

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL013-159	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED R 04/08/2024
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NAME OF PROVIDER OR SUPPLIER CAPTAINS WATCH	STREET ADDRESS, CITY, STATE, ZIP CODE 514 TODD DRIVE NE CONCORD, NC 28027
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 000	<p>INITIAL COMMENTS</p> <p>An annual and follow up survey was completed on 4/8/24. A deficiency was cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G .5600C Supervised Living for Adults with Developmental Disability.</p> <p>The facility is licensed for 3 and currently has a census of 3. The survey sample consisted of audits of 3 current clients.</p>	V 000		
V 290	<p>27G .5602 Supervised Living - Staff</p> <p>10A NCAC 27G .5602 STAFF</p> <p>(a) Staff-client ratios above the minimum numbers specified in Paragraphs (b), (c) and (d) of this Rule shall be determined by the facility to enable staff to respond to individualized client needs.</p> <p>(b) A minimum of one staff member shall be present at all times when any adult client is on the premises, except when the client's treatment or habilitation plan documents that the client is capable of remaining in the home or community without supervision. The plan shall be reviewed as needed but not less than annually to ensure the client continues to be capable of remaining in the home or community without supervision for specified periods of time.</p> <p>(c) Staff shall be present in a facility in the following client-staff ratios when more than one child or adolescent client is present:</p> <p>(1) children or adolescents with substance abuse disorders shall be served with a minimum of one staff present for every five or fewer minor clients present. However, only one staff need be present during sleeping hours if specified by the emergency back-up procedures determined by the governing body; or</p>	V 290		

Division of Health Service Regulation LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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V 290	<p>Continued From page 1</p> <p>(2) children or adolescents with developmental disabilities shall be served with one staff present for every one to three clients present and two staff present for every four or more clients present. However, only one staff need be present during sleeping hours if specified by the emergency back-up procedures determined by the governing body.</p> <p>(d) In facilities which serve clients whose primary diagnosis is substance abuse dependency:</p> <p>(1) at least one staff member who is on duty shall be trained in alcohol and other drug withdrawal symptoms and symptoms of secondary complications to alcohol and other drug addiction; and</p> <p>(2) the services of a certified substance abuse counselor shall be available on an as-needed basis for each client.</p> <p>This Rule is not met as evidenced by: Based on record review and interviews, the facility failed to ensure a minimum of one staff was present at all times when a client was in the community, except when the client's treatment or habilitation plan documented that the client was capable of remaining in the community without supervision affecting 1 of 3 clients (#3). The findings are:</p> <p>Review on 3/27/24 of client #3's record revealed: -Admission Date of 1/28/11. -Diagnoses of Unspecified Psychosis, Schizophrenia, Unspecified Intellectual Developmental Disability, Overactive Bladder. -Treatment plan dated 7/1/23 "I need partial physical reminders so that I do not get taken</p>	V 290		

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V 290	<p>Continued From page 2</p> <p>advantage of financially."</p> <p>-No documentation regarding client #3 being assessed to remain in the community without supervision.</p> <p>-Facility's Release of Responsibility Forms signed by client #3 indicated that he was unsupervised for 1 hour in the community on 1/15/24, 1/19/24, 1/26/24, 2/2/24, 2/9/24, 2/16/24, and 2/23/24.</p> <p>Interview on 3/28/24 with client #3 revealed: -"I like to go to the mall on Fridays." -Had "free time" at the mall for 1 hour.</p> <p>Interview on 3/28/24 with staff #1 revealed: -Client #3 was allowed 1 hour unsupervised in the community per week. -Client #3 "has free time on Fridays at the mall. He does ok by himself. He takes 25 dollars. He gets his dinner and walks around then meets staff back in front on time."</p> <p>Interview on 4/8/24 with staff #2 revealed: -Client #3 "gets an hour (unsupervised time) ...it was put in place after last year's ISP (Individual Service Plan) meeting." -"He usually goes to the mall...goes every Friday." -"Sometimes staff drops him off and comes back and sometimes they stay in the area then meet him back in front in an hour." -Client #3 used to get unsupervised time more often, but lost those privileges and has just gotten them back.</p> <p>Interview on 4/8/24 with the Qualified Professional revealed: -"I wasn't aware that his unsupervised time wasn't in the plan but when we have the ISP meeting I will make sure it is listed in the ISP."</p>	V 290		