

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL098-148	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 10/06/2022
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NAME OF PROVIDER OR SUPPLIER BAILEY'S RESPITE CARE #2	STREET ADDRESS, CITY, STATE, ZIP CODE 1315 COLONIAL AVENUE WILSON, NC 27896
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V 000	<p>INITIAL COMMENTS</p> <p>An annual survey was completed on October 6, 2022. Deficiencies were cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G .5600C Supervised Living for Adults with Developmental Disabilities.</p> <p>This facility is licensed for 3 and currently has a census of 3. The survey sample consisted of audits of 3 current clients.</p>	V 000	<p>DHSR - Mental Health</p> <p>OCT 25 2022</p> <p>Lic. & Cert. Section</p>	
V 131	<p>G.S. 131E-256 (D2) HCPR - Prior Employment Verification</p> <p>G.S. §131E-256 HEALTH CARE PERSONNEL REGISTRY (d2) Before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the Health Care Personnel Registry and shall note each incident of access in the appropriate business files.</p> <p>This Rule is not met as evidenced by: Based on record review and interview the facility failed to complete Health Care Personnel Registry (HCPR) checks prior to hire for 1 of 3 audited staff (staff #1). The findings are:</p> <p>Review on 10/06/22 of staff #1's personnel record revealed: - Title of paraprofessional. - Hired 11/19/21; "Start Date: 11/27/21." - HCPR check dated 12/10/21.</p>	V 131	<p>- All new hires will be checked for being on the HCPR prior to beginning work. In addition if staff leaves Bailey and is rehired as HCPR will be checked before they begin working again.</p> <p>- Lisa Massey will monitor employees personal charts for accuracy before staff begins work.</p>	10/19/22

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LABORATORY-DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

April Bailey, Director

TITLE
Director

(X6) DATE
10/20/22

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V 131	Continued From page 1 During interview on 10/06/22 the House Supervisor stated she understood the requirement for HCPR checks to be completed prior to hire and would share the information with the Director /Registered Nurse/Owner.	V 131	<i>Jan Massey will also check personal charts for accuracy monthly peer reviews are conducted @ Bailey</i>	
V 133	G.S. 122C-80 Criminal History Record Check G.S. §122C-80 CRIMINAL HISTORY RECORD CHECK REQUIRED FOR CERTAIN APPLICANTS FOR EMPLOYMENT. (a) Definition. - As used in this section, the term "provider" applies to an area authority/county program and any provider of mental health, developmental disability, and substance abuse services that is licensable under Article 2 of this Chapter. (b) Requirement. - An offer of employment by a provider licensed under this Chapter to an applicant to fill a position that does not require the applicant to have an occupational license is conditioned on consent to a State and national criminal history record check of the applicant. If the applicant has been a resident of this State for less than five years, then the offer of employment is conditioned on consent to a State and national criminal history record check of the applicant. The national criminal history record check shall include a check of the applicant's fingerprints. If the applicant has been a resident of this State for five years or more, then the offer is conditioned on consent to a State criminal history record check of the applicant. A provider shall not employ an applicant who refuses to consent to a criminal history record check required by this section. Except as otherwise provided in this subsection, within five business days of making the conditional offer of employment, a provider	V 133	<i>- All potential employees will have a background check completed prior to beginning work. All adults will be subject to the same background check before beginning work. - Jan Massey will also check personal charts for accuracy as monthly peer reviews are conducted at Bailey.</i>	<i>10/19/22</i>

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V 133	Continued From page 2 shall submit a request to the Department of Justice under G.S. 114-19.10 to conduct a criminal history record check required by this section or shall submit a request to a private entity to conduct a State criminal history record check required by this section. Notwithstanding G.S. 114-19.10, the Department of Justice shall return the results of national criminal history record checks for employment positions not covered by Public Law 105-277 to the Department of Health and Human Services, Criminal Records Check Unit. Within five business days of receipt of the national criminal history of the person, the Department of Health and Human Services, Criminal Records Check Unit, shall notify the provider as to whether the information received may affect the employability of the applicant. In no case shall the results of the national criminal history record check be shared with the provider. Providers shall make available upon request verification that a criminal history check has been completed on any staff covered by this section. A county that has adopted an appropriate local ordinance and has access to the Division of Criminal Information data bank may conduct on behalf of a provider a State criminal history record check required by this section without the provider having to submit a request to the Department of Justice. In such a case, the county shall commence with the State criminal history record check required by this section within five business days of the conditional offer of employment by the provider. All criminal history information received by the provider is confidential and may not be disclosed, except to the applicant as provided in subsection (c) of this section. For purposes of this subsection, the term "private entity" means a business regularly engaged in conducting	V 133		

