(X1) PROVIDER/SUPPLIER/CLIA STATEMENT OF DEFICIENCIES (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** COMPLETED A. BUILDING: ___ MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (X4) ID PRÉFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (EACH CORRECTIVE ACTION SHOULD BE TAG REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG DEFICIENCY) V 000 V 000 INITIAL COMMENTS An annual and complaint survey was completed on 7/15/21. The complaint was substantiated (Intake #NC00178864). Deficiencies were cited. This facility is licensed for the following service category: 10A NCAC 27G .1700 Residential Treatment Staff Secure for Children or Adolescents. See attached V 112 V 112 27G .0205 (C-D) Assessment/Treatment/Habilitation Plan 10A NCAC 27G .0205 ASSESSMENT AND TREATMENT/HABILITATION OR SERVICE **PLAN** (c) The plan shall be developed based on the assessment, and in partnership with the client or legally responsible person or both, within 30 days of admission for clients who are expected to receive services beyond 30 days. (d) The plan shall include: (1) client outcome(s) that are anticipated to be achieved by provision of the service and a projected date of achievement; (2) strategies; (3) staff responsible; (4) a schedule for review of the plan at least annually in consultation with the client or legally responsible person or both; (5) basis for evaluation or assessment of outcome achievement; and (6) written consent or agreement by the client or responsible party, or a written statement by the provider stating why such consent could not be obtained. Division of Health Service Regulation Clinical Director

Division of Health Service Regulation

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X3) DATE SURVEY (X2) MULTIPLE CONSTRUCTION AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: ___ B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (X4) ID (EACH CORRECTIVE ACTION SHOULD BE PRÉFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL **PREFIX** REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG TAG DEFICIENCY) V 112 Continued From page 1 This Rule is not met as evidenced by: Based on interview and record review, the facility failed to develop and implement strategies and interventions to address 1 of 2 former client's (FC See attached #1's) behaviors of running from the facility, aggression and illegal substance use. The findings are: Review on 7/14/21 of FC #1's record revealed the following information; -- A 15 year old female. -- Admission date: December 18, 2020. -- Discharge date: July 9, 2021. -- Last date at the facility July 2, 2021. -- Ran away from staff on July 2, 2021. -- As of exit date of survey (7/15/21) FC #1 has not been located. -- Diagnoses include Attention Deficit Hyperactivity Disorder-Combined Type with Severe Impulsivity, Disruptive Behavior Disorders-Oppositional Defiant Disorder & Conduct Disorder, Depressive Disorder, Cyclothymic Disorder, Anxiety Disorder, Post Traumatic Stress Disorder with Sleep Issues/Panic, Substance Use Disorder, Tobacco use, Unspecified Psychosis not due to a substance or known physiological condition, Rule Out Bipolar Disorder and Asthma. Review on 7/14/21 of FC #2's record revealed the following information: -- A 14 year old female. -- Admission date: February 10, 2021. -- Discharge date: July 9, 2021. -- Discharged back to her Mother's home after

Division of Health Service Regulation (X1) PROVIDER/SUPPLIER/CLIA (X3) DATE SURVEY STATEMENT OF DEFICIENCIES (X2) MULTIPLE CONSTRUCTION AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: ___ MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (X4) ID (EACH DEFICIENCY MUST BE PRECEDED BY FULL **PREFIX** (EACH CORRECTIVE ACTION SHOULD BE PRÉFIX DATE REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE TAG TAG DEFICIENCY) V 112 V 112 Continued From page 2 reaching maximum benefits of the treatment at Youth Unlimited. -- Diagnoses of Oppositional Defiant Disorder and Attention Deficit Hyperactivity Disorder. 1. Review on 7/14/21 of FC #1's record revealed a CCA (Comprehensive Clinical Assessment) dated 11/11/20 documenting the following information: See Atlached -- Multiple instances of running from her home, sometimes for several days. -- Lying about her age to be around older men. -- Engaging in unsafe sex. -- Smoking Marijuana frequently. -- Physically fighting/assaulting peers. -- Exhibiting poor decision-making skills and impulsive behaviors. Review on 7/14/21 of FC #1's record revealed a Treatment Plan dated 12/7/20 with a target date of 6/24/21 documenting the following goals; -- #1: Self-worth development -- #2: Improve anger management skills -- #3: Go to school and follow expectations and demonstrate compliance -- #4: "Improve relationship development and skill & anger development" -- #5: "Need for visits (to home) once deemed appropriate and safe" -- #6: "Needed for Level III expectations" Review on 7/15/21 of FC #1's Treatment Plan dated 12/7/20 with a target date on 6/24/21 documenting the following strategies and interventions for staff to use with this client to achieve the above goals; -- "CBT - Cognitive Behavioral Therapy" -- "MI - Motivational Interviewing" -- "OARS - Open Ended Questions to engage client, Affirmation to affirm client's story,

