

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>090-145</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>R</b> <b>08/26/2020</b>
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NAME OF PROVIDER OR SUPPLIER  <b>UNION DIVERSIFIED INDUSTRIES</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>2815 WALKUP AVENUE MONROE, NC 28110</b>
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
V 000	INITIAL COMMENTS  A complaint and follow up survey was completed on 8-26-20. The complaint was unsubstantiated (intake #NC 00167832). A deficiency was cited.  This facility is licensed for the following service category: 10A NCAC 27G .2300 Adult Developmental and Vocational Programs for Individuals with Development Disabilities.	V 000		
V 131	G.S. 131E-256 (D2) HCPR - Prior Employment Verification  G.S. §131E-256 HEALTH CARE PERSONNEL REGISTRY (d2) Before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the Health Care Personnel Registry and shall note each incident of access in the appropriate business files.  This Rule is not met as evidenced by: Based on interviews and record reviews, the facility failed to ensure the Health Care Personnel Registry (HCPR) was accessed prior to hire affecting 2 of 3 staff (Staff #1 and Staff #3). The findings are:  Review on 8/20/20 of Staff #1's record revealed: -Hire date was 4/16/20; -Employed as Direct Support Professional; -HCPR check completed on 5/26/20.  Review on 8/20/20 of Staff #3's record revealed:	V 131	Changes have been made to forms utilized during the pre-hire process to highlight the requirement for prior employment verification utilizing the Health Care Personnel Registry.  All hiring managers will be trained on the requirement and provided the proper tools to conduct such prior employment verification.  The Executive Director or designee will be responsible for reviewing all pre-hire documentation. Review of pre-hire documentation will occur prior to hire date to ensure compliance.  <i>DHSR-Mental Health</i> <i>SEP 10 2020</i> <i>Lic. &amp; Cert. Section</i>	9/31/2020

Division of Health Service Regulation  
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

*David Casper III*

TITLE

*Executive Director*

(X6) DATE

*9-4-2020*

Division of Health Service Regulation

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V 131	<p>Continued From page 1</p> <ul style="list-style-type: none"> <li>-Hire date was 6/18/18;</li> <li>-Employed as Direct Support Professional / Driver;</li> <li>-HCPR check completed on 6/20/18.</li> </ul> <p>Interview on 8/24/20 with the Director revealed:</p> <ul style="list-style-type: none"> <li>-He was not the hiring manager for Staff #1;</li> <li>-The hiring manager was no longer with the company;</li> <li>-Was not aware that the HCPR checks for Staff #1 and Staff #3 were incomplete at the start of Staff #1 and Staff #3's employment.</li> <li>-Will ensure all HCPR checks be completed and documented prior to an offer of employment in the future.</li> </ul>	V 131		