

# Appendix 1-B: Plan of Correction Form

## Plan of Correction

Please complete all requested information and mail completed Plan of Correction form to:

Mental Health Licensure and Certification Section  
 NC Division of Health Service Regulation  
 2718 Mail Service Center  
 Raleigh, N.C. 27699-2718

DHSR - Mental Health

NOV 14 2018

Lic. & Cert. Section

<b>Provider Name:</b> <b>Provider Contact</b> <b>Person for follow-up:</b>	L&J Homes Inc. (Apple Street) James Graham	<b>Phone:</b> <b>Fax:</b> <b>Email:</b>	(336) 227-8030 (336) 227-3288 Omegaman1117@gmail.com
<b>Address:</b>	1355 N. Church St, Burlington NC 27217		
<b>Provider #</b>	(336) 227-8030		
<b>Finding</b>	<b>Corrective Action Steps</b>	<b>Responsible Party</b>	<b>Time Line</b>
Violation of GS 131E-256(G) HCPR Notification, Allegation, & Protection	Incident was reported to NC Health Care Registry of the Division of Health Services Regulations	James Graham	<b>Implementation Date:</b> 10/12/18  <b>Projected Completion Date:</b> 10/12/2018
Verbally abusing Staff # 2 Consumer #3 Pages 1-9	Staff #3 was suspended and retrained on Client Rights, Abuse & Neglect of consumers involving Client #3 & witnessed by Staff #2. All staff were trained in De-escalation, Crisis Response, Incident Documentation, and Abuse & Neglect of Clients.	Dr. Amelia Peebles, LPC	<b>Implementation Date:</b> 10/05/18  <b>Projected Completion Date:</b> 10/10/2018
Violation of GS 131E-256(G) HCPR Notification, Allegation, & Protection	Staff #4 was terminated Staff #1 was terminated	Malcom Leath	<b>Implementation Date:</b> 10/5/2018 10/20/2018  <b>Projected Completion Date:</b> 10/10/2018
GS 131E-256 Health Care Registry	QP reported incidents in IRIS and reported abuse to Alamance Department of Social Service and to NC Health Care Registry of the Division of Health Services Regulations	James Graham	<b>Projected Completion Date:</b> 10/10/2018 <b>Implementation Date:</b> 10/14/2018
Failed to ensure an allegation of abuse was reported to NC Health Care Registry of the Division of Health Services Regulations	QP reviewed incident report writing protocols and updated procedures. Management developed new Chain of Command.	L&J Homes Inc. Management Team	<b>Implementation Date:</b> 10/14/2018

within 5 days, affecting three clients: #1, #2, and #3

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**Attention: Kimberly Sauls**

<b>Provider Name:</b>	L&J Homes Inc. (Apple Street)	<b>Phone:</b>	(336) 227-8030
<b>Provider Contact Person for follow-up:</b>	James Graham	<b>Fax:</b>	(336) 227-3288
<b>Address:</b>	1355 N. Church St, Burlington NC 27217	<b>Email:</b>	Omegaman11179@gmail.com
		<b>Provider #</b>	(336) 227-8030
<b>Finding</b>	<b>Corrective Action Steps</b>	<b>Responsible Party</b>	<b>Time Line</b>
Staff #4 was asleep while on duty Staff #1 threatened all consumers Staff #3 smoking marijuana	L&J Homes Inc. requested Staff #1 to produce a drug test at the company's expense. Staff #1 did not comply; therefore, it was another reason for termination.	Administrative Team Decision	<b>Implementation Date:</b> 10/10/2018
GS 131E-256(G) HCPR Notification, Allegation, & Protection	Staff #1 was terminated for verbal abuse of all clients (#1, #2, & #3) and for not submitting to a urine screen when requested by L&J homes Inc in a timely manner.	Malcom Leath	<b>Projected Completion Date:</b> 10/15/2018
Health Care Registry Neglect/Abuse of resident. Verbally threatening affecting three of three clients (#1, #2, & #3).	QP will submit all allegations regardless of nature to IRIS and HCPR. QP reviewed incident with the Human Rights Committee to give updates.	QP/ James Graham	<b>Implementation Date:</b> 10/15/18
			<b>Projected Completion Date:</b> 10/20/2018
			<b>Projected Completion Date:</b> 10/20/2018