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: _ B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (X4) ID (EACH DEFICIENCY MUST BE PRECEDED BY FULL (EACH CORRECTIVE ACTION SHOULD BE PRÉFIX **PREFIX** REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG TAG DEFICIENCY) V 112 V 112 Continued From page 3 Reflection to further dialogue and Summation to insure understanding of client's point of view occurs" -- "Trauma Informed Care" -- "ART - Aggressive Replacement Training" -- No documentation of or identification of interventions/strategies to address running away, aggression or illegal substance use behaviors. -- No stratagies/interventions to address AWOL (absent without leave), aggression or illegal See Attached substance use behaviors. Review on 7/14/21 of FC #1's record revealed documentation on the above Treatment Plan review by staff on 5/26/21 indicating "progress towards goal:" -- " ... Client went AWOL from school on two occasions (since the last review on 4/1/21) in May resulting in a 10 day (out of school) suspension." -- "Client attempted to hit another peer (FC #2) who was antagonizing her and staff intervened and she was able to process through event." -- "[FC #1] is easily frustrated with challenging peers and on one occasion this review period in late May attempted to hit this client (FC #2) ..." -- "Client has been home this review period and Mother has halted visits and MCO (Managed Care Organization) Care Coordinator was aware at CFT (Child and Family Team - a review of the current Treatment Plan), due to Client going AWOL 2X (while at) home, being accused of smoking THC (Tetrahydrocannabinol-the main psychoactive compound in cannabis/Marijuana that produces the 'high' sensation) and stealing something from her Sister ... Mother wants client placed in TFC (a Therapeutic Foster Care home) and not return home at this time ..."" Review on 7/14/21 of FC #1's record revealed a subsequent Treatment Plan dated 6/2/21 with a

PRINTED: 07/29/2021 FORM APPROVED

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** COMPLETED A. BUILDING: _ B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (X4) ID (EACH DEFICIENCY MUST BE PRECEDED BY FULL **PREFIX** (EACH CORRECTIVE ACTION SHOULD BE DATE REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE TAG TAG DEFICIENCY) V 112 V 112 Continued From page 4 target date of 6/1/22 documenting the following information; -- The goals remain the same as the previous plan. -- Identification under Goal #2 (Improve anger management skills) of client utilized skills "such See Attached as deep breaths, talk-it-out, walk about, exercise, journal, writing, self-time outs/take 5, crafts, etc. to regulate emotions and make informed, positive decisions thereby eliminating risk taking such as going AWOL, and/or illegal behaviors." -- Identification under Goal #4 (Improve relationship development and skill & anger development) for client to "demonstrate ability to regulate herself with peers and adults by practicing breathing, journaling, talk-it-out and walk-away skill sets when frustrated to improve emotional response and work towards resolving conflict in a positive way 90% of the time." -- The staff "Support/Interventions" remain the same as the previous Treatment Plan. -- No stratagies/interventions for staff to address AWOL, aggression or illegal substance use behaviors. 2. Review on 7/14/21 of the IRIS system (Incident Response Improvement System) revealed two incident reports were entered for both FC #1 and FC #2 for an event that took place on 6/24/21 as follows: -- FC #1: "Client (FC #1) was upset at peer (FC #2) for taking her property. Other consumer (FC #2) started saying things about her family and calling her vulgar names. [FC #1] was unable to compose herself and attacked client (FC #2) despite staff attempts to stop. Client (FC #1) fractured her wrist hitting peer's head (FC #2). She hurt her thumb and slightly twisted her ankle as well. Client stopped when police arrived shortly after it began. Client calmed down and

