

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL080-173	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED R 05/05/2022
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NAME OF PROVIDER OR SUPPLIER ACE PROGRAM	STREET ADDRESS, CITY, STATE, ZIP CODE 1155 CHILDREN'S CIRCLE ROCKWELL, NC 28138
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V 000	<p>INITIAL COMMENTS</p> <p>An annual and follow-up survey was completed on 5/5/22. Deficiencies were cited.</p> <p>This facility is licensed for the following category: 10A NCAC 27G .1300 Residential Treatment for Children or Adolescents.</p> <p>This facility is licensed for 8 and currently has a census of 3. The survey sample consisted of audits of 3 current clients.</p>	V 000		
V 114	<p>27G .0207 Emergency Plans and Supplies</p> <p>10A NCAC 27G .0207 EMERGENCY PLANS AND SUPPLIES</p> <p>(a) A written fire plan for each facility and area-wide disaster plan shall be developed and shall be approved by the appropriate local authority.</p> <p>(b) The plan shall be made available to all staff and evacuation procedures and routes shall be posted in the facility.</p> <p>(c) Fire and disaster drills in a 24-hour facility shall be held at least quarterly and shall be repeated for each shift. Drills shall be conducted under conditions that simulate fire emergencies.</p> <p>(d) Each facility shall have basic first aid supplies accessible for use.</p> <p>This Rule is not met as evidenced by: Based on interviews and records review, the facility failed to ensure fire and disaster drills were held at least quarterly and repeated for each shift. The findings are:</p> <p>Interview on 5/5/22 with client #2 revealed:</p>	V 114		

Division of Health Service Regulation LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X8) DATE
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[Handwritten Signature] 4/4/2022

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V 114	<p>Continued From page 1</p> <ul style="list-style-type: none"> -came about a month and a half ago; -did a fire drill. <p>Interview on 5/5/22 with client #3 revealed:</p> <ul style="list-style-type: none"> -came to the facility 12/27/21; -done fire drills; -did a tornado drill. <p>Interview on 5/5/22 with staff #1 revealed:</p> <ul style="list-style-type: none"> -been with the agency since 5/2016; -worked at the facility for a year and a half; -worked 24 hour shifts for a week; -worked opposite weeks staff #2 works; -changed shifts on Wednesdays; -did a fire drill every month for awake hours and asleep hours; -asleep hours were from 11pm-6am; -dd a disaster drills once every three months. <p>Interview on 5/5/22 with staff #2 revealed:</p> <ul style="list-style-type: none"> -worked here before, left and came back in 10/2021; -worked opposite weeks of staff #1; -did a disaster drill every quarter; -did fire drills monthly for awake hours and asleep hours; -asleep hours from 11pm-6am. <p>Interview on 5/5/22 with the Program Manager revealed:</p> <ul style="list-style-type: none"> -did drills every month; -did drills for awake hours and asleep hours; -asleep hours after 11pm and before 5am; -awake hours 6am-11pm; -did drills for new clients within 24 hours of admission. <p>Review on 5/5/22 of the facility's fire and disaster drill documentation from 5/1/21-5/5/22 revealed:</p> <ul style="list-style-type: none"> -for 7/1/21-9/30/21 no night fire drills, no night 	V 114	<p>Fire Drills will be completed twice per month, twice a day one per am/pm shift to ensure that all drills are completed. Disaster drills will also occur monthly. There are reminders in the calendars to ensure this is completed. The agency's Risk Prevention meeting has been moved up by one week to give the program any free time to complete should they not be completed by meeting date. Program Manager is responsible to ensure this is complete.</p>	5/19/22

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V 114	Continued From page 2 disaster drills; -for 10/1/21-12/31/21 no night fire drills; -for 10/1/21-12/31/21 no night disaster drills; -for 1/1/22-3/31/22 only one disaster drill with no time record.	V 114	Head of Risk Prevention will follow up @ Risk Prevention to ensure completion and will check again before month end.	
V 118	27G .0209 (C) Medication Requirements 10A NCAC 27G .0209 MEDICATION REQUIREMENTS (c) Medication administration: (1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs. (2) Medications shall be self-administered by clients only when authorized in writing by the client's physician. (3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications. (4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be recorded immediately after administration. The MAR is to include the following: (A) client's name; (B) name, strength, and quantity of the drug; (C) instructions for administering the drug; (D) date and time the drug is administered; and (E) name or initials of person administering the drug. (5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.	V 118		

