

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL005-028	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED C 04/06/2021
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NAME OF PROVIDER OR SUPPLIER CLAY'S HOUSE	STREET ADDRESS, CITY, STATE, ZIP CODE 500 FRANK STREET WEST JEFFERSON, NC 28694
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V 000	<p>INITIAL COMMENTS</p> <p>A complaint survey was completed on 4/6/2021. The complaint was unsubstantiated (intake #NC175866). A deficiency was cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G .5600F Supervised Living for Alternative Family Living.</p>	V 000		
V 722	<p>27G .0302 (a) DHSR Construction Approval</p> <p>10A NCAC 27G .0302 FACILITY CONSTRUCTION/ALTERATIONS/ ADDITIONS (a) When construction, use, alterations or additions are planned for a new or existing facility, work shall not begin until after consultation with the DHSR Construction Section and with the local building and fire officials having jurisdiction. Governing bodies are encouraged to consult with DHSR prior to purchasing property intended for use as a facility.</p> <p>This Rule is not met as evidenced by: Based on observations, record reviews, and interviews, the facility failed to consult the Division of Health Service Regulation (DHSR) (formerly Division of Facility Services (DFS)) Construction Section and local building and fire officials prior to construction, use and alteration of the facility. The findings are:</p> <p>Review on 4/6/2021 of client #1's record revealed: - Admission date: 3/24/2006 - Diagnoses: Autistic Disorder; Moderate Intellectual Disabilities; and Urinary Incontinence.</p> <p>Review on 4/6/2021 of client #2's record revealed: - Admission date: 9/26/2015</p>	V 722		

Division of Health Service Regulation
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X6) DATE _____

Division of Health Service Regulation

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V 722	<p>Continued From page 1</p> <ul style="list-style-type: none"> - Diagnoses: Autistic Disorder; Moderate Intellectual Disabilities; Essential Hypertension; Obesity; Unspecified Convulsions/Seizure Disorder; Sleep Apnea; and "Allergies." <p>Review on 4/5/2021 of a "Fire Exit" floor plan of the facility revealed:</p> <ul style="list-style-type: none"> - The plan was dated "11-20" (November 2020); - The plan drawing was not to scale; - There were arrows draw indicating the fire exits; - Client #1's bedroom door was located in a hallway near the back garage door exit; - Client #2's bedroom was located closest to the front of the house, and furthest from fire exit doors; - The exit arrows from client #2's bedroom at the front of the house directed evacuation through the kitchen and sunroom to the outside; or though the kitchen and hallway to the garage door to outside; - The 11-20 floor plan differed from the original floor plan submitted on the initial license application dated 4/2/2015; - The 11-20 floor plan did not include the original dining room, living room or storage room located at the front of the facility. <p>Review on 4/5/2021 of the facility's local fire inspection report revealed:</p> <ul style="list-style-type: none"> - The facility was last inspected on 10/13/2020. <p>Review on 4/5/2021 of the facility's local sanitation inspection report revealed:</p> <ul style="list-style-type: none"> - The facility was last inspected on 10/28/2021. <p>Observation at approximately 3:45pm on 4/5/2021 of the facility revealed:</p> <ul style="list-style-type: none"> - Client #2's bedroom was located at the front corner of the house; - Access to client #2's bedroom was from the kitchen, past a bathroom and at the end of a 	V 722		