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** COMPLETED A. BUILDING: B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X4) ID (X5) COMPLETE (EACH DEFICIENCY MUST BE PRECEDED BY FULL (EACH CORRECTIVE ACTION SHOULD BE PRÉFIX PRFFIX REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG TAG DEFICIENCY) V 112 Continued From page 5 V 112 went to the ER (emergency room) without issue. Client completed shift in stable mood... Client has dealt with this peer (FC #2) on many occasions and avoided physically attacking but was unable to this time. We will continue to help her with regulation skills and separation from peer." See affached -- FC #2: "Client (FC #2) was upset with another peer (FC #1) for telling staff on her and not wanting to give back an item that belonged to peer. Client (FC #2) started tearing up the house, throwing things, hitting walls, verbally assaulting peer (FC #1), and refusing to calm down. Other consumer (FC #1) got to peer after getting by staff. [FC #2] was punched in her head a few times. Staff took to ER for exam. Client returned after hospital visit and continued to become unruly. Staff had to call 911 for their safety. Client calmed down when Sheriff arrived and went to bed." Review on 7/14/21 of Discharge Summaries from both FC #1's and FC #2's emergency room treatment on 6/24/21 revealed the following information: -- FC #1 was taken to the ER on 6/24/21 and treated for "Gamekeeper's Thumb of Right Hand (an injury to the thumb on the little finger side at the second joint from the thumbnail), Mild Ankle Sprain and Occult Fracture of Scaphoid Bone of Right Wrist (broken wrist). Use Ibuprofen 600 mg. (miligrams) as needed." She was treated with a splint and a referral was made to an Orthopedist for follow-up for the injury. -- FC #2 was taken to the ER also on 6/24/21 and treated for a "Concussion (a traumatic brain injury that affects your brain function)." Interview on 7/15/21 with the Clinical Director revealed the following information: -- FC #1 never ran from the facility itself.

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: _ B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (EACH DEFICIENCY MUST BE PRECEDED BY FULL PREFIX (EACH CORRECTIVE ACTION SHOULD BE **PREFIX** REGULATORY OR LSC IDENTIFYING INFORMATION) DATE CROSS-REFERENCED TO THE APPROPRIATE TAG TAG DEFICIENCY) V 112 Continued From page 6 V 112 -- FC #1 and FC #2 did not get along together very well. -- FC #1 and FC #2 had made some progress in treatment. -- It is the facility's policy if a client is gone over 7 days (FC #1) they are considered discharged. See affached This deficiency is cross referenced into 10A NCAC 27G .1704, MINIMUM STAFFING REQUIREMENTS, Tag V-296 for a Type A1 rule violation and must be corrected within 23 days. V 296 V 296 27G .1704 Residential Tx. Child/Adol - Min. Staffing 10A NCAC 27G .1704 MINIMUM STAFFING REQUIREMENTS (a) A qualified professional shall be available by telephone or page. A direct care staff shall be able to reach the facility within 30 minutes at all times. (b) The minimum number of direct care staff required when children or adolescents are present and awake is as follows: (1) two direct care staff shall be present for one, two, three or four children or adolescents; three direct care staff shall be present for five, six, seven or eight children or adolescents: and four direct care staff shall be present for (3) nine, ten, eleven or twelve children or adolescents. (c) The minimum number of direct care staff during child or adolescent sleep hours is as follows: two direct care staff shall be present and one shall be awake for one through four children or adolescents: (2)two direct care staff shall be present