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V 118	<p>Continued From page 3</p> <p>This Rule is not met as evidenced by: Based on records review, observations and interviews, the facility failed to ensure prescription or non-prescription drugs were administered to a client on the written order of a person authorized by law to prescribe drugs affecting 3 of 3 clients(#1, #2 and #3). The findings are:</p> <p>Finding #1: Review on 5/5/22 of client #1's record revealed: -admission date of 4/5/22; -diagnosis of Unspecified Trauma Disorder; -age 12 years; -physician's order dated 4/11/22 for fluoxetine 20mg one tablet daily(depression).</p> <p>Observations on 5/5/22 at 3:00pm of client #1's medications revealed: -fluoxetine 20mg one tablet daily dispensed 4/12/22 in a full bubble pack with no pills missing.</p> <p>Review on 5/5/22 of client #1's weekly MARs from 4/5/22-5/5/22 revealed: -fluoxetine 10mg one tablet daily listed on the MARs from 4/6-4/19; -fluoxetine 10mg one tablet daily documented as administered from 4/6-4/14, then documented N/A(non-applicable) from 4/15-4/19 due to client #1 being hospitalized; -fluoxetine dosage of 10mg marked out and 20mg handwritten on MAR from 4/20-4/26; -fluoxetine 20mg one tablet daily listed on the MAR from 4/27-5/4; -N/A(non-applicable) from 4/20-5/4 due to client</p>	V 118	<p>Program manager and program case manager will ensure that prescribing provider will also sign consent for OTC medication.</p> <p>Program manager, with his daily checks of MARs, will ensure that appropriate written orders are in place.</p>	5/10/22

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V 118	<p>Continued From page 4</p> <p>#1 being hospitalized.</p> <p>Interview on 5/5/22 with the Case Manager revealed: -client #1 was on the run then came from shelter to this facility; -only had his crisis plan which listed his medications and was signed by the doctor for medical necessity.</p> <p>Finding #2: Review on 5/5/22 of client #2's record revealed: -admission date of 3/9/22; -diagnoses of Oppositional Defiant Disorder(ODD) and Attention Deficit Hyperactivity Disorder(ADHD); -age 14 years; -no physician's orders were present in the record.</p> <p>Observations on 5/5/22 at 2:55pm of client #2's medications revealed: -Melatonin 3mg two tablets as needed(prn) at bed for sleep over the counter(OTC) with expiration date of 5/2023; -Nasal Allergy Spray 55mcg spray in nostril twice daily prn OTC with expiration date of 11/2022.</p> <p>Review on 5/5/22 of the PRN medication administration log form from 3/9/22-5/5/22 revealed: -Melatonin 3mg two tablets at bed prn documented as administered from 3/9-3/28 and 3/30-5/3; -Nasal Allergy Spray 55mcg spray in nostril twice daily prn not listed.</p> <p>Interview on 5/5/22 with client #2 revealed: -took Melatonin as he needed it; -it helped him sleep.</p>	V 118		

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V 118	<p>Continued From page 5</p> <p>Interview on 5/5/22 with staff #2 revealed: -client #2 had never used the allergy nasal spray; -only took the Melatonin.</p> <p>Interview on 5/5/22 with the Program Manager(PM) revealed: -since medications were OTC, did not get a physician's order; -had consent to administer OTC medications signed by client #2's legal guardian(LG); -was not aware had to have signed physicians' orders to administer OTC medications.</p> <p>Review on 5/5/22 of a form titled "Consent to Administer OTC medications" produced by the PM revealed: -form signed by client #2's LG; -also has a line on the form for a physician to sign; -line for physician's signature was blank.</p> <p>Finding #3: Review on 5/5/22 of client #3's record revealed: -admission date of 12/27/21; -diagnoses of ODD and ADHD; -age 14 years; -physicians' orders dated 3/17/22 for the following medications: guanfacine 3mg one tablet daily(for ADHD) and Concerta 54mg one tablet in the morning(for ADHD); -physician's discontinue order dated 3/17/22 for Melatonin 3mg two tablets at bed(for sleep); -no other physicians' orders were present in the record.</p> <p>Observation on 5/5/22 at 3:05pm of client #3's medications revealed: -guanfacine 3mg one tablet daily dispensed 4/8/22;</p>	V 118		

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V 118	<p>Continued From page 6</p> <p>-Concerta 54mg one tablet in the morning dispensed 5/2/22; -Melatonin 3mg two tablets at bed was not on site.</p> <p>Review on 5/5/22 of client #3's MARs from 3/1/22-5/5/22 revealed: -guanfacine 3mg one tablet daily documented as administered from 3/1-3/16 with no physician's order; -Concerta 54mg one tablet in the morning documented as administered from 3/1-3/16 with no physician's order; -Melatonin 3mg two tablets at bed documented as administered from 3/1-3/16 with no physician's order.</p> <p>Interview on 5/5/22 with client #3 revealed: -took his medications daily; -not missed any medications.</p> <p>Interview on 5/5/22 with the Case Manager(CM) revealed: -had two physician visit forms for client #3; -had medications listed.</p> <p>Review on 5/5/22 of the two physician visit forms for client #3 produced by the CM revealed: -physician's visit form dated 7/15/21 and signed by the physician did not document any of the above listed medications; -physician's visit form dated 7/30/21 and signed by the physician documented Melatonin 5mg prn and guanfacine ER 2mg stop and follow-up in two weeks; -the CM did not produce any additional documentation in regards to physicians' orders for client #3's medications.</p>	V 118		

