

Division of Health Service Regulation

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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL068-128 | (X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____ | (X3) DATE SURVEY COMPLETED R 01/14/2022 |
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| NAME OF PROVIDER OR SUPPLIER SUNRISE AT UNC HORIZONS | STREET ADDRESS, CITY, STATE, ZIP CODE 207, 209 & 211 CONNOR DRIVE CHAPEL HILL, NC 27599 |
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| V 000 | <p>INITIAL COMMENTS</p> <p>An annual and follow-up survey was completed on January 14, 2022. Deficiencies cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G .4100 Therapeutic Homes for Individuals with Substance Abuse Disorders and their Children.</p> <p>The survey sample consisted of 3 current clients, 0 former clients and 0 deceased clients.</p> | V 000 | | |
| V 118 | <p>27G .0209 (C) Medication Requirements</p> <p>10A NCAC 27G .0209 MEDICATION REQUIREMENTS</p> <p>(c) Medication administration:</p> <p>(1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs.</p> <p>(2) Medications shall be self-administered by clients only when authorized in writing by the client's physician.</p> <p>(3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications.</p> <p>(4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be recorded immediately after administration. The MAR is to include the following:</p> <p>(A) client's name;</p> <p>(B) name, strength, and quantity of the drug;</p> <p>(C) instructions for administering the drug;</p> <p>(D) date and time the drug is administered; and</p> <p>(E) name or initials of person administering the drug.</p> | V 118 | <p>V118 Response:</p> <p>Incident reports for the mentioned client records have been completed.</p> <p>The new Reporting for missed medications process will go as follows: During the 11pm Med Count, third shift staff will look through the daily medications for that day and make a note of any missed medications and indicate in the MAR a reason for refusal.</p> <p>They will email the name of the client and medication that was missed to the staff that was observing medications that day as well as the psychiatrist and Medical Director. The staff on shift that day will fill out an incident report in the next 72 hours.</p> <p>Medication Manager will keep an Incident Report Log up to date in the Medication room. The employee who fills out the Incident Report will put it in the Incident Report Log and email to their supervisor that this task has been completed.</p> | <p>1/24/2022</p> <p>Ongoing</p> |

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| LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE |
| <i>Michelle Depley, Clinical Compliance Officer</i> | | 1/24/2022 |

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| V 118 | <p>Continued From page 1</p> <p>(5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician.</p> <p>This Rule is not met as evidenced by: Based on observation, record review and interview, the facility failed to assure the Medication Administration Record (MAR) were kept current for three of three audited clients (#1, #2 and #3). The findings are:</p> <p>A. Review on 1/13/22 of Client #1 record revealed: -Admission Date: 6/4/21. -Diagnoses of Opioid Use Disorder, Severe, Sedative, Hypnotic, Anxiolytic Use Disorder, Severe and Tobacco Use Disorder, Severe. -Physician order dated 11/12/21 for the following medications: -Prenatal Vitamin - Take one tablet by mouth daily. -Bupropion XR 300mg - Take one tablet by mouth every morning. -Buspirone 10mg - Take one tablet by mouth twice daily</p> <p>Review on 1-13-22 of Client #1's MAR for December 2021 through January 13, 2022 revealed blanks on the following dates: -Prenatal Vitamin - 1/14/22 staff/client; 1/10/22 staff. -Bupropion XR - 1/10/22 staff. -Buspirone - 12/7/21 staff/client; 12/9/21</p> | V 118 | | |

