

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 04/11/2024
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 34G193	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED 04/10/2024
NAME OF PROVIDER OR SUPPLIER VOCA-SIMPSON GROUP HOME			STREET ADDRESS, CITY, STATE, ZIP CODE 3017 SIMPSON DRIVE CHARLOTTE, NC 28205		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE	
W 125	<p>PROTECTION OF CLIENTS RIGHTS CFR(s): 483.420(a)(3)</p> <p>The facility must ensure the rights of all clients. Therefore, the facility must allow and encourage individual clients to exercise their rights as clients of the facility, and as citizens of the United States, including the right to file complaints, and the right to due process.</p> <p>This STANDARD is not met as evidenced by: Based on observations and interviews, the facility failed to ensure clients' had the right to dignity and respect regarding the use of incontinence padding and the use of a clothing protector for 1 of 3 audit clients (#1). The findings are:</p> <p>A. During observations in the home on 4/10/24 from 6:30am until 7:15am, client #1 was observed sitting at various times on the couch in the living room. Underneath her was an incontinence pad.</p> <p>Interview on 4/10/24 with the qualified intellectual disabilities professional (QIDP) revealed the incontinence pad is used to protect the furniture in case client #1 urinates through her clothes.</p> <p>B. During observations in the home on 4/10/24 from 6:38am through 7:04am, client #1 was observed eating breakfast. During the observation, her plate sat on top of her clothing protector which was draped over the table.</p> <p>Interview on 4/10/24 with the QIDP revealed the plate sits on top of the clothing protector to catch the food from falling into client #1's lap.</p>	W 125			
W 436	<p>SPACE AND EQUIPMENT CFR(s): 483.470(g)(2)</p> <p>The facility must furnish, maintain in good repair,</p>	W 436			

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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W 436	<p>Continued From page 1</p> <p>and teach clients to use and to make informed choices about the use of dentures, eyeglasses, hearing and other communications aids, braces, and other devices identified by the interdisciplinary team as needed by the client. This STANDARD is not met as evidenced by: Based on observations, record review and interview, the facility failed to assure that clients use and make informed choices relative to eyeglasses as prescribed for 1 of 6 clients (#5). The finding is:</p> <p>Afternoon observations in the group home on 4/9/24 from 4:00 PM to 5:30 PM revealed client #5 to engage staff and peers in conversation, show guest how organized and clean his bedroom is, and show movies, compact disks, and figurine collections. Continued observation revealed client #5 to set the dining room table, to participate in the dinner meal, clear his dishes and load them in the dishwasher. Further observation revealed client #5 to independently complete additional kitchen chores. At no point during the observation period was client #5 prompted to wear his eyeglasses.</p> <p>Morning observations in the group home on 4/10/24 from 6:15 AM to 7:15 AM revealed client #5 to participate in setting the breakfast table, participate in the breakfast meal, and to clean up his breakfast dishes, rinsing and loading in the dishwasher. At no point during the observation period was client #5 prompted to wear his eyeglasses.</p> <p>Review of the record for client #5 on 4/10/24 revealed an individual support plan (ISP) dated 4/15/23 which indicated the following diagnosis: I/DD moderate, Oppositional Defiant Disorder,</p>	W 436			

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W 436	Continued From page 2 Panic Disorder, GERD, and Anxiety DO. An updated vision consult was completed on 1/24/24 with updated glasses and return visit scheduled in one year on 1/29/25 at 10:00 AM due to being diabetic. Interview with registered nurse (RN) on 4/10/24 revealed client #5 wore his glasses yesterday (4/9/24) at his work placement and generally wears them daily. Continued interview with the RN revealed that client #5 will wear his glasses. Interview with the qualified intellectual disabilities professional (QIDP) on 4/10/24 revealed that client #5 wore his glasses on 4/9/24 but they were not worn for two of two days of observation. Continued interview with the QIDP confirmed client #5 was seen for his annual vision check and a new prescription was updated. Further interview with the QIDP verified that client #5 should have been offered his eyeglasses as prescribed.	W 436			
W 454	INFECTION CONTROL CFR(s): 483.470(l)(1) The facility must provide a sanitary environment to avoid sources and transmission of infections. This STANDARD is not met as evidenced by: Based on observation, record review, and interviews the facility failed to ensure a sanitary environment to avoid sources or transmission of potential infection for 2 of 2 clients (#1 and #2). The findings are: A. Afternoon observations in the group home on 4/09/24 from 4:00 PM to 5:15 PM revealed client	W 454			