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V 722	<p>Continued From page 2</p> <p>short hallway;</p> <ul style="list-style-type: none"> - There was a wall located beside the bathroom which prevented access to the original front door foyer/hallway; - A door in the kitchen opened to an apartment style room in which a non-client female was sitting alone; - The front door was visible diagonally across the room from the kitchen door; - The Surveyor did not enter the apartment for further observation. <p>Interview on 4/5/2021 with client #1 revealed:</p> <ul style="list-style-type: none"> - He was unable to provide information regarding the changes to the facility. <p>Interview on 4/5/2021 with client #2 revealed:</p> <ul style="list-style-type: none"> - He was unable to provide information regarding the changes to the facility. <p>Interviews on 4/5/2021 and 4/6/2021 with the Building Owner revealed:</p> <ul style="list-style-type: none"> - She was client #1's mother/legal guardian; - Her mother/client #1's grandmother had been living in another state, but needed to move; - The original dining and living room were not being used; - She had told the Program Manager (PM) in October of 2020 that she was going to put an apartment into the unused part of the facility for her mother; - The PM had not thought that it would be a problem to move her mother in to the unused part of the facility; - In December of 2020, she had again mentioned to the PM that she planned to move her mother in; - Renovations to the new apartment area included installing a wall in the front door hallway to block access to the area client #2's bedroom 	V 722		

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V 722	<p>Continued From page 3</p> <p>was located, new plumbing for a bathroom, and creation of a kitchenette area;</p> <ul style="list-style-type: none"> - She was not aware of any inspections of the new apartment by the Construction section or local building and fire inspectors since the renovations were made to the facility; - Her mother had moved into the facility within the past 3 months; - After her mother moved into the new apartment, the PM had told her that she was supposed to wait until inspections had been completed; - Based on her earlier conversation with the PM, she thought that there were not any impediments to her mother moving in. <p>Interview on 4/5/2021 with the AFL Provider revealed:</p> <ul style="list-style-type: none"> - The Building Owner was client #1's mother; - The Building Owner had told him in October of 2020 that she would be having the front living room renovated into an apartment for her mother/client #1's grandmother; - The living room area was not used by facility clients; - He never even heated the living room because it was so big; - He had told the Building Owner to discuss her plans with the PM before she got started on actual renovations; - The Building Owner's mother moved in around the last week of January 2021 because it took that long to install a bathroom and new windows; - The Building Owner's mother did not use any other part of the facility; - There had not been any impact on clients' #1 and #2's care or services since the Building Owner's mother moved in; - Following the building renovations, the emergency exit from the area of client #2's bedroom changed from the front door to the 	V 722		

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V 722	<p>Continued From page 4</p> <p>sunroom door;</p> <ul style="list-style-type: none"> - He was not involved with coordinating building inspections or approval of renovations; - The Qualified Professional (QP) and the PR were the people who would have coordinated with the Building Owner about renovations. <p>Interview on 4/6/2021 with the QP revealed:</p> <ul style="list-style-type: none"> - The Building Owner was client #1's mother; - The Building Owner had told her that she wanted to renovate the unused living room area into an apartment for her mother; - She had told the Building Owner that an inspection would have to be completed and a separate entrance created before her mother could move in; - She did not think that any inspections had been completed since the renovations occurred; - She thought that the Building Owner had planned to have a bathroom and kitchenette installed; - Due to visitation restrictions related to the Covid-19 emergency, she had not physically visited the facility for several months until last month; - When she went to the facility last month, she found out that the Building Owner's mother had already moved in; - She notified the PM of the Building Owner's mother being at the facility; - There had not been any negative impact on clients #1 and #2's care. <p>Interviews on 4/5/2021 and 4/6/2021 with the PM revealed:</p> <ul style="list-style-type: none"> - The Building Owner had mentioned a few months ago that she was considering making changes to the facility; - She was not aware that the Building Owner had actually made physical changes to the house and 	V 722		

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V 722	Continued From page 5 mover her mother in until last month; - The section of the facility that the Building Owner's mother occupied was an area that was previously unused; - There were still three bedrooms available for clients, although one of them was currently unoccupied; - The changes to the facility and the Building Owner's mother moving in had not negatively impacted client care; - She did not know of any inspections by local fire and sanitation departments since the facility renovations occurred; - She had contacted someone in the DHSR Construction Section in March 2021, but did not specifically discuss the changes made to the facility; - She would contact the Construction Section to discuss how to address the alterations made to the facility.	V 722		