DIVISION	of Health Service Regulation			LOLL I OINN
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
	revealed: "What immediate action will the facility take to ensure the safety of the consumers in your care? -Ropes (facility) staff will review each consumer medication order and align with the MAR Forms [completed 7/28]. Describe your plans to make sure the above happens: -Check each MAR form against signed medication service order each month as a two-step process. Lead QP (ED/QP) will sign off on form completed by team lead (HM) each month to make sure orders are aligned. [completed 8/1] -Ropes will create a training around creating/reviewing the MAR Forms and have employees sign for understanding. [Will be completed by 8/19] -Ropes staff will not give clients medication from pill box. Ropes will instead use blister packs or bottle when giving out meds. [Completed 7/28] -Ropes staff will ensure that the MAR Form is correct for each day. [Completed 7/28] -Team lead [HM] will oversee the process." Clients #1 and #2 were 13-15 years old with diagnoses which included Autism Spectrum Disorder, Oppositional Defiant Disorder, Attention Deficit Hyperactivity Disorder, Persistent Depressive Disorder, Conduct Disorder, and Disruptive Mood Dysregulation Disorder. Clients #1 and #2 were prescribed medications to assist with their mental health needs and were dependent upon facility staff for medication administration. There were no signed medication orders in the facility for Client #1. The July, 2022 MAR revealed inaccuracies with administration directions for Clonidine HCL, Trazodone HCL, and Risperidone. Client #2 was	V 118	STAFF NOW USE BLISTER PACKS TO PREVENT ANY MEDICATION ERRORS AND ENSURE DAILY COUNT. ED/QP PROVIDED MEDICATION TRAINING TO ALL STAFF REGARDING NEW ELECTRONIC MAR, AND TRACKING. BEGINNING 01/01/2023 AGENCY NOW TRACK MAR, PHYSICIAN ORDERS, ELECTRONICALLY. TO MAKE SURE THAT MAR ALIGNS WITH DR ORDERS, MAKE SURE MAR IS ALWAYS CURRENT. ALL STAFF RECEIVED REFRESHER COURSE FROM ED/QP. AND STAFF NOW USE 2-STEP PROCESS	8/19/2022, 10/19/2022 AND ONGOING ANNUALLY

AND PLAN OF CORRECTION	IDENTIFICATION NUMBER:	A. BUILDING:	(X3) DATE SURVEY COMPLETED
	MHL0601464	B. WING	08/30/2022

ROPES, II	NC 10	REET ADDRESS, CITY, S 0721 GLENLUCE AVE HARLOTTE, NC 2821	NUE	
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLE DATE
V 118	Continued From page 21	V 118		
V 131	not administered his Tegretol 200mg at 8pm for the entire month of July, 2022. There were still 26 tabs of Client #2's Tegretol on 7/27/22 despite 60 tabs being dispensed on 7/1/22 with orders to administer twice daily. Furthermore, the pill boxes were used for Clients #1 and #2 with ED/QP dispensing medications into the pill boxes weekly. This deficiency a Type A1 rule violation for serious neglect and must be corrected within 23 days. An administrative penalty of \$2,000.00 is imposed. If the violation is not corrected within 23 days, an additional administrative penalty of \$500.00 per day will be imposed for each day the facility is out of compliance beyond the 23rd day.		STAFF NOW USE BLISTER PACKS TO PREVENT ANY MEDICATION ERRORS.	08/19/2022 10/19/2022 AND ONGOING ANNUALI
	G.S. 131E-256 (D2) HCPR - Prior Employment Verification G.S. §131E-256 HEALTH CARE PERSONNEL REGISTRY (d2) Before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the Health Care Personnel Registry and shall note each incident of access in the appropriate business files.	3		
	This Rule is not met as evidenced by: Based on record review and interview, the facility failed to ensure the Health Care Personnel Registry (HCPR) was accessed prior to an offer of employment affecting 1 of 3 audited staff (Staff #3). The findings are:		ED/QP PULLED NEW HEALTH CARE PERSONNEL REGISTRY ON STAFF #3. EACH STAFF WILL BE CHECKED WITH HCPR AND BACKGROUND SCREENED PRIOR TO WORKING WITH CLIENTS	08/05/2022

