DEPARTMENT OF HEALTH AND HUMAN SERVICES CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 05/03/2019 FORM APPROVED OMB NO. 0938-0391

NAME OF PROVIDER ROCKWOOD (X4) ID	SUMMARY STA ACH DEFICIENC' GULATORY OR L	34G292 TEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	B. WING	S7	TREET ADDRESS, CITY, STATE, ZIP CODE 409 ROCKWOOD DRIVE ALEIGH, NC 27612	05/0	3/2019				
ROCKWOOD	SUMMARY STA ACH DEFICIENC' GULATORY OR L	TEMENT OF DEFICIENCIES MUST BE PRECEDED BY FULL	ID PREFI	S1 44	109 ROCKWOOD DRIVE	j 05/0	3/2019				
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(V4) ID	ACH DEFICIENC GULATORY OR L	MUST BE PRECEDED BY FULL	PREFI			RALEIGH, NC 27612					
PRÉFIX (E			TAG		PROVIDER'S PLAN OF CORRECTIOI (EACH CORRECTIVE ACTION SHOULD CROSS-REFERENCED TO THE APPROPI DEFICIENCY)	BE	(X5) COMPLETION DATE				
	DRUG USAGE CFR(s): 483.450(e)(2)			{W 312} The noted deficiencies will be corrected by following actions: A. Clinical Supervisor will review all Behavior			7/2/2019				
must l client's	Drugs used for control of inappropriate behavior must be used only as an integral part of the client's individual program plan that is directed specifically towards the reduction of and eventual elimination of the behaviors for which the drugs are employed.				Support Plan's to ensure that any psychotropic medication administered has both a diagnosis and targeted behaviors that warrant its administration.						
elimin					B. This review will also be used to determine administration of psychotropic medications is appropriate based on current displayed behaviors.	s still aviors.					
Base	ed on record r	RD is not met as evidenced by: ord review and interview, the facility a drugs used for the control of			C. If the current behaviors do not warrant co administration of medication, then the Clinic Supervisor will seek to titrate the dosage wh appropriate.	al nere					
integr direct behav This a	inappropriate behaviors were used only as an integral part of the Behavior Support Plan (BSP) directed towards the reduction or elimination of behaviors for which the drugs were employed. This affected 1 of 3 audit clients (#4). The finding				D. Behavior Support Plans/Guidelines will be to include target behaviors and their corresp medications. In addition, Behavior Support will include strategies for promoting sleep fo who has been prescribed medications to addissues in this area.	onding Plans r anyone dress					
	is: 1. Client #4's use of Remeron was not included in				E. HRC and consent signatures will be obtained for all revised Behavior Support Plans.						
an active treatmer					F. Clinical Supervisor will monitor and documenthly.	ment					
order Reme "for s	rs dated 3/14/ eron 7.5mg, t leep". Additio	of client #4's current physician's '19 revealed an order for ake one tab by mouth nightly onal review of the client's BSP to identified the use of									
the u	Melatonin for sleep. The record did not include the use of Remeron in a formal active treatment				DHSR - Mental Hea	ılth					
plan.	•				MAY 1 3 2019						
Disat use o	Interview on 2/26/19 with the Qualified Intellectual Disabilities Professional (QIDP) confirmed the use of Remeron was not included in a formal active treatment plan.				Lic. & Cert. Section	า					
beha	vior were not	ddress client #4's sleep included in his BSP.	A		_ TITLE		(X6) DATE				

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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MANE OF PROVIDER OR SUPPLIER ROCKWOOD SIRVANARY STATEMENT OF DERICIENCIES (LEAST OF THE APPROPRIATE OF THE	STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		TIPLE CONSTRUCTION NG	(X3) DATE	(X3) DATE SURVEY COMPLETED		
ROCKWOOD SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL TAGE (WA) ID SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL TAGE (PREFIX TAGE) REGULATORY OR LSC IDENTIFYING INFORMATION) (W 312) Continued From page 1 Review on 5/3/19 of client #4's BSP dated 11/12/18 revealed objectives to address his failure to cooperate, agitation, property destruction, physical aggression and elopement. Additional review of the client's current physician's orders dated 3/14/19 noted an order for Remeron 7.5mg, take one tab by mouth nightly "for sleep" and another order for two Melatonin gummies 2.5mg to be taken 2 hours before bedtime "for sleep". Further review of client #4's BSP did not include specific strategies to address his sleep issues. Interview on 5/3/19 with the confirmed the Melatonin and Remeron were used for sleep and were in place without specific strategies to			34G292	B. WING		1	1		
(X4) ID PREFIX TAG (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSc IDENTIFYING INFORMATION) (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSc IDENTIFYING INFORMATION) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT OF THE APPROPRIATE DEFICIENCY) (W 312) (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCE DOT THE APPROPRIATE DEFICE TO THE APPROP						1 05/0	13/2019		
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Review on 5/3/19 of client #4's BSP dated 11/12/18 revealed objectives to address his failure to cooperate, agitation, property destruction, physical aggression and elopement. Additional review of the plan included the use of Melatonin for sleep. Further review of the client's current physician's orders dated 3/14/19 noted an order for Remeron 7.5mg, take one tab by mouth nightly "for sleep" and another order for two Melatonin gummies 2.5mg to be taken 2 hours before bedtime "for sleep". Further review of client #4's BSP did not include specific strategies to address his sleep issues. Interview on 5/3/19 with the confirmed the Melatonin and Remeron were used for sleep and were in place without specific strategies to	PREFIX	(EACH DEFICIENCY MUST BE PRECEDED BY FULL			(EACH CORRECTIVE ACTION SHOUL CROSS-REFERENCED TO THE APPROI	HOULD BE COMPLETION			
	{W 312}	Review on 5/3/19 of 11/12/18 revealed of failure to cooperate destruction, physical Additional review of Melatonin for sleep current physician's order for Remeron nightly "for sleep" at Melatonin gummies before bedtime "for client #4's BSP did to address his sleet Interview on 5/3/19 Melatonin and Remwere in place without to 11/12/19 Melatonin and Remwere in place without to 2/3/19 Melatonin and Remwere in place without the 2/3/19 Melatonin and Remwere in place without to 2/3/19 Melatonin and Remwere in place without the 2/3/19 Melatonin and Remwere in place with	of client #4's BSP dated objectives to address his e, agitation, property al aggression and elopement. If the plan included the use of the client's orders dated 3/14/19 noted an 7.5mg, take one tab by mouth and another order for two is 2.5mg to be taken 2 hours or sleep". Further review of not include specific strategies in issues.	{W 31					