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V 133	<p>Continued From page 3</p> <p>criminal history record checks utilizing public records obtained from a State agency.</p> <p>(c) Action. - If an applicant's criminal history record check reveals one or more convictions of a relevant offense, the provider shall consider all of the following factors in determining whether to hire the applicant:</p> <ol style="list-style-type: none"> (1) The level and seriousness of the crime. (2) The date of the crime. (3) The age of the person at the time of the conviction. (4) The circumstances surrounding the commission of the crime, if known. (5) The nexus between the criminal conduct of the person and the job duties of the position to be filled. (6) The prison, jail, probation, parole, rehabilitation, and employment records of the person since the date the crime was committed. (7) The subsequent commission by the person of a relevant offense. <p>The fact of conviction of a relevant offense alone shall not be a bar to employment; however, the listed factors shall be considered by the provider. If the provider disqualifies an applicant after consideration of the relevant factors, then the provider may disclose information contained in the criminal history record check that is relevant to the disqualification, but may not provide a copy of the criminal history record check to the applicant.</p> <p>(d) Limited Immunity. - A provider and an officer or employee of a provider that, in good faith, complies with this section shall be immune from civil liability for:</p> <ol style="list-style-type: none"> (1) The failure of the provider to employ an individual on the basis of information provided in the criminal history record check of the individual. (2) Failure to check an employee's history of 	V 133		

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V 133	<p>Continued From page 4</p> <p>criminal offenses if the employee's criminal history record check is requested and received in compliance with this section.</p> <p>(e) Relevant Offense. - As used in this section, "relevant offense" means a county, state, or federal criminal history of conviction or pending indictment of a crime, whether a misdemeanor or felony, that bears upon an individual's fitness to have responsibility for the safety and well-being of persons needing mental health, developmental disabilities, or substance abuse services. These crimes include the criminal offenses set forth in any of the following Articles of Chapter 14 of the General Statutes: Article 5, Counterfeiting and Issuing Monetary Substitutes; Article 5A, Endangering Executive and Legislative Officers; Article 6, Homicide; Article 7A, Rape and Other Sex Offenses; Article 8, Assaults; Article 10, Kidnapping and Abduction; Article 13, Malicious Injury or Damage by Use of Explosive or Incendiary Device or Material; Article 14, Burglary and Other Housebreakings; Article 15, Arson and Other Burnings; Article 16, Larceny; Article 17, Robbery; Article 18, Embezzlement; Article 19, False Pretenses and Cheats; Article 19A, Obtaining Property or Services by False or Fraudulent Use of Credit Device or Other Means; Article 19B, Financial Transaction Card Crime Act; Article 20, Frauds; Article 21, Forgery; Article 26, Offenses Against Public Morality and Decency; Article 26A, Adult Establishments; Article 27, Prostitution; Article 28, Perjury; Article 29, Bribery; Article 31, Misconduct in Public Office; Article 35, Offenses Against the Public Peace; Article 36A, Riots and Civil Disorders; Article 39, Protection of Minors; Article 40, Protection of the Family; Article 59, Public Intoxication; and Article 60, Computer-Related Crime. These crimes also include possession or</p>	V 133		

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V 133	<p>Continued From page 5</p> <p>sale of drugs in violation of the North Carolina Controlled Substances Act, Article 5 of Chapter 90 of the General Statutes, and alcohol-related offenses such as sale to underage persons in violation of G.S. 18B-302 or driving while impaired in violation of G.S. 20-138.1 through G.S. 20-138.5.</p> <p>(f) Penalty for Furnishing False Information. - Any applicant for employment who willfully furnishes, supplies, or otherwise gives false information on an employment application that is the basis for a criminal history record check under this section shall be guilty of a Class A1 misdemeanor.</p> <p>(g) Conditional Employment. - A provider may employ an applicant conditionally prior to obtaining the results of a criminal history record check regarding the applicant if both of the following requirements are met:</p> <p>(1) The provider shall not employ an applicant prior to obtaining the applicant's consent for criminal history record check as required in subsection (b) of this section or the completed fingerprint cards as required in G.S. 114-19.10.</p> <p>(2) The provider shall submit the request for a criminal history record check not later than five business days after the individual begins conditional employment. (2000-154, s. 4; 2001-155, s. 1; 2004-124, ss. 10.19D(c), (h); 2005-4, ss. 1, 2, 3, 4, 5(a); 2007-444, s. 3.)</p> <p>This Rule is not met as evidenced by: Based on record reviews and interviews the facility failed to request state criminal background checks within 5 business days of employment for 1 of 3 audited staff (staff #1). The findings are:</p>	V 133		

