

Division of Health Service Regulation

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| STATEMENT OF DEFICIENCIES<br>AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA<br>IDENTIFICATION NUMBER:<br><br><b>MHL014-088</b> | (X2) MULTIPLE CONSTRUCTION<br>A. BUILDING: _____<br><br>B. WING: _____ | (X3) DATE SURVEY<br>COMPLETED<br><br><b>03/22/2023</b> |
|---|--|--|--|

NAME OF PROVIDER OR SUPPLIER

STREET ADDRESS, CITY, STATE, ZIP CODE

**THE BAKER HOME**

**4856 SAGE MEADOW CIRCLE  
HICKORY, NC 28601**

| (X4) ID<br>PREFIX<br>TAG | SUMMARY STATEMENT OF DEFICIENCIES<br>(EACH DEFICIENCY MUST BE PRECEDED BY FULL<br>REGULATORY OR LSC IDENTIFYING INFORMATION)  | ID<br>PREFIX<br>TAG | PROVIDER'S PLAN OF CORRECTION<br>(EACH CORRECTIVE ACTION SHOULD BE<br>CROSS-REFERENCED TO THE APPROPRIATE<br>DEFICIENCY) | (X5)<br>COMPLETE<br>DATE |
|--------------------------|---|---------------------|--|--------------------------|
| V 000                    | <p><b>INITIAL COMMENTS</b></p> <p>An annual and follow-up survey was completed on March 22, 2023. A deficiency was cited.</p> <p>The facility is licensed for the following service category: 10A NCAC 27G .5600F Supervised Living for Alternative Family Living.</p> <p>The facility is licensed for 3 and currently has a census of 2. The survey sample consisted of audits of 2 current clients.</p>   | V 000               |  |                          |
| V 118                    | <p><b>27G .0209 (C) Medication Requirements</b></p> <p>10A NCAC 27G .0209 MEDICATION REQUIREMENTS</p> <p>(c) Medication administration:</p> <p>(1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs.</p> <p>(2) Medications shall be self-administered by clients only when authorized in writing by the client's physician.</p> <p>(3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications.</p> <p>(4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be recorded immediately after administration. The MAR is to include the following:</p> <p>(A) client's name;</p> <p>(B) name, strength, and quantity of the drug;</p> <p>(C) instructions for administering the drug;</p> <p>(D) date and time the drug is administered; and</p> <p>(E) name or initials of person administering the drug.</p> | V 118               | <p><b>DHSR - Mental Health</b></p> <p><b>MAY 11 2023</b></p> <p><b>Lic. &amp; Cert. Section</b></p>                      |                          |

Division of Health Service Regulation

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

STATE FORM

6899

9HZN11

If continuation sheet 1 of 4

*Carolyn Miller*

*CEd*

*5-5-2023*

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| V 118   | <p>Continued From page 1</p> <p>(5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.</p> <p>This Rule is not met as evidenced by:<br/>Based on observation, record review and interview the facility failed to obtain physician orders for the medications administered to one of two audited clients (Client #1) and failed to administer medications to the client as prescribed for one of two audited clients (Client #2). The findings are:</p> <p>Review on 3/21/23 of Client #1's record revealed:<br/>-Admitted 5/4/15.<br/>-Diagnoses of Moderate Intellectual Developmental Disability, Unspecified Psychosis, Bipolar Disorder, Unspecified Impulse Disorder, Unspecified Disruptive Mood Dysregulation Disorder, and Generalized Anxiety Disorder.<br/>-No physician orders for any medications.</p> <p>Observation on 3/21/23 at 3:28 p.m. of Client #1's medications revealed:<br/>-Buspirone (anxiolytic) HCL (Hydrochloride) 10 mg (milligrams) - 1 tablet 2 times a day.<br/>-Propranolol (beta blocker) ER (Extended Release) 80 mg - 1 capsule daily.<br/>-Gabapentin (anticonvulsant) 100 mg - 1 capsule 2 times a day.<br/>-Metformin (anti-diabetic) 500 mg - 2 tablets daily.<br/>-Sertraline (antidepressant) HCL 100 mg - 1 1/2 tablets daily.</p> | V 118   |  |  |