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: _ B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X4) ID (EACH DEFICIENCY MUST BE PRECEDED BY FULL COMPLETE PREFIX (EACH CORRECTIVE ACTION SHOULD BE **PREFIX** REGULATORY OR LSC IDENTIFYING INFORMATION) DATE CROSS-REFERENCED TO THE APPROPRIATE TAG TAG DEFICIENCY) V 296 Continued From page 7 V 296 and both shall be awake for five through eight children or adolescents; and three direct care staff shall be present of which two shall be awake and the third may be asleep for nine, ten, eleven or twelve children or See Attailmed adolescents. (d) In addition to the minimum number of direct care staff set forth in Paragraphs (a)-(c) of this Rule, more direct care staff shall be required in the facility based on the child or adolescent's individual needs as specified in the treatment plan. (e) Each facility shall be responsible for ensuring supervision of children or adolescents when they are away from the facility in accordance with the child or adolescent's individual strengths and needs as specified in the treatment plan. This Rule is not met as evidenced by: Based on interview and record review, the facility failed to assure it maintained the minimum number of staff required while providing direct care services affecting 1 of 1 current client (Client #3) and 1 of 2 former clients (FC #2). The findings are: Cross-Reference: 10A NCAC 27G .0205, ASSESSMENT AND TREATMENT/HABILITATION OR SERVICE PLAN, Tag V-112. Based on interview and record review, the facility failed to develop and implement strategies and interventions to address 1 of 2 former client's (FC #1's) behaviors of running from the facility,

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: _ B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X4) ID (X5) COMPLETE (EACH DEFICIENCY MUST BE PRECEDED BY FULL (EACH CORRECTIVE ACTION SHOULD BE PREFIX **PREFIX** REGULATORY OR LSC IDENTIFYING INFORMATION) DATE CROSS-REFERENCED TO THE APPROPRIATE TAG TAG DEFICIENCY) V 296 Continued From page 8 V 296 aggression and illegal substance use. Review on 7/14/21 of FC #1's record revealed the following information; See Attached -- A 15 year old female. -- Admission date: December 18, 2020. -- Discharge date: July 9, 2021. -- Last date at the facility July 2, 2021. -- Ran away from staff on July 2, 2021. -- As of exit date of survey (7/15/21) FC #1 has not been located. -- Diagnoses include Attention Deficit Hyperactivity Disorder-Combined Type with Severe Impulsivity, Disruptive Behavior Disorders-Oppositional Defiant Disorder & Conduct Disorder, Depressive Disorder, Cyclothymic Disorder, Anxiety Disorder, Post Traumatic Stress Disorder with Sleep Issues/Panic, Substance Use Disorder, Tobacco use, Unspecified Psychosis not due to a substance or known physiological condition, Rule Out Bipolar Disorder and Asthma. -- No assessment or documentation that this client could be transported with less than the minimum amount of staff as required by rule. Review on 7/14/21 of FC #2's record revealed the following information: -- A 14 year old female. -- Admission date: February 10, 2021. -- Discharge date: July 9, 2021. -- Discharged back to her Mother's home after reaching maximum benefits of the treatment at Youth Unlimited. -- Diagnoses of ODD (Oppositional Defiant Disorder) and ADHD (Attention Deficit Hyperactivity Disorder). -- No assessment or documentation that this client could be transported with less than the minimum amount of staff as required by rule.