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V 133	<p>Continued From page 6</p> <p>Review on 10/06/22 of staff #1's personnel record revealed:</p> <ul style="list-style-type: none"> - Title of paraprofessional. - Hired 11/19/21; "Start Date: 11/27/21." - Criminal background check completed by a private background check/screening agency included "Order Confirmation: Date of Order December 10, 2021." <p>During interview on 10/06/22 the House Supervisor stated she understood the requirement for background checks to be requested within 5 business days of employment and share the information with the Director /Registered Nurse/Owner.</p>	V 133		
V 736	<p>27G .0303(c) Facility and Grounds Maintenance</p> <p>10A NCAC 27G .0303 LOCATION AND EXTERIOR REQUIREMENTS</p> <p>(c) Each facility and its grounds shall be maintained in a safe, clean, attractive and orderly manner and shall be kept free from offensive odor.</p> <p>This Rule is not met as evidenced by: Based on observation and interview the facility was not maintained in a clean attractive, safe manner. The findings are:</p> <p>Observation on 10/06/22 between approximately 10:18 am and 10:30 am revealed:</p> <ul style="list-style-type: none"> - A dark pattern of discoloration around the ceiling fan in the living room. 	V 736	<p><i>Bailey will maintain house & grounds as specified in 10A NCAC 27G .0303</i></p> <p><i>The entire ceiling in living room will be painted to get rid of</i></p>	<p><i>11/12/22</i></p>

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V 736	<p>Continued From page 7</p> <ul style="list-style-type: none"> - The carpet was stained throughout the facility. - The air return grate in the hallway had a coating of dust. - Smudge marks on client #2's bedroom walls. - An unfinished repair to the ceiling above the toilet in client #1's private bath. - Dried food splatters inside the microwave. - Vines growing up the front side of the house, - The smoke detector in client #1's bedroom emitted a high pitched chirping sound at regular intervals. <p>During interview on 10/06/22 the House Manager stated she understood the rule for the facility to be maintained in a clean attractive, safe manner. She would ensure the batteries in the smoke detector were replaced, the vines removed from the front flowerbed, and the carpet cleaned. She would make sure the Director/Registered Nurse/Owner was made aware of the items discussed.</p>	V 736	<p><i>the discoloration noted.</i></p> <ul style="list-style-type: none"> - <i>The Carpet has been professionally cleaned.</i> - <i>Bedroom #2 will be completely repaired</i> - <i>Microwave will be cleaned daily to remove any splatters.</i> - <i>Vines growing up the front side of the house have been removed & will remain free of growth up the house</i> - <i>The smoke detector in client #1's bedroom has been repaired</i> 	<p><i>11/12/22</i></p> <p><i>10/13/22</i></p> <p><i>10/13/22</i></p> <p><i>11/12/22</i></p> <p><i>10/13/22</i></p> <p><i>10/10/22</i></p> <p><i>10/10/22</i></p>

10A NCAC 27G .0303 LOCATION AND EXTERIOR REQUIREMENTS

- (a) Each facility shall be located on a site where:
- (1) fire protection is available;
 - (2) water supply, sewage and solid waste disposal services have been approved by the local health department;
 - (3) occupants are not exposed to hazards and pollutants that may constitute a threat to their health, safety, and welfare; and
 - (4) local ordinances and zoning laws are met.
- (b) The site at which a 24-hour facility is located shall have sufficient outdoor area to permit clients to exercise their right to outdoor activity in accordance with the provisions of G.S. 122C-62.
- (c) Each facility and its grounds shall be maintained in a safe, clean, attractive and orderly manner and shall be kept free from offensive odor.
- (d) Buildings shall be kept free from insects and rodents.

*History Note: Authority G.S. 122C-26; 143B-147;
Eff. May 1, 1996;
Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. July 20, 2019.*