

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: mhl092-576	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING: _____	(X3) DATE SURVEY COMPLETED C 09/14/2020
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NAME OF PROVIDER OR SUPPLIER STREET ADDRESS, CITY, STATE, ZIP CODE

UNITED FAMILY NETWORK AT WILLOW SPRING

**9609 KENNEBEC ROAD
WILLOW SPRINGS, NC 27592**

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 000	INITIAL COMMENTS A complaint survey was completed on 09-14-2020. The complaint was unsubstantiated (intake #NC00167367). A deficiency was cited. This facility is licensed for the following service category 10A NCAC 27G .1700 Residential Treatment Staff Secure for Children or Adolescents.	V 000		
V 366	27G .0603 Incident Response Requirements 10A NCAC 27G .0603 INCIDENT RESPONSE REQUIREMENTS FOR CATEGORY A AND B PROVIDERS (a) Category A and B providers shall develop and implement written policies governing their response to level I, II or III incidents. The policies shall require the provider to respond by: (1) attending to the health and safety needs of individuals involved in the incident; (2) determining the cause of the incident; (3) developing and implementing corrective measures according to provider specified timeframes not to exceed 45 days; (4) developing and implementing measures to prevent similar incidents according to provider specified timeframes not to exceed 45 days; (5) assigning person(s) to be responsible for implementation of the corrections and preventive measures; (6) adhering to confidentiality requirements set forth in G.S. 75, Article 2A, 10A NCAC 26B, 42 CFR Parts 2 and 3 and 45 CFR Parts 160 and 164; and (7) maintaining documentation regarding Subparagraphs (a)(1) through (a)(6) of this Rule. (b) In addition to the requirements set forth in Paragraph (a) of this Rule, ICF/MR providers shall address incidents as required by the federal	V 366	V 366 All incidents will be reported in a timely manner Chris Simmons will monitor monthly - Ongoing	10/15/2020

Division of Health Service Regulation

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

STATE FORM

6899

IM6911

If continuation sheet 1 of 4

RECEIVED

By DHSR Mental Health Licensure & Certification at 8:38 am, Oct 27, 2020

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V 366	Continued From page 1 regulations in 42 CFR Part 483 Subpart I. (c) In addition to the requirements set forth in Paragraph (a) of this Rule, Category A and B providers, excluding ICF/MR providers, shall develop and implement written policies governing their response to a level III incident that occurs while the provider is delivering a billable service or while the client is on the provider's premises. The policies shall require the provider to respond by: (1) immediately securing the client record by: (A) obtaining the client record; (B) making a photocopy; (C) certifying the copy's completeness; and (D) transferring the copy to an internal review team; (2) convening a meeting of an internal review team within 24 hours of the incident. The internal review team shall consist of individuals who were not involved in the incident and who were not responsible for the client's direct care or with direct professional oversight of the client's services at the time of the incident. The internal review team shall complete all of the activities as follows: (A) review the copy of the client record to determine the facts and causes of the incident and make recommendations for minimizing the occurrence of future incidents; (B) gather other information needed; (C) issue written preliminary findings of fact within five working days of the incident. The preliminary findings of fact shall be sent to the LME in whose catchment area the provider is located and to the LME where the client resides, if different; and (D) issue a final written report signed by the owner within three months of the incident. The	V 366			

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V 366	<p>Continued From page 2</p> <p>final report shall be sent to the LME in whose catchment area the provider is located and to the LME where the client resides, if different. The final written report shall address the issues identified by the internal review team, shall include all public documents pertinent to the incident, and shall make recommendations for minimizing the occurrence of future incidents. If all documents needed for the report are not available within three months of the incident, the LME may give the provider an extension of up to three months to submit the final report; and</p> <p>(3) immediately notifying the following:</p> <p>(A) the LME responsible for the catchment area where the services are provided pursuant to Rule .0604;</p> <p>(B) the LME where the client resides, if different;</p> <p>(C) the provider agency with responsibility for maintaining and updating the client's treatment plan, if different from the reporting provider;</p> <p>(D) the Department;</p> <p>(E) the client's legal guardian, as applicable; and</p> <p>(F) any other authorities required by law.</p> <p>This Rule is not met as evidenced by: Based on record review and interview, the facility failed to report critical incident/elopement immediately notifying the authorities as required by law. The findings are:</p> <p>Review of Client #3's record:</p>	V 366			

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V 366	<p>Continued From page 3</p> <ul style="list-style-type: none"> -Age 16 -Admitted 09-19-2019 -Diagnosis- conduct disorder, cannabis use disorder-moderate <p>Review of Police Report dated 8-26-20:</p> <ul style="list-style-type: none"> -Client #3 eloped from Boxing Gym on 8-26-2020 at 8:00 am -Elopement reported to the police at 3:46 pm <p>Review of Incident Report on 8-28-20:</p> <ul style="list-style-type: none"> -Client #3 eloped from Boxing Gym on 8-26-20 at 8:00 am -Local police department contacted at 3:45pm <p>During an interview on 8-27-20 the Licensee reported:</p> <ul style="list-style-type: none"> -There was elopement of client #3 at 8:00 am on 8-26-20. -Police were notified around 4:00 pm. -He waited to give client #3 a chance to come back on his own. -He acknowledged that he should have reported missing person within 4 hours of the incident. -Parent was notified and assisted looking for her son client #3. <p>During an interview on 8-26-20 the Lieutenant of the local Police Department reported:</p> <ul style="list-style-type: none"> -Client #3 had eloped the morning of 8-26-20 at approximately 8:00am -Received call to file missing person report from Licensee at 3:46 pm -All elopements/run away's should be reported within 3 hours of occurring. 	V 366			

UNITED FAMILY NETWORK

FACSIMILE TRANSMITTAL SHEET

TO: Mental Health Licensure and Certification Section		FROM: Chris Simmons
COMPANY:	NC Department of Health & Human Services	DATE: 10/23/2020
FAX NUMBER:	919 715 8078	TOTAL NO. OF PAGES, INCLUDING COVER: 5 pgs
PHONE NUMBER:	919 855-3795	SENDER'S REFERENCE NUMBER:
RE:	Complaint Survey	
YOUR REFERENCE NUMBER:		

☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ PLEASE RECYCLE

NOTES/COMMENTS:

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