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/C

	OF CORRECTION	IDENTIFICATION NUMBER:	A. BUILDING:	E CONSTRUCTION	(X3) DATE SURVEY COMPLETED
		MHL0601464	B. WING		08/30/2022
ROPES, I		10721 G CHARL	ADDRESS, CITY, ST GLENLUCE AVEN OTTE, NC 28213		
(X4) ID PREFIX TAG	(EACH DEFICIENCY	ATEMENT OF DEFICIENCIES / MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOUL) BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	
	11/14/21 and would provides unable to locate Staff #3; -During the exit confermake any comments a over it all (deficiencies 27G .5601 Supervised 10A NCAC 27G .5601 (a) Supervised living i provides residential se home environment who these services is the carehabilitation of individillness, a development or a substance abuse of supervision when in the (b) A supervised living the facility serves either (1) one or more a Minor and adult clients same facility. (c) Each supervised living the individual clients same facility. (d) Each supervised living the facility serves adults whose prillness but may also have staged to serve a specific serves adults whose prillness but may also have over the stage of the supervised below:	and 8/4/22 with the salified Professional stional HCPR report prior to rovide it if he could locate it; a previous HCPR report on sence, he did not wish to and revealed: "let's just go")." I Living - Scope SCOPE s a 24-hour facility which revices to individuals in a sere the primary purpose of are, habilitation or uals who have a mental all disability or disabilities, disorder, and who require the residence. I facility shall be licensed if in: minor clients; or adult clients. I shall not reside in the serific population as son means a facility which imary diagnosis is mental	V 131		

	OF DEFICIENCIES CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CO		(X3) DATE SURVEY COMPLETED
		MHL0601464	B. WING		08/30/2022
ROPES, INC	OVIDER OR SUPPLIER	10721 GL	DRESS, CITY, STATE ENLUCE AVENUE TTE, NC 28213	ZIP CODE	
(X4) ID PREFIX TAG	(EACH DEFICIENC)	ATEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULI BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	

	Tribular octaloc regulation		
V 289	Continued From page 23	V 289	
	serves minors whose primary diagnosis is a developmental disability but may also have other diagnoses; (3) "C" designation means a facility which serves adults whose primary diagnosis is a developmental disability but may also have other diagnoses; (4) "D" designation means a facility which serves minors whose primary diagnosis is substance abuse dependency but may also have other diagnoses; (5) "E" designation means a facility which serves adults whose primary diagnosis is substance abuse dependency but may also have other diagnoses; or (6) "F" designation means a facility in a private residence, which serves no more than three adult clients whose primary diagnoses is mental illness but may also have other disabilities, or three adult clients or three minor clients whose primary diagnoses is developmental disabilities but may also have other disabilities who live with a family and the family provides the service. This facility shall be exempt from the following rules: 10A NCAC 27G .0201 (a)(1),(2),(3),(4),(5)(A)&(B); (6); (7) (A),(B),(E),(F),(G),(H); (8); (11); (13); (15); (16); (18) and (b); 10A NCAC 27G .0207 (b),(c); 10A NCAC 27G .0205 (a),(b); 10A NCAC 27G .0207; (b),(c); 10A NCAC 27G .0208 (b),(e); 10A NCAC 27G .0209[(c)(1) - non-prescription medications only] (d)(2),(4); (e) (1)(A),(D),(E);(f);(g); and 10A NCAC 27G .0304 (b)(2),(d)4). This facility shall also be known as alternative family living or assisted family living (AFL).		

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:	(X3) DATE SURVEY COMPLETED	
	MHL0601464	B. WING	08/30/2022	
NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 10721 GLENLUCE AVENUE CHARLOTTE, NC 28213				