Division of Health Service Regulation						
STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:		(X3) DATE SURVEY COMPLETED	
		MHL076-068	B. WING		07/15/2021	
NAME OF	PROVIDER OR SUPPLIER	STREET AD	DRESS, CITY, S	RESS, CITY, STATE, ZIP CODE		
YOUTH UNLIMITED HAYWORTH HOME 2748 YOUTH UNLIMITED DRIVE SOPHIA, NC 27350						
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)		ID PREFIX TAG	PROVIDER'S PLAN OF CORRECT (EACH CORRECTIVE ACTION SHOU CROSS-REFERENCED TO THE APPRO DEFICIENCY)	JLD BE COMPLETE	
V 296	Continued From page 9		V 296			
	the following inform A 14 year old fem Admission date: Diagnoses includ Odd and Unspecifie Disorder No assessment of client could be trans minimum amount o Interview on 7/15/2 She worked on 7/ shift (3:00 pm until She transported for her home visit a FC #1 was left in more than 30 secon her front door FC #1 ran from the unsupervised She called the po them aware of the in She was informed had run from behind FC #1 shared on pictures of herself in room The Detective on information was sha postings. Interview on 7/15/2 She worked on 7/ shift (3:00 pm until Normally staff tak	hale. 1/20/21. Ide ADHD - Combined Type, ed Trauma and Stressor or documentation that this sported with less than the of staff as required by rule. 1 with Staff #1 revealed: 1/2/21 with Staff #2 on second 11:00 pm). FC #2 on 7/2/21 to her home and FC #1 rode along. The van unsupervised no nds for her to walk FC #2 to the facility van while blice and Staff #2 to make incident. The neighborhood and I. I by a neighbor that FC #1 I d the back yard of the house. The social media page In what appeared to be a hotel I the case called last week and ared from the social media 1 with Staff #2 revealed: 1/2/21 with Staff #1 on second		Ser Attack	ed	

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION IDENTIFICATION NUMBER: COMPLETED A. BUILDING: _ B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X4) ID (X5) COMPLETE (EACH DEFICIENCY MUST BE PRECEDED BY FULL (EACH CORRECTIVE ACTION SHOULD BE PREFIX PREFIX REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG TAG DEFICIENCY) V 296 Continued From page 10 V 296 management was asked if it was OK for FC #1 to ride along while transporting FC #2 home, and staff was given consent to do so. -- She remained at the group home with the See Attached current client. -- Staff #1 telephoned her to make her aware of FC #1 jumping out of the van. -- Staff #1 told her that FC #1 left a note in the van written on a torn piece of paper in the van that said, "I can't do another six months." -- She received a call from Staff #1 about the incident and requested she call management as her cell phone was dving. -- Staff #1 told her that she rode around searching for FC #1 prior to returning to the group home. -- Staff #1 told her that a neighbor of FC #2 shared that she saw FC #1 hiding in the back yard and came out when a car came to pick her up and the client was carrying a blue bag. -- She spoke with FC #2 and asked if she was aware of FC #1 running away, and she stated she had mentioned it in the past, but she didn't know when she would actually do it. -- FC#2 shared that FC #1 may have been connecting with a guy that was 47 years old. -- FC #1 shared on her social media page of her in a hotel room. -- FC #1 posted photo of herself with a new tattoo. -- This social media information was shared with the Detective on the case. Interview on 7/14/21 with the Qualified professional revealed that he could not recall giving permission for only 1 staff to transport clients. Interview on 7/15/21 with the Clinical Director revealed the following information:

Division of Health Service Regulation (X1) PROVIDER/SUPPLIER/CLIA STATEMENT OF DEFICIENCIES (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** COMPLETED A. BUILDING: B. WING MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE **2748 YOUTH UNLIMITED DRIVE** YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X5) COMPLETE (X4) ID (EACH DEFICIENCY MUST BE PRECEDED BY FULL (EACH CORRECTIVE ACTION SHOULD BE PRFFIX PRFFIX REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG TAG DEFICIENCY) V 296 Continued From page 11 V 296 -- Staff was trying to minimize and separate clients to lessen their behaviors. -- He did not believe anyone gave staff permission for only one staff to transport clients. -- FC #1 never ran from the facility itself. -- FC #1 and FC #2 did not get along together See Attached verv well. -- FC #1 and FC #2 had made some progress in treatment. -- It is the facility's policy if a client is gone over 7 days (FC #1) they are considered discharged. Review on 7/15/21 of the Plan of Protection written by the Clinical Director on 7/15/21 revealed the following information; "What will you immediately do to correct the above rule violations in order to protect clients from further risk or additional harm: Insure staff ratio is in compliance to insure supervision at all times. The goal is to meet the needs of clients. Describe your plans to make sure the above happens: Plan is occurring now." FC #1 and FC #2 were being treated by the facility for behaviors associated with diagnoses such as Attention Deficit Hyperactivity Disorder-Combined Type with Severe Impulsivity, Disruptive Behavior Disorders-Oppositional Defiant Disorder & Conduct Disorder, Depressive Disorder, Cyclothymic Disorder, Anxiety Disorder, Post Traumatic Stress Disorder with Sleep Issues/Panic, Substance Use Disorder and Tobacco Use. FC #2 was being transported home for a home visit by a single staff on 7/2/21 instead of the required number of staff. FC #1 also rode along for this trip. Upon arriving at FC #2's home, FC #1 ran from staff. As of exit date of survey (7/15/21), FC #1 has still not been located. In May 2021, FC #1's Mother would no longer

