| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | MHL064-162 | A. BUILDING: _____ B. WING _____ | R 05/30/2024 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| KOODY HEALTHCARE SERVICES INC III | 781 HAGGERTY TRAIL ROCKY MOUNT, NC 27803 |

| (X4) ID PREFIX TAG | SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION) | ID PREFIX TAG | PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) | (X5) COMPLETE DATE |
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| V 000 | INITIAL COMMENTS<br><br>An annual and follow up survey was completed on 5/30/24. A deficiency was cited.<br><br>This facility is licensed for the following service category: 10A NCAC 27G .5600A Supervised Living for Adults with Mental Illness.<br><br>The facility is licensed for 5 and has a current census of 4. The survey sample consisted of audits of 3 current clients. | V 000 | | |
| V 290 | 27G .5602 Supervised Living - Staff<br><br>10A NCAC 27G .5602 STAFF<br>(a) Staff-client ratios above the minimum numbers specified in Paragraphs (b), (c) and (d) of this Rule shall be determined by the facility to enable staff to respond to individualized client needs.<br>(b) A minimum of one staff member shall be present at all times when any adult client is on the premises, except when the client's treatment or habilitation plan documents that the client is capable of remaining in the home or community without supervision. The plan shall be reviewed as needed but not less than annually to ensure the client continues to be capable of remaining in the home or community without supervision for specified periods of time.<br>(c) Staff shall be present in a facility in the following client-staff ratios when more than one child or adolescent client is present:<br>(1) children or adolescents with substance abuse disorders shall be served with a minimum of one staff present for every five or fewer minor clients present. However, only one staff need be present during sleeping hours if specified by the emergency back-up procedures determined by the governing body; or | V 290 | | |

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| V 290 | Continued From page 1<br>(2) children or adolescents with developmental disabilities shall be served with one staff present for every one to three clients present and two staff present for every four or more clients present. However, only one staff need be present during sleeping hours if specified by the emergency back-up procedures determined by the governing body.<br>(d) In facilities which serve clients whose primary diagnosis is substance abuse dependency:<br>(1) at least one staff member who is on duty shall be trained in alcohol and other drug withdrawal symptoms and symptoms of secondary complications to alcohol and other drug addiction; and<br>(2) the services of a certified substance abuse counselor shall be available on an as-needed basis for each client.<br><br>This Rule is not met as evidenced by:<br>Based on record review, observation and interviews, the facility failed to ensure that a client was capable of remaining in the home or community without supervision affecting 1 of 3 audited clients (#2). The findings are:<br><br>Review on 5/23/24 of Client #2's record revealed:<br>- Admitted: 4/17/19<br>- Diagnoses: Schizoaffective Disorder and Developmental Disorder<br>- Supervision plan dated 4/16/22 stated:<br>" ...moves about the neighborhood or community with continual staff supervision requiring staff to be within audible, visual and/or physical proximity of the individual"<br>- no documentation of an updated | V 290 | | |

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| V 290 | Continued From page 2<br>unsupervised time assessment<br><br>Observation on 5/23/24 at approximately 3:50pm revealed:<br>- Client #2 was exiting the public transportation van without facility staff supervision on the van<br><br>Interview on 5/23/24 Client #2 reported:<br>- Lived at the facility 6 years<br>- Attended a day program<br>- Took public transportation to and from day program<br>- No facility staff rode public transportation with her, just the van driver<br><br>Interview on 5/23/24 the Group Home Manager reported:<br>- Client #2 had unsupervised time<br>- Did not know if an assessment was completed for Client #2's unsupervised time<br>- Client #2 attended a day program and utilized public transportation to and from the program<br>- no staff accompanied Client #2 on public transportation<br>- the facility's previous Qualified Professional (QP) did the unsupervised time assessments<br>- the new Qualified Professional had not done an unsupervised time assessment<br><br>Interview on 5/29/24 the QP reported:<br>- Been employed at the facility since March 2024<br>- Did not know she was responsible for unsupervised time assessments<br>- Did not update Client #2's unsupervised time assessment because she thought it had to be authorized by a doctor<br>- Client #2 was already utilizing unsupervised time when the QP began at the facility<br>- Did not know how long Client #2 had been | V 290 | | |

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| V 290 | Continued From page 3<br>using public transportation without staff supervision<br>- Thought the day program picked up Client #2<br>- Was told last week by the Administrator that an unsupervised time assessment needed to be completed for Client #2<br><br>Interview on 5/30/23 the Administrator reported:<br>- She had a problem with the previous QP not doing what she was supposed to do and had to change QP's and that's how she got the new QP<br>- She would make sure that all unsupervised time assessments were updated by the new QP<br>- Client #2 did have unsupervised time and the unsupervised time assessment should have been updated by the old QP<br>- She didn't think that she needed it for client #2 to ride the van to the day program | V 290 | | |