Division	of Health Service Regulation			ZIZOZZ FORIVI
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 289	This Rule is not met as evidenced by: Based on record review and interview, the facility failed to provide services in the care, habilitation, or rehabilitation of individuals who have a developmental disability affecting 1 of 1 former client (Former Client #3 (FC#3)). The findings are: CROSS REFERENCE: 10A NCAC 27G .0203 Competencies of Qualified Professionals and Associate Professionals (V109) Based on record review and interview, 1 of 1 audited Qualified Professional (Executive Director/Qualified Professional (ED/QP)) failed to demonstrate the knowledge, skills, and abilities required by the population served. CROSS REFERENCE: 10A NCAC 27G .0205 Assessment and Treatment/Habilitation or Service Plan (V112) Based on record review and interview, the facility failed to develop and implement treatment strategies to address the needs of the clients affecting 1 of 1 former client (Former Client #3 (FC#3)).	V 289	ALL STAFF RE-TRAINED IN IDD SERVICE DEFINITION. STAFF ALSO WILL UTILIZE OUTSIDE TRAINING RESOURCES WHEN AVAILABLE AND APPROPRIATE TO ENSURE CONTINUING EDUCATION. PERSON-CENTERED PLANS WILL BE REVIEWED QUARTERLY DURING TREATMENT TEAM MEETINGS TO ENSURE THE EFFICACY OF INTERVENTIONS. IN THE EVENT OF SEVERE ESCALATION IN BEHAVIORS STAFF WILL UPDATE PLAN VIA TREATMENT TEAM MEETING AND PSYCHOLOGIST TO ADJUST TO CLIENTS' BEHAVIORS. In FC#3 CASE HIS PLAN WAS UPDATED TO INCLUDE INTERVENTIONS FOR ANXIETY FOR HIS FEELING ABOUT ATTENDING SCHOOL AND COMMUNITY. ROPES HONORS THE INPUT AND DISCRETION OF STATE REPS AND WILL UPDATE PLAN AS BEHAVIORS ESCALATE.	
	Assurance for Continuity of Care for Individuals with Mental Retardation (V368) Based on record review and interview, the facility failed to ensure continuity of care in an alternative facility when the original facility could no longer provide the necessary care and failed to notify the area authority serving the client's county of residence of the intent to discharge a client who was in need of continuing care at least 60 days prior to discharge affecting 1 of 1 former client (Former Client #3 (FC#3)).		ALL STAFF TRAINING COURSE IN IDD SERVICE DEFINITION. ALL STAFF RE-FRESHER IN TRANSITION/DISCHARGE TO ADDRESS NOTIFICATION AREA AUTHORITY IN COUNTY	09/01/2022, ONGOING, ANNUALLY
	affecting 1 of 1 former client (Former Client #3 (FC#3)). CROSS REFERENCE: General Statute 112C-63 Assurance for Continuity of Care for Individuals with Mental Retardation (V368) Based on record review and interview, the facility failed to ensure continuity of care in an alternative facility when the original facility could no longer provide the necessary care and failed to notify the area authority serving the client's county of residence of the intent to discharge a client who was in need of continuing care at least 60 days prior to discharge affecting 1 of 1 former client		DISCRETION OF STATE REPS AND W PLAN AS BEHAVIORS ESCALATE. ALL STAFF TRAINING COURSE IN IDIDEFINITION. ALL STAFF RE-FRESHER IN TRANSITION/DISCHARGE TO ADDRESS	D SERVICE SS COUNTY

	OF DEFICIENCIES F CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CO	ONSTRUCTION	(X3) DATE SURVEY COMPLETED
		MHL0601464	B. WING		08/30/2022
NAME OF PRO	OVIDER OR SUPPLIER	10721 GL	DDRESS, CITY, STATE, ENLUCE AVENUE TTE, NC 28213	ZIP CODE	
(X4) ID PREFIX TAG	(EACH DEFICIENCY	ATEMENT OF DEFICIENCIES MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	

V 289 Continued From page 25 V 289 Review on 8/4/22 of the first Plan of Protection completed by the ED/QP dated 8/3/22 revealed: "What immediate action will the facility take to ensure the safety of the consumers in your care? -Ensure a continuity of care plan ALL STAFF COMPLETED RE-FRESHER IN 09/01/2022 Describe your plans to make sure the above TRANSITION/DISCHARGE AND REVIEWED AND ROPES UPDATED POLICY ON DISCHARGE ONGOING PROCEDURES. DISCHARGE MINUTES WILL BE REVIEWED -We will give 30 day notice letter in writing for REVIEWED MONTHLY AT CFT MEETINGS TO AT LEAST future discharges. [effective immed ADDRESS ALL STAFF WILL NOW GIVE 60-DAY ANNUALLY (immediately)] NOTICE LETTER IN WRITING TO BOTH THE -Work with care coordinator to secure AREA AUTHORITY AND CLIENT GUARDIAN/DSSCARE COORDINATOR FOR ANY placement/service coordination for continued FUTURE DISCHARGES, ROPES WILL care and continuity. COORDINATE MONTHLY MEETING MINUTES TO -Document any refusals or attempts to ENSURE UPDATED MONTHLY COORDINATION WITH DISCHARGE PLANNING TO SECURE coordinate/follow up with care." FUTURE PLACEMENT/SERVICE COORDINATION FOR CONTINUED CARE AND CONTINUITY, ALL Review on 8/29/22 of the second Plan of STAFF WILL DOCUMENT ANY REFUSALS OR Protection completed by the ED/QP dated ATTEMPTS TO COORDINATE FOLLOW-UP WITH 8/29/22 revealed: CARE IN ELECTRONIC FILE. "What immediate action will the facility take to ensure the safety of the consumers in your care? Qualified professionals with Ropes (facility) will be thoroughly screened and trained. Competence shall be demonstrated by exhibiting core skills including technical knowledge; cultural awareness; analytical skills; decision-making; ALL STAFF HAS TAKEN REFRESHER COURSE IN interpersonal skills; communication skills; and IDD SERVICE DEFINITION/POPULATION, TO clinical skills. Qualified professionals will also be DEMONSTRATE AND EXHIBIT CORE SKILLS, 09/01/2022, INCLUDING TECHNICAL KNOWLEDGE. ANNUALLY. screened for appropriate background experience CULTURAL AWARENESS, ANALYTICAL SKILLS, ONGOING and education. DECISION MAKING, INTERPERSONAL SKILLS. COMMUNICATION SKILLS, AND CLINICAL SKILLS. Describe your plans to make sure the above ALL STAFF WILL CONTINUE TO BE SCREENED Train staff with our 17 Core trainings and ensure FOR APPROPRIATE BACKGROUND EXPERIENCE annual and monthly supervision plans." AND EDUCATION, IN THE EVENT OF SEVERE Review on 8/29/22 of the third Plan of Protection CHANGE IN BEHAVIORS/CONDITION OF CLIENT PLAN WILL BE REVIEWED IMMEDIATELY AND completed by the ED/QP dated 8/29/22 sent in ADJUSTED APPROPRIATELY TO ENSURE email format as opposed to the use of the EFFICACY OF INTERVENTIONS IN PLAN.

