

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL036-328	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED 08/11/2021
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NAME OF PROVIDER OR SUPPLIER BUCKINGHAM	STREET ADDRESS, CITY, STATE, ZIP CODE 5036 BROAD LEAF COURT DALLAS, NC 28034
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 000	<p>INITIAL COMMENTS</p> <p>An annual survey was completed on 8-11-21. Deficiencies were cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G 5600C Supervised Living for Adults Whose Primary Diagnosis is a Developmental Disability.</p>	V 000		
V 120	<p>27G .0209 (E) Medication Requirements</p> <p>10A NCAC 27G .0209 MEDICATION REQUIREMENTS (e) Medication Storage: (1) All medication shall be stored: (A) in a securely locked cabinet in a clean, well-lighted, ventilated room between 59 degrees and 86 degrees Fahrenheit; (B) in a refrigerator, if required, between 36 degrees and 46 degrees Fahrenheit. If the refrigerator is used for food items, medications shall be kept in a separate, locked compartment or container; (C) separately for each client; (D) separately for external and internal use; (E) in a secure manner if approved by a physician for a client to self-medicate. (2) Each facility that maintains stocks of controlled substances shall be currently registered under the North Carolina Controlled Substances Act, G.S. 90, Article 5, including any subsequent amendments.</p> <p>This Rule is not met as evidenced by: Based on record review, observation and interview the facility failed to ensure that all</p>	V 120	<p>DHSR - Mental Health</p> <p>AUG 25 2021</p> <p>Lic. & Cert. Section</p>	

Division of Health Service Regulation

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Rhonda Williams, MSK/BSQP

Chief Operating Officer

8-18-2021

Division of Health Service Regulation

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V 120	Continued From page 1 medications were stored in a securely locked locked cabinet. The findings are: Observation on 8-10-21 at approximately 2:30 revealed: -Large bin in kitchen pantry. Both the pantry and the bin were unlocked. -Bin contained medications including: Client #1's medications; Oxcarbazepine 300 Atorvastatin 40 mg, Symbicort 2 puffs 2x, Fycompa 4 mg, Divalproex 250 mg, and 500mg Briviact 100 mg,, Onfi 10 mg Banzel 400, Cetzazine 10 mg Client #2; Januvia 25 mg, Lisinopril 10 mg, Cetzazine 10 mg, MetFormin, Mucinex Client #3; Clonazepam .25 mg, Fycompa, Trimethoprim. Interview on 8-10-21 and 8-11-21 with the facility manager revealed: -Medications was her responsibility. -The medications in the pantry were going to be returned to the pharmacy. -She understood that the medications were supposed to be in a locked location. -The medications had been removed from the facility and returned to the pharmacy. Interview on 8-11-21 with the Qualified Professional revealed: -He is at the facility frequently -The facility manager should have taken the medications to the pharmacy.	V 120		
V 131	G.S. 131E-256 (D2) HCPR - Prior Employment Verification G.S. §131E-256 HEALTH CARE PERSONNEL REGISTRY	V 131		

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V 131	<p>Continued From page 2</p> <p>(d2) Before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the Health Care Personnel Registry and shall note each incident of access in the appropriate business files.</p> <p>This Rule is not met as evidenced by: Based on record review and interview the facility failed to ensure the Health Care Personnel Registry was accessed prior to hire, effecting 1 of 4 audited staff (Staff #1). The findings are:</p> <p>Review on 8-11-21 of Staff #1's record revealed: -Hire date of 2-9-21. -Health Care Personnel Registry check completed 6-15-21.</p> <p>Interview on 8-11-21 with the Chief Operating Officer revealed: -Their former Human Resources staff had let some things slip when they were there. As soon as they noticed the error, they corrected it. -They had a new Human Resources staff at this time.</p>	V 131		

Opportunity Awaits, Inc.

Medication Storage

Storage of Medication

1. Each facility that maintains stocks of controlled substances shall be currently registered under the North Carolina Controlled Substances Act and shall follow the North Carolina Substances Act, G. S. 90, Article 5, including any subsequent amendments.
2. All medication shall be stored in properly labeled packages or boxes that include the following information:
 - customer's name.
 - date medicine was issued.
 - directions for administration.
 - name and strength of medication.
 - quantity of medication dispensed.
 - name, address, and phone number of pharmacies filling the prescription.
 - name of prescribing physician.
 - name of dispensing pharmacist.
 - expiration date.
 - number or code, which identifies the written medication order.
3. All medication shall be securely stored in a double locked cabinet, in a clean, well-lighted, ventilated room (storage between 59 and 86 degrees):
 - Separately for each customer.
 - Separately for external and internal use.
 - In a secure manner if approved by a physician for a customer to self-medicate.
4. Medications, which require refrigeration, shall be stored between 36 and 46 degrees in a locked compartment or container, which is separate from the foods within the refrigerator.

Disposal of Medications

All prescription and non-prescription medication shall be disposed of in a manner that guards against diversion or accidental ingestion.

Whenever medications are disposed of, a "Medication Disposal Form" shall be completed and filed in the customer's Medication Administration Record (MAR) section of the customer's medical record.

Opportunity Awaits, Inc. Medication Storage

1. Disposal of medications shall be conducted in the following manner:

a. Controlled Substances:

- i. Controlled substances shall be disposed of in accordance with the North Carolina Substances Act, G.S. 90, Article 5, including any subsequent amendments.
- ii. All controlled substances, including prescription medication, **MUST** be returned to the pharmacy by the designated Transition Home staff or company nursing specialist for disposal within one week of the medication being discontinued by a physician.
- iii. A note shall be made in the customer's MAR to indicate:
 - which substances were taken?
 - from which facility the substances were removed, and
 - to which pharmacy the substances were delivered
- iv. The receiving pharmacist shall be asked to sign a receipt form indicating acceptance of the substances. The receipt shall be placed in the customer's MAR.

b. Non-Controlled Substances

- i. When disposing of non-controlled medication, the designated Opportunity Awaits, Inc. Transition House staff, or the company nursing specialist, shall do so in a manner that guards against diversion, retrieval, or accidental ingestion.

Opportunity Awaits, Inc. Medication Storage

V 120 27G .0209 (E) Medication Requirements
10A NCAC 27G .0209 Medication Requirements

Measures taken:

- Supervision with the group home manager has taken place. Reviewed and gave the Medication Administration policy to the group home manager for future reference when needed.
- A review of medication storage and disposal of medication has been done.
- The discontinued medications have been taken to the pharmacy on 8-11-2021.
- The group home manager and staff have been scheduled for Medication training on September 10 or 11th.
- A new container specifically labeled DISCONTINUED MEDICATIONS have been placed in the medication closet under double lock.
- With written instructions that any discontinued medications should be returned to the pharmacy within one week of being discontinued.
- The container shall remain/always be stored in the medication closet.
- A qualified professional shall monitor and review with group home manager on a quarterly basis to ensure continuity of care.

V 131 G.S. 131E-256 (D2) HCPR – Prior Employment Verification
G.S. 131E-256-Health Care Personnel Registry

Measures taken: Opportunity Awaits, Inc. reviewed the Health Care Personnel Registry check guidelines. Going forward our HR department will make sure a Health Care Personnel Registry Check has been completed prior hire. This health care personnel registry check will be filed and stored in the human resources department.