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA (X2) MULTIPLE CONSTRUCTION (X3) DATE SURVEY AND PLAN OF CORRECTION **IDENTIFICATION NUMBER:** COMPLETED A. BUILDING: __ B. WING_ MHL076-068 07/15/2021 NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE 2748 YOUTH UNLIMITED DRIVE YOUTH UNLIMITED HAYWORTH HOME SOPHIA, NC 27350 SUMMARY STATEMENT OF DEFICIENCIES PROVIDER'S PLAN OF CORRECTION (X4) ID (X5) COMPLETE (EACH DEFICIENCY MUST BE PRECEDED BY FULL (EACH CORRECTIVE ACTION SHOULD BE **PREFIX PREFIX** REGULATORY OR LSC IDENTIFYING INFORMATION) CROSS-REFERENCED TO THE APPROPRIATE DATE TAG TAG DEFICIENCY) V 296 Continued From page 12 V 296 allow her to come home for any visits. This was due to FC #1's unacceptable behaviors such as running away from the home, stealing, lying, substance use and engaging in unsafe sex practices. None of the clients (FC #1, FC #2 or Client #3) had any documentation in their charts indicating that they were approved to be transported by See Attached facility staff in a number lower than the minimum number of staff required by rule. FC #1 exhibited AWOL behavior while at school 2 times resulting in a 10 day out of school suspension. FC #1 displayed 2 instances of aggression against FC #2 some time between 4/1/21 and 5/26/21 and again on 6/24/21. The later aggression resulted in injuries to both clients requiring a trip to the emergency room for testing and medical evaluation. FC #1 sustained a broken wrist and a sprain of her ankle, and FC #2 sustained a concussion. This deficiency constitutes a Type A1 rule violation for serious neglect and must be corrected within 23 days. An administrative penalty of \$2000.00 is imposed. If the violation is not corrected within 23 days, an additional administrative penalty of \$500.00 per day will be imposed for each day the facility is out of compliance beyond the 23rd day.

Plan of Correction

Response to: Annual and Complaint Survey completed July 15, 2021 Youth Unlimited Hayworth Home, 2748 Youth Unlimited Drive, Sophia, NC 27350 MHL # 076-068

V 112 27G .0205 (C-D)

Assessment/Treatment/Habilitation Plan

Response to Findings

- Youth Unlimited complied with all processes and procedures set-forth by the state regarding the client's treatment plan and interventions as evidenced by:
 - o Client's Person-Centered Plan (PCP) was developed with the client and parent's input and approval.
 - o Client's PCP was reviewed and approved by our Medical Director.
 - o Client's PCP was then submitted, reviewed, and approved by the MCO (Sandhills).
 - o Client's PCP was reviewed monthly at the Child & Family Team Meetings (CFT) where any adjustments to treatment can be recommended by any member of the treatment team.
 - o Client's PCP was reviewed and approved bi-monthly by the MCO for continuing authorizations.
- Regarding deficits in the client's treatment plan.
 - Client had no prior documentation of running from any facility as referenced by her CCA from Alexander Youth Network.
 - O Client was placed at ACT Together from 9/15/20 9/25/20 without going AWOL.
 - O Client did have a history of running away from her mother's house prior to placement with YU and this was addressed under PCP goal #5 regarding abiding by all rules and requests while on home visits with her mother.
 - O Client had engaged in skipping class on 2 occasions and this behavior is addressed in goal #3 of her PCP.