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:	(X3) DATE SURVEY COMPLETED	
	MHL0601464	B. WING	08/30/2022	
NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 10721 GLENLUCE AVENUE CHARLOTTE, NC 28213				

Division	of Health Service Regulation		09/	02/2022 FORM
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
	Continued From page 26 Division of Health Service Regulation form revealed: "Our plan of protection includes a mandatory review of client person plan when behaviors escalate. Each QP (qualified professional) assigned to the client will be assigned to staff and review person centered plan with supervisor to see if interventions need to be added or adjusted. Ropes will ensure that each client is notified of discharge procedures within 60 days of discharge. Ropes has updated client manual and policies to reflect these changes." Review on 8/30/22 of the fourth Plan of Protection completed by the ED/QP dated 8/29/22 revealed: "What immediate action will the facility take to ensure the safety of the consumers in your care? Our plan for protection includes a mandatory review of client person plan when behaviors escalate. Each QP assigned to the client will be trained to review person centered plan with supervisor, as needed, to see if interventions need to be added or adjusted. Ropes will also ensure that each client is notified of discharge procedures within 30 days of discharge procedures within 30 days of discharge procedures within 30 days of discharge rocedures will coordinate discharge with appropriate stakeholders including care coordinators and client family/guardian to ensure continuity of care. Ropes will ensure each client has smooth transition of care to next level of service. Ropes has updated client manual and policies to reflect these changes. Describe your plans to make sure the above happens. Ropes plans to review the PCP (person centered plans) each time an incident or significant change occurs with a client. Ropes will staff each escalation with supervisor and lead QP along with	V 289		

STATEMENT OF DEFICIENCIES	(X1) PROVIDER/SUPPLIER/CLIA	(X2) MULTIPLE CONSTRUCTION A. BUILDING:	(X3) DATE SURVEY
AND PLAN OF CORRECTION	IDENTIFICATION NUMBER:		COMPLETED
	MHL0601464	B. WING	08/30/2022

Division of Health Service Regulation NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 10721 GLENLUCE AVENUE ROPES, INC **CHARLOTTE, NC 28213** SUMMARY STATEMENT OF DEFICIENCIES (X4) ID PROVIDER'S PLAN OF CORRECTION ID (X5)PREFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL (EACH CORRECTIVE ACTION SHOULD PREFI COMPLETE TAG REGULATORY OR LSC IDENTIFYING INFORMATION) X TAG RE DATE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) V 289 Continued From page 27 V 289 pertinent stakeholders to ensure changes are made when necessary. Ropes will be sure each client and stakeholder is informed of discharge process and notification will be sent out immediately with intent to discharge from services. Ropes will notify, in writing, all pertinent stakeholders to inform of discharge status and options for continuity of care. Ropes will ensure access to Policy and Procedures for all stakeholders." FC#3 was 17 years old and was diagnosed with Autism Spectrum Disorder and Disruptive Mood Dysregulation Disorder. He had a history of losing his temper easily, verbal threats toward others, stealing, running away, self-harm, property destruction, and assault. FC#3 was suspended and/or expelled from two school settings due to assaulting school officials and law enforcement. ED/QP did not coordinate educational services and did not develop and implement treatment strategies to address such services. FC#3's aggressive and angry outbursts increased in intensity and severity, but ED/ QP did not develop and implement new treatment strategies to address the behaviors. ED/QP discharged FC#3 from the facility during a sudden unplanned trip to FC#3's Grandfather's home traveling over 3 hours in the middle of the night and left FC#3 on the front porch of the home with only one week of medications and no paperwork. Continuity of care was not maintained for FC#3 due to the manner of the sudden unplanned discharge. ED/QP was responsible for overseeing the facility, client treatment, coordination of care, admissions and discharges, and staff supervision, but he failed to ensure discharge procedures were followed as required. This deficiency constitutes a Type A1 rule violation for serious neglect and must be