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W 454	<p>Continued From page 3</p> <p>#1 to sit in the living room and watch music video and participate in self-care. Continued observation revealed client #1 to be assisted to the dining room table by staff to participate in the dinner meal. Further observation revealed client #1 participate in a dinner meal consisting of the following: turkey enchilada casserole, green beans, three pineapple slices, water, and, sugar free punch. Continued observation revealed client #1 to self -feed and be assisted by staff using built-up handle spoon with assistance with the meal and to consume her beverages. At no point was client #1 assisted to the rest room to wash her hands or assisted to use an alcohol-based hand sanitizer to clean her hands before participating in her dinner meal.</p> <p>Morning observations in the group home on 4/10/24 from 6:15 AM to 7:15 AM revealed client #1 to sit in the living room in an armchair before being assisted to the dining room table for the breakfast meal consisting of the following: oatmeal, whole wheat toast with margarine, skim milk, orange juice and water. Continued observation revealed client #1 to eat her meal independently using her built up handle spoon. Subsequent observation revealed staff to provide hand over hand assistance in cutting client #1's whole wheat toast once she had eaten half of the first piece, and with her beverages. At no point before the breakfast meal was client #1 assisted to the rest room to wash her hands or assisted to use an alcohol-based hand sanitizer to clean her hands before participating in her breakfast meal.</p> <p>Review of the record for client #1 on 4/10/24 revealed an individual support plan (ISP) dated 4/21/23 which indicated the following diagnosis: I/DD profound, microscopically, scoliosis and</p>	W 454			

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W 454	<p>Continued From page 4</p> <p>hypertonia of the hands, feet, and ankle.</p> <p>B. Afternoon observations in the group home on 4/09/24 from 4:00 PM to 5:15 PM revealed client #2 to shower after his day placement activities, participate in drawing, coloring, and watching and sing along with music videos. Continued observation revealed client #2 to be prompted to place napkins on the dining room table. Further observation revealed client #2 to leave the living room from watching music videos and place napkins on the dining room table. Subsequent observation revealed client #2 to return to watching videos then head straight back to the dining room table for his dinner meal which consisted of the following: one chicken patty, one serving of turkey enchilada casserole, green beans, three pineapple slices, water, and, sugar free punch. At no point before participating in his breakfast meal was client #2 prompted to wash his hands or use hand sanitizer to clean them.</p> <p>Morning observations in the group home on 4/10/24 from 6:15 AM to 7:15 AM revealed client #2 to watch music videos in the living room and be prompted to place bowls on the dining room table. Continued observation revealed client #2 to be seated for the breakfast meal which consisted of the following: oatmeal, two slices of whole wheat toast with margarine, skim milk, orange juice and water. Further observation revealed client #2 consumed all his breakfast and cleared his dishes. At no point before being prompted to place the napkins on the table and participating in his breakfast meal was client #2 prompted to wash his hands or use hand sanitizer to clean them.</p> <p>Review of the record for client #2 on 4/10/24</p>	W 454			

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W 454	Continued From page 5 revealed an individual support plan (ISP) dated 4/21/23 which indicated the following diagnosis: IDD moderate and autism disorder.	W 454			
W 474	<p>Interview with the qualified intellectual disabilities professional (QIDP) on 4/10/24 confirmed clients #1 and #2 should have had been prompted to wash their hands or offered hand sanitized before participating in either of the meals.</p> <p>MEAL SERVICES CFR(s): 483.480(b)(2)(iii)</p> <p>Food must be served in a form consistent with the developmental level of the client. This STANDARD is not met as evidenced by: Based on observations and record review the facility failed to follow the prescribed diet for 1 of 3 audit clients (#4). The finding is:</p> <p>During observations in the home on 4/9/24 at 5:15pm, client #4 was observed eating dinner, which consisted of a chicken patty, sliced rings of pineapple, and green beans. Client #4 was observed to pick up the chicken patty, served whole, and take bites from it. In addition, client #4 was observed to pick up the rings of pineapple and eat them whole.</p> <p>Additional observations in the home on 4/10/24 at 6:38am revealed client #4 eating breakfast, which consisted of oatmeal and whole wheat toast. Client #4 was observed to eat his toast, which was served whole.</p> <p>Review on 4/9/24 of client #4's individual support plan (ISP) dated 12/21/23 revealed a diet order consisting of regular, chopped foods (1/2" pieces), thin liquids.</p>	W 474			

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W 474	Continued From page 6 Review on 4/10/24 of client #4's physician's orders dated 4/1/24 revealed a diet consisting of regular, soft chopped (1/2" pieces). Interview on 4/10/24 with the qualified intellectual disabilities professional (QIDP) and home supervisor (HS) revealed a regular diet order. The QIDP and HS were unaware of client #4's chopped diet.	W 474		