

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL0601329	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED R 12/15/2021
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NAME OF PROVIDER OR SUPPLIER NELSON HOME	STREET ADDRESS, CITY, STATE, ZIP CODE 10619 RIVER HOLLOW COURT CHARLOTTE, NC 28214
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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V 000	<p>INITIAL COMMENTS</p> <p>An annual and follow-up survey was completed on 12/15/2021. A deficiency was cited.</p> <p>The facility is licensed for the follow service category: 10A NCAC 27G .5600F Supervised Living for Alternative Family Living.</p> <p>The survey sample consisted of audits of 2 current clients.</p>	V 000		11/6/2022
V 131	<p>G.S. 131E-256 (D2) HCPR - Prior Employment Verification</p> <p>G.S. §131E-256 HEALTH CARE PERSONNEL REGISTRY (d2) Before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the Health Care Personnel Registry and shall note each incident of access in the appropriate business files.</p> <p>This Rule is not met as evidenced by: Based on record reviews and interviews, the facility failed to ensure the Health Care Personnel Registry (HCPR) was accessed prior to hire for 1 of 3 Staff (the Qualified Professional). The findings are:</p> <p>Review on 12/14/2021 of the Qualified Professional's (QP) personnel record revealed: -Hire date of 08/26/2019. -Job title of QP and Clinical Director. -HCPR accessed on 10/15/2019.</p>	V 131	<p>PLEASE SEE ATTACHMENT FOR PLAN OF CORRECTION</p> <p>DHSR - Mental Health</p> <p>JAN 12 2022</p> <p>Lic. & Cert. Section</p>	

Division of Health Service Regulation

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

STATE FORM

6899

LHWS11

If continuation sheet 1 of 2

[Handwritten Signature] Director of Compliance 1/6/2022

Division of Health Service Regulation

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V 131	Continued From page 1 Interview on 12/14/2021 with the QP revealed: -Started with the agency 09/2019. -Served as QP and Clinical Director. -Formal job duties; supervise all QPs and residential direct care staff on her caseload. Interview on 12/14/2021 with the Human Resource Director revealed: -Responsible for completing HCPR checks prior to the hire of a new employee. -Change in leadership when the QP onboarded. -Believed the HCPR check was completed within the required timeframe but could not locate the documentation to support. -"My process is to run all checks prior to hire, which includes Criminal Background, HCPR, Sex Offender, and Medicaid fraud checks. Per my records, the Criminal background and Medicaid fraud checks were completed 08/23/2019 and the HCPR check would have been ran at that time too". -Will continue to complete HCPR checks prior to hire, verify completion and retain copies for the personnel file.	V 131		11/10/22	<i>Please see attachment for plan of correction</i>

Annual Survey-Nelson Home
10619 River Hollow Court
Charlotte, NC 28214
Plan of Correction
Date of Annual Survey Completion: December 15th, 2021
MHL# 060-1329
Page 1

V131 G.S. 131E-256 (D2) HCPR-PRIOR EMPLOYMENT VERIFICATION

The facility failed to ensure the Health Care Personnel Registry (HCPR) was accessed prior to hire for 1 of 3 staff (the Qualified Professional).

Therefore, the facility must ensure before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the health care Personnel Registry and shall note each incident of access in the appropriate business files.

Care Well of Charlotte, Inc specifically Human Resources Department, will ensure before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the health care Personnel Registry and shall note each incident of access in the appropriate business files.

Plan of Correction# Human Resource Director is responsible for completing HCPR checks prior to hire. HR Director will be retrained on Criminal backgrounds, HCPR, Sex offender and Medicaid fraud checks, prior to hiring a new employee by Director of Compliance. Director of Compliance will implement a hiring checklist that will be a guideline to ensure all checks have been completed and verified. HR will then ensure all HCPR checks are verified, filed and copies are retained prior to hire. The President will review the checklist to ensure accuracy and Director of Compliance will review HR records quarterly.

To be completed by: 2/11/2022

Person(s) Responsible: Human Resource Director, President and Director of Compliance.