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:			SURVEY
		MHL0601464	B. WING			30/2022
NAME OF F	PROVIDER OR SUPPLIER	10721 G	DDRESS, CITY, S LENLUCE AVE DTTE, NC 2821			
(X4) ID PREFIX TAG	(EACH DEFICIENC)	ATEMENT OF DEFICIENCIES / MUST BE PRECEDED BY FULL .SC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)		(X5) COMPLETE DATE
V 289	corrected within 23 da penalty of \$2,000.00 i not corrected within 2:	lys. An administrative s imposed. If the violation is 3 days, an additional of \$500.00 per day will be the facility is out of	V 289			
V 367	10A NCAC 27G .06 REPORTING REQUIF CATEGORY A AND B (a) Category A and B level II incidents, exce the provision of billable consumer is on the pro incidents and level II d to whom the provider r 90 days prior to the incresponsible for the cat services are provided of becoming aware of the be submitted on a form Secretary. The report r in person, facsimile or means. The report sha information: (1) reporting pro and identification inform (2) client identific (3) type of incide (4) description of (5) status of the cause of the incident; a (6) other individu notified or responding. (b) Category A and B p	REMENTS FOR PROVIDERS providers shall report all pt deaths, that occur during e services or while the oviders premises or level III eaths involving the clients endered any service within cident to the LME chment area where within 72 hours of e incident. The report shall in provided by the may be submitted via mail, encrypted electronic II include the following vider contact mation; cation information; int; if incident; effort to determine the and als or authorities roviders shall explain any information. The provider	V 367	ED COMPLETED INCIDENT REPORTS COM AS NECESSARY FOR ESCALATED BEHAVIOUS CLIENT. NC IRIS CONTACTED TO UPDATE INCIDENT REPORT ERRORS. STAFF RETRAINCIDENT REPORTING REQUIREMENTS. GOTOWARD LEAD QP IS REPSONISIBLE FOR INCIDENT REPORTING WITHIN 72 HOURS.	ORS BY INED ON	08/04/2022 CONTACTED IRIS SUPPORT 09/01/2022 STAFF RETRAINED

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:		(X3) DATE SURVEY COMPLETED
		MHL0601464	B. WING		08/30/2022
ROPES, IN	ROVIDER OR SUPPLIER	10721 GL	DRESS, CITY, STATE, ENLUCE AVENUE ITE, NC 28213	ZIP CODE	
(X4) ID PREFIX TAG	(EACH DEFICIENC)	ATEMENT OF DEFICIENCIES / MUST BE PRECEDED BY FULL LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULI BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(//3)

09/02/2022 FORM Division of Health Service Regulation Continued From page 29 V 367 report recipients by the end of the next business day whenever: the provider has reason to believe that information provided in the report may be erroneous, misleading or otherwise unreliable; the provider obtains information required on the incident form that was previously unavailable. (c) Category A and B providers shall submit, upon request by the LME, other information obtained regarding the incident, including: hospital records including (1) confidential information; (2)reports by other authorities; and (3)the provider's response to the incident. (d) Category A and B providers shall send a copy of all level III incident reports to the Division of Mental Health, Developmental Disabilities and Substance Abuse Services within 72 hours of becoming aware of the incident. Category A providers shall send a copy of all level III incidents involving a client death to the Division of Health Service Regulation within 72 hours of becoming aware of the incident. In cases of client death within seven days of use of seclusion or restraint, the provider shall report the death immediately, as required by 10A NCAC 26C .0300 and 10A NCAC 27E .0104(e)(18). (e) Category A and B providers shall send a report quarterly to the LME responsible for the catchment area where services are provided. The report shall be submitted on a form provided by the Secretary via electronic means and shall include summary information as follows:

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:	(X3) DATE SURVEY COMPLETED	
	MHL0601464	B. WING	08/30/2022	
NAME OF PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY, STATE, ZIP CODE		
ROPES, INC 10721 GLENLUCE AVENUE CHARLOTTE, NC 28213				

medication errors that do not meet the

restrictive interventions that do not meet

searches of a client or his living area;

definition of a level II or level III incident;

the definition of a level II or level III incident;

(1)

(2)

(3)

	of Health Service Regulation			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 367	Continued From page 30 (4) seizures of client property or property in the possession of a client; (5) the total number of level II and level III incidents that occurred; and (6) a statement indicating that there have been no reportable incidents whenever no incidents have occurred during the quarter that meet any of the criteria as set forth in Paragraphs (a) and (d) of this Rule and Subparagraphs (1) through (4) of this Paragraph.	V 367		
	This Rule is not met as evidenced by: Based on record review and interview, the facility failed to ensure level II incidents were reported to the local management entity within 72 hours of becoming aware of the incident. The findings are:			
	Review on 7/27/22 of Former Client #3 (FC #3's) record revealed: -Admitted 11/8/22; -Discharged 5/17/22; -17 years old; -Diagnosed with Autism Spectrum Disorder, Disruptive Mood Dysregulation Disorder; -Undated discharge notice written by the Executive Director/Qualified Professional (ED/QP) revealed FC#3 engaged in behaviors including attempting to steal a staff car and assaulting staff on 5/6/22 and threatened to jump out of a moving vehicle and jump off a parking garage on 5/7/22.			