Narrative Summary

Youth Unlimited had a goal on the PCP for FC #2 regarding going AWOL or walking off as it was expected given her prior documented history. However, FC #1 was not expected to walk off or go AWOL from our program but only from home. In the therapeutic leave portion of the PCP this is addressed and found in the visitation contracts. True to the screening at the admission she did not go AWOL from our program until July 2. There were no indicators she was going to leave our staff or program as she had not done so previously. She did walk off from school but that is covered in the school goal, and both times she returned to school. Youth Unlimited attempts to individualize as best as possible treatment goals based on information the agency has at the time of admission and with adjustments as treatment progresses. Youth Unlimited will continue to ensure this individualized process but moving forward will insure AWOL and related words are in all treatment plans. Youth Unlimited would like to appeal the Type A finding and financial penalty given that the client was not injured. It is our policy not to restrain clients in situations which there is not a clear immediate, eminent, or impending physical threat to either the client or others. The presence of additional staff would not have prevented FC #2 from walking off.

V 296 27G .1704

Minimum Staffing Requirements

Response to Findings

- YU was in compliance regarding the number of staff necessary as set forth by the state requirements. In an attempt to accommodate the needs of a client's family by transporting a client home for a visit, and, in order to ensure client safety based on that morning's interactions, staff decided to split up leaving one client supervised at the facility while the other two were being transported.
- Rigid compliance with this statute would have required 4 total staff in order to provide this accommodation for the client/family (2 staff to transport FC #1 & FC #2 and 2 staff to monitor FC #3). Financially this is impossible due to both the current reimbursement rate and the lack of a rate increase since 2008 (13 years).
- Staff was never outside of eyesight of FC #2 and the number of staff would not have prevented this incident from occurring as interventions would not have differed in any manner unless the client could've been persuaded to stay which, given her previous behavioral repertoire, was highly unlikely.

Narrative Summary

Youth Unlimited's policy and expectation of staff is that there are two staff with one, two, three and four clients. Staff drove a client home for a visit with one staff and the intention was not malicious or intentional in violating the policy. The staff's thinking was based on the belief that taking the other client with them would give this client a break from another client left at the house that she did not get along with at times. Putting all three in the van with two staff seemed to be dangerous given the small area (the van) where escalation could occur. They attempted to minimize this by dividing up. The recommendation regarding employing more staff is simply not financially possible especially in light of the current pandemic in which employment rates have plummeted for employees earning less than \$40,000 a year. In this incidence it would have required double the number of employees to ensure strict compliance with the state policy. The client that went AWOL had zero history of going AWOL with our staff or from our program. There was no reason to think she would go AWOL leading up to her going with staff to client home. They did not get clarification from the supervisory team to do this. There has been a staff meeting and will continue to be meetings to emphasize following state ratio requirements. Youth Unlimited is considering eliminating all transportation services outside of school drop-off/pick-up. Emergency services would be provided by Randolph County EMS and all parents would be responsible for appointments including routine doctor visits, home visits, etc. This would be at the cost of the parent's own time and expense. Youth Unlimited has been fortunate to maintain the required staffing ratios using large amounts of overtime and supervisory staff to fill shifts. Even if financial considerations were not an issue we do not see how we can have staff standing by for such a spur of the moment situation. We have been able to cover circumstances that required more staff when we were able to plan in advance. This will likely result in increased hardship for the clients and families that we serve but we will do so in order to be in compliance. Youth Unlimited would like to appeal the Type A finding and financial penalty given that the client was not injured. It is our policy not to restrain clients in situations in which there is not a clear immediate, eminent, or impending physical threat to either the client or others. The presence of additional staff would not have prevented FC #2 from walking off.