

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL097-073	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED R 12/07/2023
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NAME OF PROVIDER OR SUPPLIER
AFL - ESPENSHADE

STREET ADDRESS, CITY, STATE, ZIP CODE
**330 DARNELL LANE
WILKESBORO, NC 28697**

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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V 000 INITIAL COMMENTS

A limited follow up survey for the Type A1 and Type B was completed on December 7, 2023. This was a limited follow up survey, only 10A NCAC 27G .0209 Medication Requirements (Tag V118) and 10A NCAC 27E .0101 Least Restrictive Alternative (Tag V513) were reviewed for compliance. The following were brought back into compliance: 10A NCAC 27E .0101 Least Restrictive Alternative (Tag V513). A deficiency was cited.

This facility is licensed for the following service category: 10A NCAC 27G .5600F Supervised Living for Alternative Family Living.

This facility is licensed for 3 and currently has a census of 2. The survey sample consisted of audits of 2 current clients.

V 000

V 118 27G .0209 (C) Medication Requirements

10A NCAC 27G .0209 MEDICATION REQUIREMENTS
(c) Medication administration:
(1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs.
(2) Medications shall be self-administered by clients only when authorized in writing by the client's physician.
(3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications.
(4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be

V 118

RECEIVED
DEC 29 2023
DHSR-MH Licensure Sect

Division of Health Service Regulation
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

[Handwritten Signature]

TITLE *BSQP*

(X6) DATE
12/21/2023

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V 118	<p>Continued From page 1</p> <p>recorded immediately after administration. The MAR is to include the following:</p> <p>(A) client's name;</p> <p>(B) name, strength, and quantity of the drug;</p> <p>(C) instructions for administering the drug;</p> <p>(D) date and time the drug is administered; and</p> <p>(E) name or initials of person administering the drug.</p> <p>(5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.</p> <p>This Rule is not met as evidenced by: Based on record reviews and interviews, the facility failed to keep the MAR current for 1 of 2 audited clients (Client #2). The findings are:</p> <p>Review on 12-4-23 of Client #2's record revealed: -Admission date: 4/7/16. -Diagnoses: Generalized Anxiety Disorder, Down Syndrome, Moderate IDD, Seasonal Allergies.</p> <p>Review on 12-4-23 of Client #2's physician's orders in the facility dated 10-30-23 revealed: -Xanax 0.5 mg (milligram) po (by mouth) BID (2 times per day) PRN (as needed) anxiety - Continued 8-21-23.</p> <p>Review on 12-5-23 of Client #2's physician's orders dated 10-30-23 provided by the Qualified Professional revealed: -Xanax 0.5 mg (milligram) po (by mouth) BID (2 times per day) PRN (as needed) anxiety -</p>	V 118	<p>As stated in summary of deficiencies, AFL provider transcribed Xanax 0.5mg BID and medication order states that it is PRN. This is a medication that the individual has been taking twice a day for several years and the medication order was written as such until she had a provider change. QP has reviewed with the AFL that the medications must be written on the MAR and administered as ordered by the physician. QP typed the medications onto the MARS as ordered by the physician for the individuals residing in the AFL. The AFL now has a template of the MARS to use and made changes that is easier to read and can be added to and deleted as needed. QP went to the home on 12/12/2023 and reviewed MARS for Dec. An error correction was made to the MAR to correct the Xanax to reflect that it is to be given PRN. All other medications for the individual were transcribed correctly. QP reviewed MARS, medication orders, and medications for both individuals in the home and did not find any additional errors. AFL will obtain access to patient portals with individuals' doctors' offices if available to assist in obtaining medication orders. These orders will be reviewed after every medical visit and at least monthly for compliance. Additional training will be completed as needed.</p>	
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V 118	<p>Continued From page 2</p> <p>Continued 8-21-23.</p> <p>Review on 12-4-23 of Client #2's November and December 2023 MARs revealed:</p> <ul style="list-style-type: none"> -November 2023 <ul style="list-style-type: none"> -Xanax 2/D (2 per day) 0.5 -Xanax had been marked as administered 2 times per day. -December 2023 <ul style="list-style-type: none"> -Xanax 2/D (2 per day) 0.5 -Xanax had been marked as administered 2 times per day. <p>Review on 12-7-23 of Training Certificates provided by the Qualified Professional (QP) revealed:</p> <ul style="list-style-type: none"> -AFL Provider #1 had been retrained in Medication Management - (Overview, Understanding Medications, Labels and Documentation, and Administering Medications) and Preventing Medication Errors on 11-2-23. -AFL Provider #2 had been retrained in Medication Management - (Overview, Understanding Medications, Labels and Documentation, and Administering Medications) and Preventing Medication Errors on 11-5-23. <p>Interview on 12-4-23 with Client #2 revealed:</p> <ul style="list-style-type: none"> -Took medications at the facility. -Took a medication daily for anxiety that helped her. -Did not know the name of the medication. <p>Interview on 12-6-23 with the AFL Provider #1 revealed:</p> <ul style="list-style-type: none"> -Client #2 had previously taken Xanax as a daily scheduled medication. -Wrote a brand new MAR at the end of the month for the next month. -"That would have been my error." (the MAR 	V 118		

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V 118	<p>Continued From page 3</p> <p>transcribed incorrectly) "That is not the way it was intended." (the medication prescribed as a PRN) - "I can only do so much with the medications. I have fought the medication thing for years"</p> <p>Interview on 12-6-23 with the AFL Provider #2 revealed: "[AFL Provider #1] always handles all the medications. We both have been extensively trained." -The MAR " ...is checked and rechecked." "My wife is giving them the verbiage that is supposed to be giving. We know what everybody wants, the paperwork is getting messed up on [medical providers] end. " - "[Medical provider] created the script and how it is supposed to be given."</p> <p>Interview on 12-4-23, 12-5-23, and 12-7-23 with the QP revealed: -AFL Provider #1 and #2 had been retrained in Medication Management. -Client #2 had previously taken Xanax as a daily scheduled medication. - "They (prescribing doctors) are changing medications every time she sees her (Client #2) and sending the order to the pharmacy." -Told the AFL Providers they had to double check the MAR when they transcribe them. -AFL Provider #1 transcribed the MAR " ...and that is what I am thinking we need to be doing differently." -Provided oversight on the MARs. "I missed the PRN being left off (the November MAR)." - "When I go into the home I am looking at the MARs." - "In the case with [Client #2] her Xanax was scheduled previously and it wasn't a red flag to see it without it being a PRN. "</p>	V 118		

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V 118	Continued From page 4 "Her (Client #2) previous primary care physician changed who did not have it down as a PRN. And with the new primary care physician there were a lot of issues. And that is where it switched (the change in orders)."	V 118		