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:	(X3) DATE SURVEY COMPLETED
	MHL0601464	B. WING	08/30/2022

NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 10721 GLENLUCE AVENUE ROPES, INC **CHARLOTTE, NC 28213** (X4) ID SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION PREFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFI (EACH CORRECTIVE ACTION SHOULD COMPLETE TAG REGULATORY OR LSC IDENTIFYING INFORMATION) X TAG DATE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) V 367 Continued From page 31 V 367 Review on 7/27/22 of the facility's Incident Reports for period 5/1/22-7/27/22 revealed: -No Level II incident reports completed for FC#3. Interview on 7/28/22 with law enforcement revealed: -Request for law enforcement assistance during a welfare check for FC#3 on 5/14/22. Interviews on 7/27/22 and 8/4/22 with the ED/QP revealed: -Believed all level 2 incident reports were completed in North Carolina Incident Response Improvement System (NC IRIS); -FC#3 had significant behavioral issues including reports to law enforcement in May, 2022 prior to discharge: -Would follow up with NC IRIS staff to ensure all incident reports were completed and submitted properly; -During the exit conference, he revealed he spoke with someone at NC IRIS to ensure proper completion and submission of all incident reports. V 368 G.S. 122C-63 Assurance for continuity of care V 368 § 122C-63 ASSURANCE FOR CONTINUITY OF CARE FOR INDIVIDUALS WITH MENTAL RETARDATION Any individual with mental retardation (a) admitted for residential care or treatment for other than respite or emergency care to any residential facility operated under the authority of this Chapter and supported all or in part by state-appropriated funds has the right to residential placement in an alternative facility if the client is in need of placement and if the original facility can no longer provide the necessary care or treatment.

	IT OF DEFICIENCIES	(X1) PROVIDER/SUPPLIER/CLIA	(X2) MULTIF	PLE CONSTRUCTION	(X3) DATE	SURVEY
AND PLAN	OF CORRECTION	IDENTIFICATION NUMBER:	A. BUILDING			PLETED
		MHL0601464	B. WING		08/	/30/2022
NAME OF F	ROVIDER OR SUPPLIER	STREET A	DDRESS CITY S	TATE, ZIP CODE		
ROPES, II	NC.		LENLUCE AVE	#1840 PRO 100		
10, 10, 11	10	CHARLO	OTTE, NC 2821	3		
(X4) ID	SLIMMARY ST	ATEMENT OF DEFICIENCIES	T			
PREFIX	(EACH DEFICIENC)	MUST BE PRECEDED BY FULL	ID PREFI	PROVIDER'S PLAN OF COR (EACH CORRECTIVE ACTION		(X5) COMPLETE
TAG	REGULATORY OR I	SC IDENTIFYING INFORMATION)	X TAG	BE		DATE
-n				CROSS-REFERENCED TO APPROPRIATE DEFICIE		
V 368	Continued From page	32	V 368		1017	
	-					
	(b) The operator of	of a residential facility				
		eare or treatment, for other ency care, for individuals				
	with mental retardatio					
		client's county of residence				
		facility or to discharge a				
	client who may be in r	need of continuing care at				1
		the closing or discharge.				
		ition to the area authority of				
		y or to discharge a client				
	who may be in need o					
	constitutes the operate	or's acknowledgement of				
		nue to serve the client until:				
	(1) The area author	ority determines that the				
	client is not in need of					
		oved to an alternative				
	residential placement; (3) Sixty days have					
	(3) Sixty days have whichever occurs first.					
		afety of the client who may				
	be in need of continuin	ig care, of other clients, of				
		tial facility, or of the general				
	public, is concerned, the					1
	period may be waived	by securing an emergency				
	placement in a more se	ecure and safe facility. The				
	operator of the residen	tial facility shall notify the				
	area authority that an e	emergency placement has				- 1
	been arranged within 2	24 hours of the placement.				
		the Secretary shall retain				
		sibilities upon receipt of				
	this notice. (c) An individual when the control of	no mou ho in nocd of				- 1
	continuing care may be	no may be in need of				- 1
	residential facility witho	out further claim for				
		t the area authority or the				- 1
	State if:	tare area authority of the				
- 1		or guardian, if the client is				1
		ed incompetent adult, or				
		t adjudicated incompetent,				- 1
	**					

