

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL012-147	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 07/13/2022
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NAME OF PROVIDER OR SUPPLIER SALEM ALTERNATIVE FAMILY LIVING	STREET ADDRESS, CITY, STATE, ZIP CODE 4840 JENKINS ROAD MORGANTON, NC 28655
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 000	<p>INITIAL COMMENTS</p> <p>An annual survey was completed on July 13, 2022. Deficiencies were cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G. 5600F Supervised Living for individuals of All Disability Groups/Alternative Family Living.</p> <p>This facility is licensed for 2 and currently has a census of 2. The survey sample consisted of audits of 2 current clients.</p>	V 000		
V 118	<p>27G .0209 (C) Medication Requirements</p> <p>10A NCAC 27G .0209 MEDICATION REQUIREMENTS</p> <p>(c) Medication administration:</p> <p>(1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs.</p> <p>(2) Medications shall be self-administered by clients only when authorized in writing by the client's physician.</p> <p>(3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications.</p> <p>(4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be recorded immediately after administration. The MAR is to include the following:</p> <p>(A) client's name;</p> <p>(B) name, strength, and quantity of the drug;</p> <p>(C) instructions for administering the drug;</p> <p>(D) date and time the drug is administered; and</p> <p>(E) name or initials of person administering the</p>	V 118	<p><i>Please see attached</i></p> <p>RECEIVED AUG 10 2022 DHSR-MH Licensure Sect</p>	

Division of Health Service Regulation
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

Sara F Shields BAQ

TITLE (X6) DATE

Regional Manager

Division of Health Service Regulation

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V 118	<p>Continued From page 1</p> <p>drug. (5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.</p> <p>This Rule is not met as evidenced by: Based on record review and interview, the facility failed to ensure medication was administered as written by the physician for 1 of 2 audited clients (Client #1). The findings are:</p> <p>Review on 7/13/22 of Client #1's record revealed: -Date of Admission: October 1 2019. -Diagnoses: Severe Intellectual Developmental Disability and Pica.</p> <p>Review on 7/13/22 of physician orders for Client #1 revealed: -An order dated 2/5/22 for Penlac (ciclopirox) 8 % topical solution to be applied to each toenail daily and removed with alcohol pad weekly (treats fungal infections).</p> <p>Review on 7/13/22 of Client #1's Medication Administration Records (MAR's) for May 2022 through July 2022 revealed: -Penlac (ciclopirox) 8% topical solution was documented as given weekly instead of daily.</p> <p>Interview on 7/13/22 with Staff #2 revealed: -He misread the label on the Penlac topical solution. -He notified the Qualified Professional (QP) of the</p>	V 118	<p><i>please see attached</i></p>	
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V 118	<p>Continued From page 2</p> <p>error.</p> <p>-The QP informed him that the pharmacy could print off the MAR forms for the clients each month.</p> <p>-He was going to call Client #1's provider and inform him of the error.</p> <p>-He felt bad for making a mistake.</p> <p>Interview on 7/13/22 with the QP revealed:</p> <p>-She reviewed client MAR's each month to make sure medication doses were not being missed.</p> <p>-Staff #2 "always wrote the medications on the MAR without issues, but now we will have them printed out by the pharmacy..."</p> <p>-She was going to review all client medications with Staff #1 and Staff #2 every month.</p> <p>Interview on 7/13/22 with a local pharmacist revealed:</p> <p>-There were no known harmful side effects from missed doses of Penlac (ciclopirox) solution.</p> <p>-Sometimes a fungus would clear up on its own without treatment and at other times a fungus will not clear up regardless of treatment.</p>	V 118	<p><i>please see attached</i></p>	

Correction:

Abound Health AFL provider will follow our Medication Requirement Policy # 126.

All medications and /or medical treatment prescribed for the people we serve must come directly from a physician or practitioner authorized by law to prescribe drugs, who is a part of the treatment team along with other professionals, the person served and/or legally responsible person

Medication shall be administered in accordance with acceptable medical standards and only on the written order of a physician or other practitioner licensed to prescribe medications as documented in the person's clinical record. Medications shall be self-administered by person served only when authorized in writing by the person's physician or other practitioner licensed to prescribe medication and specified in the Plan of Care

It is the policy of Abound Health, LLC that prescription or non-prescription drugs shall only be administered to a person served on the written order of a person authorized by law to prescribe drugs.

Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications.

Staff shall have medication administration training prior to medication administration, as approved by licensure requirements, and shall maintain certifications.

It is the policy of Abound Health, LLC that a Medication Administration Record (MAR) of all drugs administered to each person served must be kept current. Medications administered shall be recorded immediately after administration. It is the policy of Abound Health, LLC that the MAR is to include the following:

- a. Name of person receiving services;
- b. Name, strength, and quantity of the drug;
- c. Instructions for administering the drug;
- d. Date and time the drug is administered; and
- e. Name or initials of person administering the drug.

It is the policy of Abound Health, LLC that person served requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.

Medication Administration Records are reviewed by the person administering the medication to ensure refills are ordered prior to having a 7-day supply of medication remaining.

The pharmacy has begun printing the MARs and the AFL provider will review them against the medication orders on site before administering medications. If there are any changes with orders during the middle of the month the AFL provider will update the MAR and ensure the pharmacy has made the update for the newly printed MAR at the beginning of the next month.

The AFL provider will be required to attend Medication Administration Training in the next 30 days to prove competency in Medication Administration. Date that this training will occur is Wednesday, August 10, 2022 at 4pm

The QP will review the completed MAR against the orders monthly in detail to ensure the medications are given according to the orders.

Prevention: As stated in Policy #126 Medication shall be administered in accordance with acceptable medical standards and only on the written order of a physician or other practitioner licensed to prescribe medications as documented in the person's clinical record. Medications shall be self-administered by person served only when authorized in writing by the person's physician or other practitioner licensed to prescribe medication and specified in the Plan of Care.

It is the policy of Abound Health, LLC that person served requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.

The AFL provider will also continue to receive MAR training annually by the RN.

Monitoring and Frequency: QP will review MAR and physician orders together monthly against physician orders.

- Obtain and review the original, paper client MARS monthly
 - Confirm receipt of the paper MAR from the Direct Support Professional by the 5th of the month.
 - Review and audit the MAR to ensure compliance.
 - Compare the medications listed with the Dr. orders.
 - Ensure each instance of administration is documented (no blanks unless medication is PRN)
 - Ensure discontinuation of medication is documented.
 - Ensure DSP initials and signature are complete.
 - Ensure missed medication instances and/or side effects were documented on the MAR.
 - Upload the MAR in Consent Tab by the 15th of the Month
 - Each Upload should be named using the naming convention: Client name MAR, Month, Year: EX: [REDACTED] MAR, Jan 2020
 - After upload, update the expiration date to be the 15th of the next month
 - Maintain the original paper MAR in the designated MAR Binder or cabinet which is securely maintained in each office.
 - Alerting the Program Director immediately and following incident reporting guidelines if there is an error or incomplete or non-compliant MAR

During Monitoring visits QP's review the MAR against the Dr. orders to make sure they match and to make sure MAR's are being documented correctly. Per Policy # 149

1. During the hiring process, Paraprofessionals and Associate Professionals will develop with their Supervisor a Supervision Plan/Contract.
2. Direct Support Professionals will receive clinical supervision at least quarterly or more often as stated on the staff's Supervision Plan. This supervision may include a staff's competence as demonstrated by exhibiting core skills including technical knowledge, cultural awareness, analytical skills, decision-making, interpersonal skills, communication skills and clinical skills. This supervision will be documented on the Paraprofessional Individualized Supervision Form and maintained in OTC.

During our AFL site monitoring regarding visits any health/ safety concerns or infractions that may result in a grievance or Plan of Correction must be immediately communicated to ALL members of the Client's Admin Team by the individual that is made aware of the issue:

- Program Director
- AFL Director
- Compliance VP
- AFL Monitor
- QP

*Examples of health/safety concerns that must be immediately reported include but are not limited to:

- Medication/ MAR concerns:
 - MAR not signed
 - MAR not initialed on the day administered
 - Blanks on the MAR
 - MAR with incorrect medications (not matching Script or Med)
 - Meds not discontinued
 - MAR not present
 - Prescription not current
 - Change in home dynamics- new residents in the home
 - Home environment concerns- cleanliness, infestation, security

Abound Health has recently changed the client name drop down on the Residential Site Monitoring to a fillable box. Qs should list all clients living in the AFL home. This has also been added to the paper copy on Onedrive.

Space has also been added to the Medication Comment box to specify the specific client name if issues are discovered.