

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:  <b>MHL092-474</b>	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____  B. WING _____	(X3) DATE SURVEY COMPLETED  <b>01/16/2020</b>
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NAME OF PROVIDER OR SUPPLIER  <b>RES SUPPORT SVCS OF WAKE CO - ATLANTI</b>	STREET ADDRESS, CITY, STATE, ZIP CODE <b>3416 ATLANTIC AVENUE RALEIGH, NC 27604</b>
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V 000	INITIAL COMMENTS  An Annual Survey was completed on 01/16/20. A deficiency was cited.  This facility is licensed for the following service categories: 10A NCAC 27G .5000C Supervised Living for Adults with Developmental Disabilities.	V 000	The agency has changed its policies and procedures to reflect the quoted rule. No staff will provide services until they have completed our internal EBPI part A training class on alternatives to restrictive interventions.  In addition we have updated our orientation program to include our EBPI training on the first day of work.	
V 536	27E .0107 Client Rights - Training on Alt to Rest. Int.  10A NCAC 27E .0107 TRAINING ON ALTERNATIVES TO RESTRICTIVE INTERVENTIONS (a) Facilities shall implement policies and practices that emphasize the use of alternatives to restrictive interventions. (b) Prior to providing services to people with disabilities, staff including service providers, employees, students or volunteers, shall demonstrate competence by successfully completing training in communication skills and other strategies for creating an environment in which the likelihood of imminent danger of abuse or injury to a person with disabilities or others or property damage is prevented. (c) Provider agencies shall establish training based on state competencies, monitor for internal compliance and demonstrate they acted on data gathered. (d) The training shall be competency-based, include measurable learning objectives, measurable testing (written and by observation of behavior) on those objectives and measurable methods to determine passing or failing the course. (e) Formal refresher training must be completed by each service provider periodically (minimum annually). (f) Content of the training that the service	V 536	The QP along with one other worker found to have had an alternate training program have taken our EBPI class with our in house trainer.  Program coordinator Elizabeth Qualters will review NC Joint Communications Bulletin , MHDDSAS communications and is subscribed to Alliance Provider news to stay aware of changes in rules and policy updates. These will be reviewed as they arrive and monthly for those that are on websites. In addition Christy Tew-Batts our in house trainer will review EBPI website monthly to review any changes in training rules.	

**RECEIVED**  
By DHSR- Mental Health Licensing at 8:52 am, Feb 04, 2020

Division of Health Service Regulation LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE	TITLE	(X6) DATE
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V 536	<p>Continued From page 1</p> <p>provider wishes to employ must be approved by the Division of MH/DD/SAS pursuant to Paragraph (g) of this Rule.</p> <p>(g) Staff shall demonstrate competence in the following core areas:</p> <p>(1) knowledge and understanding of the people being served;</p> <p>(2) recognizing and interpreting human behavior;</p> <p>(3) recognizing the effect of internal and external stressors that may affect people with disabilities;</p> <p>(4) strategies for building positive relationships with persons with disabilities;</p> <p>(5) recognizing cultural, environmental and organizational factors that may affect people with disabilities;</p> <p>(6) recognizing the importance of and assisting in the person's involvement in making decisions about their life;</p> <p>(7) skills in assessing individual risk for escalating behavior;</p> <p>(8) communication strategies for defusing and de-escalating potentially dangerous behavior; and</p> <p>(9) positive behavioral supports (providing means for people with disabilities to choose activities which directly oppose or replace behaviors which are unsafe).</p> <p>(h) Service providers shall maintain documentation of initial and refresher training for at least three years.</p> <p>(1) Documentation shall include:</p> <p>(A) who participated in the training and the outcomes (pass/fail);</p> <p>(B) when and where they attended; and</p> <p>(C) instructor's name;</p> <p>(2) The Division of MH/DD/SAS may review/request this documentation at any time.</p>	V 536		

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V 536	<p>Continued From page 2</p> <p>(i) Instructor Qualifications and Training Requirements:</p> <p>(1) Trainers shall demonstrate competence by scoring 100% on testing in a training program aimed at preventing, reducing and eliminating the need for restrictive interventions.</p> <p>(2) Trainers shall demonstrate competence by scoring a passing grade on testing in an instructor training program.</p> <p>(3) The training shall be competency-based, include measurable learning objectives, measurable testing (written and by observation of behavior) on those objectives and measurable methods to determine passing or failing the course.</p> <p>(4) The content of the instructor training the service provider plans to employ shall be approved by the Division of MH/DD/SAS pursuant to Subparagraph (i)(5) of this Rule.</p> <p>(5) Acceptable instructor training programs shall include but are not limited to presentation of:</p> <p>(A) understanding the adult learner;</p> <p>(B) methods for teaching content of the course;</p> <p>(C) methods for evaluating trainee performance; and</p> <p>(D) documentation procedures.</p> <p>(6) Trainers shall have coached experience teaching a training program aimed at preventing, reducing and eliminating the need for restrictive interventions at least one time, with positive review by the coach.</p> <p>(7) Trainers shall teach a training program aimed at preventing, reducing and eliminating the need for restrictive interventions at least once annually.</p> <p>(8) Trainers shall complete a refresher instructor training at least every two years.</p> <p>(j) Service providers shall maintain</p>	V 536		

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V 536	<p>Continued From page 3</p> <p>documentation of initial and refresher instructor training for at least three years.</p> <p>(1) Documentation shall include:</p> <p>(A) who participated in the training and the outcomes (pass/fail);</p> <p>(B) when and where attended; and</p> <p>(C) instructor's name.</p> <p>(2) The Division of MH/DD/SAS may request and review this documentation any time.</p> <p>(k) Qualifications of Coaches:</p> <p>(1) Coaches shall meet all preparation requirements as a trainer.</p> <p>(2) Coaches shall teach at least three times the course which is being coached.</p> <p>(3) Coaches shall demonstrate competence by completion of coaching or train-the-trainer instruction.</p> <p>(l) Documentation shall be the same preparation as for trainers.</p> <p> </p> <p>This Rule is not met as evidenced by: Based on record review and interview, the facility failed to ensure three of three audited staff (staff #1, staff #2 and Qualified Professional) had training in the same alternatives to restrictive interventions prior to providing services. The findings are:</p> <p>a. Review on 01/16/20 of the facility's personnel files revealed the following for staff #1</p> <ul style="list-style-type: none"> <li>-Hired: 1983</li> <li>-Training certificate issued 03/13/19 for Evidence Based Protective Intervention</li> </ul>	V 536		
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V 536	<p>Continued From page 4</p> <p>b-. Review on 01/16/20 of the facility's personnel files revealed the following for staff #2:                      -Hired: 1988                      -Training certificate issued 03/13/19 for Evidence Based Protective Intervention</p> <p>c. Review on 01/16/20 of the facility's personnel files revealed the following for the Qualified Professional:                      -Hired: 09/03/19                      -Training certificate issued 03/07/19 for North Carolina Interventions plus.</p> <p>During interview on 01/16/20, the Director revealed:                      -The facility utilized Evidence Based Protective Intervention as the alternatives to restrictive intervention curriculum                      -She was not aware all staff had to be trained in the same alternative to restrictive intervention curriculum</p>	V 536		