	NT OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLI A. BUILDING:	E CONSTRUCTION	(X3) DATE SURVEY COMPLETED
		MHL0601464	B. WING		08/30/2022
ROPES, II	PROVIDER OR SUPPLIER	10721 GLE	DRESS, CITY, STA ENLUCE AVENU TE, NC 28213		
(X4) ID PREFIX TAG	(EACH DEFICIENC)	ATEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULI BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	

09/02/2022 FORM Division of Health Service Regulation V 368 | Continued From page 33 V 368 has entered into a contract with the operator upon the client's admission to the original residential facility the parent, guardian, or client who entered into the contract refuses to carry out the contract, (2)After an alternative placement for a client in need of continuing care is located, the parent or guardian who admitted the client to the residential facility, if the client is a minor or an adjudicated incompetent adult, or the client if an adult not adjudicated incompetent, refuses the alternative placement. Decisions made by the area authority regarding the need for continued placement or regarding the availability of an alternative placement of a client may be appealed pursuant to the appeals process of the area authority and subsequently to the Secretary or the Commission under their rules. If the appeal process extends beyond the operator's 60-day obligation to continue to serve the client, the Secretary shall arrange a temporary placement in a State facility for the mentally retarded pending the outcome of the appeal. (e) The area authority that serves the county of residence of the client is responsible for assessing the need for continuity of care and for the coordination of the placement among available public and private facilities whenever the authority is notified that a client may be in need of continuing care. If an alternative placement is not available beyond the operator's 60-day obligation to continue to serve the client,

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:	(X3) DATE SURVEY COMPLETED	
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	MHL0601464	B. WING	08/30/2022	
NAME OF PROVIDER OR SUPPLIER	STREET A	ADDRESS, CITY, STATE, ZIP CODE		
ROPES, INC	ROPES, INC 10721 GLENLUCE AVENUE CHARLOTTE, NC 28213			

the Secretary shall arrange for a temporary placement in a State facility for the mentally retarded. The area authority shall retain responsibility for coordination of placement during a temporary placement in a State facility. The Secretary is responsible for

Division	of Health Service Regulation			
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFI X TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 368	Continued From page 34  coordinative and financial assistance to the area authority in the performing of its duties to coordinate placement so as to assure continuity of care and for assuring a continuity of care placement beyond the operator's 60-day obligation period.  (g) The area authority's financial responsibility, through local and allocated State resources, is limited to:  (1) Costs relating to the identification and coordination of alternative placements;  (2) If the original facility is an area facility, maintenance of the client in the original facility for up to 60 days; and  (3) Release of allocated categorical State funds used to support the care or treatment of the specific client at the time of alternative placement if the Secretary requires the release.  (h) In accordance with G.S. 143B-147(a)(1) the Commission shall develop programmatic rules to implement this section, and, in accordance with G.S. 122C-112(a)(6), the Secretary shall adopt budgetary rules to implement this section. (1981, c. 1012; 1985, c. 589, s. 2.)	V 368		
	This Rule is not met as evidenced by: Based on record review and interview, the facility failed to ensure continuity of care in an alternative facility when the original facility could no longer provide the necessary care and failed to notify the area authority serving the client's county of residence of the intent to discharge a client who	O S.I B N D D N N IN O O A TII DO AI	OPES PRIDES ITSELF ON PROVIDING CONTINUITY OF CARE TO ALL INDIVIDUALS RECEIVING ERVICES AND WILL WORK HARD TO ENSURE EST PRACTICES IN THIS AREA. ROPES WILL OTIFY IN WRITING TO THE AREA AUTHORITY OR URING OUR MONTHLY MEETING OUR INTENT TO ISCHARGE OR INABILITY TO MEET CLIENTS' EEDS. QP AND ED FROM ROPES DID IN FACT OTIFY IN BOTH WRITING AND VIA PHONE OUR YENT TO DISCHARGE MORE THAN 120 DAYS UT. QP SET PLANS TO ENSURE ADEQUATE LEVEL F SERVICES UPON DISCHARGE AND CLIENT PCP ND CCA WERE UPDATED AND SIGNED TO RANSFER SERVICES. IN THE FUTURE WE WILL O OUR BEST TO FOLLOW UP WITH THE CLIENT FTER AND BEFORE DISCHARGE AND SET UP ERVICES IN CLIENT AREA. IN THE CASE OF CARE OORDINATOR WITH AREA AUTHORITY OR ISCHARGE PLANNING FORM WILL BE OMPLETED MONTHLY WHEN DISCHARGE IS	09/01/2022

Division of Health Service Reg	ulation		09/02/2022 FORIVI
Division of Health Service Reg	ulation	CARE. ROPES HOUSE MA WILL BE RESPONSIBLE	
STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION  A. BUILDING:	(X3) DATE SURVEY COMPLETED
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NAME OF PROVIDER OR SUPPLIER		RESS, CITY, STATE, ZIP CODE	
ROPES, INC		NLUCE AVENUE TE. NC 28213	

