| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: | (X2) MULTIPLE CONSTRUCTION | (X3) DATE SURVEY COMPLETED |
|---|---|---|---|
| | MHL068-131 | A. BUILDING: _____ B. WING _____ | C 12/08/2022 |

| NAME OF PROVIDER OR SUPPLIER | STREET ADDRESS, CITY, STATE, ZIP CODE |
|---|---|
| APOGEE HOME TWO | 7612 NC HIGHWAY 49 MEBANE, NC 27302 |

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| V 000 | INITIAL COMMENTS<br><br>A complaint survey was completed on December 8, 2022. The complaint (intake #NC00194897) was substantiated. Deficiencies were cited.<br><br>This facility is licensed for the following service category: 10A NCAC 27G. 5600A<br>Supervised Living for Adults with Mental Illness<br><br>The facility is licensed for 6 and currently has a census of 6.<br>The survey sample consisted of audits of 3 current clients. | V 000 | | |
| V 542 | 27F .0105(a-c) Client Rights - Client's Personal Funds<br><br>10A NCAC 27F .0105 CLIENT'S PERSONAL FUNDS<br>(a) This Rule applies to any 24-hour facility which typically provides residential services to individual clients for more than 30 days.<br>(b) Each competent adult client and each minor above the age of 16 shall be assisted and encouraged to maintain or invest his money in a personal fund account other than at the facility. This shall include, but need not be limited to, investment of funds in interest-bearing accounts.<br>(c) If funds are managed for a client by a facility employee, management of the funds shall occur in accordance with policy and procedures that:<br>(1) assure to the client the right to deposit and withdraw money;<br>(2) regulate the receipt and distribution of funds in a personal fund account;<br>(3) provide for the receipt of deposits made by friends, relatives or others;<br>(4) provide for the keeping of adequate financial records on all transactions affecting funds on deposit in personal fund account; | V 542 | | |

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| V 542 | Continued From page 1<br>(5) assure that a client's personal funds will be kept separate from any operating funds of the facility;<br>(6) provide for the deduction from a personal fund account payment for treatment or habilitation services when authorized by the client or legally responsible person upon or subsequent to admission of the client;<br>(7) provide for the issuance of receipts to persons depositing or withdrawing funds; and<br>(8) provide the client with a quarterly accounting of his personal fund account.<br><br>This Rule is not met as evidenced by:<br>Based on record reviews and interviews, the facility failed to provide quarterly accounting of personal funds account for one of three audited clients (#1). The findings are:<br><br>Review on 11/23/22 of Client #1's record revealed:<br>-Admission date of 9/28/17.<br>-Diagnoses of Schizoaffective Disorder, Autism Spectrum Disorder, Kline Felters Syndrome, Major Depressive Disorder and Intellectual Developmental Disability, Mild.<br>-There was evidence of remaining funds, recepits and monthly funds ledger.<br>-There was no evidence of quarterly accounting report of his personal funds.<br><br>Interview on 11/23/22 with Client #1 revealed:<br>-He wanted to keep his funds at the facility.<br>-Confirmed that he signed when he withdrew and deposited into his facility funds account.<br>-His funds were kept in his book at the facility.<br>-Reported he received monthly reports of his | V 542 | | |

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| V 542 | Continued From page 2<br>account.<br><br>Interview on 11/28/22 with the Director/Qualified Professional revealed:<br>-Client #1 had a joint bank account with his father.<br>-Client #1 also had money at the facility.<br>-Client #1's money was locked up in his book.<br>-Clients signed on the ledger when withdrawing or depositing funds.<br>-She provided financial reports every month.<br>-Confirmed she did not provide quaterly reports. | V 542 | | |
| V 543 | 27F .0105(d) Client Rights - Client's Personal Funds<br><br>10A NCAC 27F .0105 CLIENT'S PERSONAL FUNDS<br>(d) Authorization by the client or legally responsible person is required before a deduction can be made from a personal fund account for any amount owed or alleged to be owed for damages done or alleged to have been done by the client:<br>(1) to the facility;<br>(2) an employee of the facility;<br>(3) to a visitor of the facility; or<br>(4) to another client of the facility.<br><br>This Rule is not met as evidenced by:<br>Based on record reviews and interviews, the facility failed to obtain authorization from the legally responsible person for one of three audited clients (#1) before deductions were made from the client's personal fund account. The findings are:<br><br>Review on 11/23/22 of Client #1's record | V 543 | | |

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| V 543 | Continued From page 3 | V 543 | | |

revealed:
-Admission date of 9/28/17.
-Diagnoses of Schizoaffective Disorder, Autism Spectrum Disorder, Kline Falter's Syndrome, Major Depressive Disorder and Intellectual Developmental Disability, Mild

Review on 11/23/22 of the Consumer Funds Ledger dated 11/3/22 revealed:
-Ledger with columns including date, funds in/out, amount, funds used for, amout, balance, staff name, consumer signature."
-Client #1's ledger indicated $800.00 was taking out on 11/3/22 for bed bugs treatment in his room.
-Client ##1 signed the consumer funds ledger as a receipt.

Interview on 11/23/22 with Client #1 revealed:
-He was told he had to pay for the bed bugs treatment.
-He signed the ledger for the facility to take $800.00 from his account in the group home.
-He did not understand why he had to pay for the treatment.
-He reported there were bed bugs in other areas in the house and in another client's room.
-He kept his money locked up in his book.
-He liked living at the group home.

Interview on 11/28/22 with Client #1's Guardian revealed:
-She was client #1's guardian for about 4-5 months.
-She was contacted by the facility about client #1 having bed bugs.
-The facility found bed bugs in client #1's room for the 3rd time.
-The facility made her aware they would charge client #1.

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| V 543 | Continued From page 4<br>-She contacted and informed client #1's father.<br>-She was informed the exterminator reported client #1 was the one bringing in the bed bugs.<br>-She informed her supervisor that the Director/Qualified Professional (D/QP)was going to take funds from client #1.<br>-Her supervisor told her stay out of it.<br>-The D/QP called her the day of the survey to report she was returning the money on 11/23/22.<br>-She was not going to be involved with money.<br>-She did not give consent.<br>-She was informed by the facility that they were going to take money from client #1's house account.<br>-She said as the guardian she did not deal with client #1's money situations.<br>-The facility was the payee.<br><br>Inerview on 11/28/22 and 11/30/22 with the Director/Qualified Professional revealed:<br>-Client #1 managed his money in the group home.<br>-Cash was withdrew from client #1's house money in the amount of $800.00 on 11/3/22 for bed bugs treatment.<br>-This had been an ongoing issue when client #1 upon returned from visits.<br>-The exterminator provided treatment on 11/3/22.<br>-She informed the guardian, and the guardian had no problems with the charges.<br>-She said the guardian was fine with it and the guardian informed client #1's father.<br>-She returned the funds on 11/23/22.<br>-Clients go to the bank once a month.<br>-Client #1 wanted his money to remain in the account within the facility.<br>-Some clients carried high accounts.<br>-She encouraged clients to put money in the bank.<br>-Client #1 wanted the $800.00 refunded to his | V 543 | | |

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| V 543 | Continued From page 5<br>account in the facility not the bank.<br>-Clients funds at the facility were locked up.<br>-Client #1 had a lot of money accumulated over the years.<br>-She felt the guardian gave consent.<br>-The guardian should have said it was not okay because "I would not had done it." | V 543 | | |