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| V 118 | <p>Continued From page 2</p> <p>staff/client; 12/11/21 client; 12/12/21 client; 12/16/21 staff/client; 12/18/21 staff/client; 12/19/21 staff/client 12/23/21 staff/client 12/24/21 staff/client 12/27/21 staff/client; 12/29/21 staff/client; 1/10/22 staff; 1/13/21 staff/client.</p> <p>B. Review on 1/13/22 of Client #2 record revealed: -Admission Date: 10/12/21. -Diagnoses of Opiate Use Disorder, Severe, Cannabis Use Disorder, Severe and Tobacco Use Disorder, Severe -Physician order dated 1/10/22 for the following medications: -Bupropion XL 150 mg - Take one tablet by mouth every day in the morning. -Escitalopram 10mg - Take one tablet by mouth every day.</p> <p>Review on 1-13-22 of Client #2's MAR for December 2021 through January 13, 2022 revealed blanks on the following dates: -Bupropion XL - 12/11/21 staff; 1/22/21 client; 12/27/21 staff/client; 1/2/22 staff/client. -Escitalopram - 12/11/21 staff; 1/2/22 staff/client.</p> <p>C. Review on 1/13/22 of Client #3 record revealed: -Admission Date:9/13/21. -Diagnoses of Stimulant Use Disorder - Cocaine, Severe. -Physician's order for the following medication included: -Prenatal Vitamin - Take one tablet by mouth daily - order dated 9/13/21. -Bupropion XL 150mg - Take one tablet by mouth each morning - order dated 10/21/21.</p> <p>Review on 1-13-22 of Client #3's MAR for December 2021 through January 13, 2022</p> | V 118 | | |
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| V 118 | <p>Continued From page 3</p> <p>revealed blanks on the following dates: -Prenatal Vitamin - 12/1/21 staff/client; 12/7/21 client; 12/10/21 staff/client; 12/23/21 staff/client; 1/7/22 staff/client 1/12/22 staff/client. -Bupropion XL - 12/1/21-12/10/21 staff/client; 12/13/21 client; 12/15/21 staff/client; 12/17/21 client; 12/18/21 - 12/20/21 staff/client; 12/21/21 client; 12/22/21-12/24/21 staff/client; 12/26/21-12/27/21 staff/client; 12/30/21-12/31/21 staff/client; 1/1/22-1/3/22 staff/client; 1/5/22 - 1/12/21 staff/client.</p> <p>Interview on 1/13/22 with the Office Manager revealed: -Staff and clients were supposed to initial MAR when medication was administered. -If clients refused medication staff was supposed to document on an incident report. -Confirmed there was no incidents reports in the last three months.</p> <p>Interview on 1/14/22 with the Clinical Compliance Officer revealed: -Confirmed if clients refused medication staff was to document on an incident report. -She had a meeting with staff on 1/11/22 regarding medication administration and documentation. -She implemented a new policy to ensure MAR compliance. -She reported 3rd shift staff would review MAR to ensure client and staff initialed. -Any blanks on the MAR 3rd shift staff would follow-up with that staff person. -She also sent an email reminding staff to sign the MAR and document all refusals.</p> | V 118 | | |
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| V 736 V 736 | <p>Continued From page 4</p> <p>27G .0303(c) Facility and Grounds Maintenance</p> <p>10A NCAC 27G .0303 LOCATION AND EXTERIOR REQUIREMENTS (c) Each facility and its grounds shall be maintained in a safe, clean, attractive and orderly manner and shall be kept free from offensive odor.</p> <p>This Rule is not met as evidenced by: Based on observation and interview, the facility failed to ensure the facility grounds were maintained in a safe, clean and attractive manner. The findings are:</p> <p>Observation on 1/13/21 at 11:30 - 12:15 p.m. revealed: -Apartments 211-7, 211-8, 211-10, 211-15 were missing vertical blinds for the living room window. -Apartment 211-7 had black tape holding up the wall vent in the living room. -Apartment 207-9 hallway carpet tac strip was exposed leading to resident tripping on the carpet.</p> <p>Interview on 1/13/22 with the Office Manager revealed: -A residential advisor was responsible for weekly apartment inspections. -There was no report of the issues mentioned.</p> <p>Interview on 1/14/22 with the Clinical Compliance Officer revealed: -Confirmed a residential advisor was responsible for weekly apartment inspections. -All issues and concerns would be addressed and</p> | V 736 V 736 | <p>V 736 Response: Replacement blinds have been purchased and hung for apartments 211-7, 211-8, 211-10 and 211-15. The wall vent in 211-7 has been replaced. The carpet strip has been fixed in apartment 207-9.</p> <p>Additional requirements have been added to the weekly apartment inspections such as looking at blinds, carpet strips and vents. Executive Manager will follow up weekly on work orders to ensure their doneness and accuracy.</p> | 1/24/2022 Ongoing |
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| V 736 | Continued From page 5 reported to the community maintenance staff. | V 736 | | |