TAG REGULATORY OR LSC IDENTIFYING INFORMATION)  PREFI (EACH COR X TAG CROSS-	R'S PLAN OF CORRECTION (X5)  RRECTIVE ACTION SHOULD COMPLETE BE DATE  S-REFERENCED TO THE  OPRIATE DEFICIENCY)
was in need of continuing care at least 60 days prior to discharge affecting 1 of 1 former client (Former Client #3 (FC#3)). The findings are:  Review on 7/27/22 of FC#3's record revealed: -Admitted 11/8/21; -Discharged 5/17/22; -17 years old; -Diagnosed with Autism Spectrum Disorder, Disruptive Mood Dysregulation Disorder; -Admission assessment dated 11/2021 revealed: loses temper easily, verbal threats toward others, stealing, running away, self-harm, property destruction, and assault; -No documentation of contact to the county of residence for intent to discharge 60 days prior to discharge.  Attempted interview 7/25/22 with FC#3 was unsuccessful. A phone call placed to FC#3's cell phone was unanswered. A voicemail could not be left as no voicemail had been set up. A text message sent to the number was unanswered.  Interview on 7/27/22 with FC#'s Mother/Legal Guardian revealed: -FC#3 was dropped at his Grandfather's home after Executive Director/Qualified Professional (ED/QP) revealed he "could not do this (care for FC#3) anymore" after several hours into FC#3's behavioral outburst on 5/13/22-5/14/22; -FC#3 informed his mother that he was being transported to his Grandfather's home.  Interview on 7/28/22 with FC#3's Grandfather revealed: -ED/QP loaded FC#3's belongings into the vehicle sometime after midnight on 5/14/22 and drove FC#3 over 3 hours away to his home; -ED/QP and FC#3 arrived at his home shortly	

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NAME OF PROVIDER OR SUPPLIER  ROPES, INC		STREET ADDRESS, CITY, STATE, ZIP CODE  10721 GLENLUCE AVENUE CHARLOTTE, NC 28213				
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION	ID PREFI	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLET DATE		
	after 6am on the morning of 5/14/22; -ED/QP unpacked FC#3's belongings and place them on the front porch and drove away leaving FC#3; -ED/QP did not provide an explanation of the sudden unplanned discharge; -ED/QP left FC#3 on the front porch with only or week of medications and no paperwork.  Interviews on 7/28/22 and 8/4/22 with the ED/QI revealed: -Had discussed discharge with FC#3's mother/legal guardian and care coordinator during monthly meetings; however, no formal or definite discharge plans were made; -FC#3 was discharged to his Grandfather's care during a sudden unannounced visit to FC#3's Grandfather's home in the early morning hours of 5/14/22 traveling over 3 hours; -During the exit conference, he did not wish to make any comments and revealed: "let's just go over it all (deficiencies)."  This deficiency is cross-referenced into 10 A NCAC 27G .5601 Scope for a Type A1 rule violation and must be corrected within 23 days.	ne P	APPROPRIATE DEPROTENCE)			
	10A NCAC 27G .0303 LOCATION AND EXTERIOR REQUIREMENTS (c) Each facility and its grounds shall be maintained in a safe, clean, attractive and orderly manner and shall be kept free from offensive odor.		ROPES CLIENTS TAKE PRIDE IN UPKEEP AND MAINTENANCE OF OUR PREMISES IN ADDITION TO IT BEING A SOURCE OF REVENUE AND PHYSICAL ACTIVITY RELEASE. ROPES DOES IT BEST TO ENSURE THE UPKEEP OF THE PROPERTY WITH USING LAWN CARE AS A SKILL BUILDER ACTIVITY AND LET CLIENTS COMPLETE WORK IN THEIR BEST WAY POSSIBLE. ROPES WILL WORK CLOSER WITH CLIENTS AND STAFF TO ENSURE GROUNDS UPKEEP.	/01/2022		

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V 736	This Rule is not met a Based on observation was not maintained in and orderly manner. The Cobservation on 7/27/2 the facility revealed: -Grass was between 2 the front, side, and real-Downstairs entry hall patches of unpainted some control of the companion of th	s evidenced by: and interview, the facility a safe, clean, attractive, The findings are: 22 at approximately 12pm of 2 to 4 feet high in areas in ar yards; way and bathroom had sheetrock.  with the Executive fessional d last weekthe person not here right now;" ow the lawn;" com has to be painted	V 736	ROPES PAINTED THE PATCH ON THE WALL WHI TOWEL RACK WS HUNG, ONCE MUD DRIED ROP CARE OF DRYWALL REPAIR PATCHES.	ERE THE PES TOOK	08/15/2022	