staff did not indicate the home visits on the MAR. -He confirmed the MARs were not kept current for clients #1 and #3. <p>Interview on 8/30/24 with the Support Services Supervisor revealed:</p> <ul style="list-style-type: none"> -He didn't know staff were not documenting the shampoo was given on the MAR for clients #1 and #3 -He confirmed the MARs were not kept current for clients #1 and #3. 	V 118		