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| V 118   | <p>Continued From page 2</p> <p>-Risperidone (antipsychotic) 2 mg - 1 tablet 2 times a day.</p> <p>-Levothyroxine (thyroid hormone) 25 mcg (micrograms) - 1 tablet daily.</p> <p>Review on 3/21/23 of Client #1's Medication Administration Records (MARs) from January 2023 to present date revealed:</p> <p>-The above medications were administered as observed on the medication bottles.</p> <p>Review on 3/22/23 of Client #1's physician orders obtained by the Alternative Family Living (AFL) provider on 3/22/23 revealed:</p> <p>-6/16/22 - Buspirone HCL 10 mg - 1 tablet 2 times a day.</p> <p>-8/8/22 - Propranolol ER 80 mg - 1 capsule daily.</p> <p>-9/1/22 - Gabapentin 100 mg - 1 capsule 2 times a day.</p> <p>-11/29/22 - Metformin 500 mg - 2 tablets daily.</p> <p>-11/30/22 - Sertraline HCL 100 mg - 1 1/2 tablets daily.</p> <p>-12/6/22 - Risperidone 2 mg - 1 tablet 2 times a day.</p> <p>-3/3/23 - Levothyroxine 25 mcg - 1 tablet daily.</p> <p>Review on 3/21/23 of Client #2's record revealed:</p> <p>-Admitted 3/13/23.</p> <p>-Diagnoses of Traumatic Brain Injury (TBI) Cognitive Disorder, Personality Change due to TBI, Acute Adjustment Disorder with Depressed Mood, Encephalopathy Unspecified, Epilepsy Unspecified, Constipation, Type 2 Diabetes Mellitus, Ataxia Unspecified, Hypo-osmolality, Hyponatremia, Hypothyroidism, Essential Hypertension, and Obsessive Compulsive Disorder.</p> <p>-2/3/23 physician order for Polyethylene Glycol Powder (laxative) 3350 - Dissolve 17 grams in 8 ounces of liquid daily.</p> | V 118   |  |  |

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| V 118   | Continued From page 3<br><br>Observation on 3/21/23 at 4:01 p.m. of Client #2's medications revealed:<br>-Polyethylene Glycol Powder 3350 - Dissolve 17 grams in 8 ounces of liquid daily.<br><br>Review on 3/21/23 of Client #2's MAR from 3/13/23 to present date revealed:<br>-Polyethylene Glycol Powder 3350 - Dissolve 17 grams in 8 ounces of liquid daily had no initials to indicate it was administered on 3/18/23 and 3/19/23.<br><br>Interview on 3/21/23 with the AFL provider revealed:<br>-She did not give Client #2's Polyethylene Glycol Powder daily.<br>-She thought she was to give it every 3rd day if he did not have a bowel movement.<br>-She thought she had all of Client #1's physician orders but was unable to locate them.<br>-She would call the doctor immediately and obtain the orders. | V 118   | AFL Provider attended Medication Administration Review Training on 03/30/2023 where the RN reviewed Medication Administration procedures (including identifying routine medications v. PRN medications).<br><br>QP met with AFL provider on 03/30/2023 and reviewed client's medication list and ensure all routine medications are being given and documented correctly on MAR as prescribed. MARs were reviewed at this time by QP and reviewed again on 4/10/2023. All medications are currently being given as prescribed. QP will continue to review MARs monthly for correctness.<br><br>AFL Provider called physician of Client 1 and obtained signed orders for all prescribed medications. QP Provided a physician's order form completed with all of client's prescribed medications that will get signed at all doctor's appointments with prescribing physicians. QP will collect updated signed copy of physician's orders a minimum of quarterly to ensure these stay up to date. | 03/30/2023<br><br>03/30/2023<br><br>03/22/2023         |