Staff #1 (a Direct Care Worker) was involved in an altercation where all three clients felt that his voice and facial expressions were threatening toward them	All staff attended training on Client Rights, Abuse & Neglect of Consumers, De-escalation, Crisis Response, and Incident Documentation	Ongoing
27.D.0101(a-e) Client Rights- Policy Rights	QP submitted incident to IRIS and submitted to NC Health Care Registry of the Division of Health Services Regulations and to Alamance Department of Social Service	<p>Dr. Amelia Peebles. LPC Implementation Date: 10/15/2018 Implementation Date:</p> <p>QP/ James Graham Implementation Date: 10/12/2018 Projected Completion Date: Ongoing</p>

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<b>Provider Name:</b> <b>Provider Contact</b> <b>Person for follow-up:</b>	<b>L&amp;J Homes Inc.</b> <b>James Graham</b>	<b>Phone:</b> (336) 227-8030 <b>Fax:</b> (336) 227-3288  <b>Email:</b> Omegaman1179@gmail.com <b>Provider #</b> (336) 227-8030
<b>Finding</b> All instances of alleged or suspected abuse, neglect, or exploitation of clients are reported to the Alamance County Department of Social Services	<b>Corrective Action Steps</b> QP reviewed the incident policy and updated the manual.	<b>Responsible Party</b> QP/ James Graham  <b>Time Line</b> Implementation Date: 10/12/2018 Projected Completion Date: 11/1/2018
	Administrative Actions: L&J Homes Inc. has put in place the following measures: Change in the Chain of Command  Clinical Dr. Peebles- Staff Supervision, Hiring House Management- Mack Leath Medical-Nicole Wade	Implementation Date: 11/1/2018 Projected Completion Date: Ongoing

	<p>QA/QI, Billing- Christie Leath Administrator Liaison- James Graham</p> <ul style="list-style-type: none"> <li>• Submission of all incidents and responsibility is to retain documentation for submission of all incidents</li> <li>• L&amp;J Homes Inc. will monitor all staff bi-weekly meetings.</li> </ul>	
	<p>Summary of Supervision: November 12, 2018 with L &amp; J Homes that included staff of L&amp; J Homes and Clinical Consultant, Amelia Peebles, Ph.D., LPC</p> <p>Supervision provided North Carolina rules on assessment, treatment and habilitation and how to incorporate client assessment in partnership with the client and or legally responsible person or both within thirty days of admission for clients who are expected to receive services beyond 30 days. The staff developed a treatment plan, assessed the treatment plan and implemented the treatment plan. The plan included outcomes, strategies, schedule for review of the plan by one-year and written consent by client and or responsible party.</p> <p>The training addressed de-escalation, crisis response, trauma-based therapy and crisis planning. During the supervision the staff reviewed treatment plans, implementation of treatment plans, appropriate dress and language with clients in group home.</p> <p>It was decided to continue the supervisions every month to ensure the plan of correction strategies and interventions are continued and implemented.</p> <p>Time line:</p> <ul style="list-style-type: none"> <li>• Supervision dates October,2018 (15,22) and November (3,10,12),2018- Topics: Protection from Harm, Abuse, Neglect or Exploitation and Assessment and Treatment/Habilitation or Service Plan, Implementation of Service Plan</li> <li>• Supervision Conducted by Amelia Peebles, PhD, LPC (Credentialed with National Board of Counselors, Forensic Evaluator, LCASA, Approved Clinical Supervisor)</li> <li>• Supervision and Retraining November,2018 and December 2018: Topics-Alternatives to restrictive interventions, or allowed restrictions, seclusions, physical restraint and isolation time out</li> <li>• Supervision of Mack Leath, James Graham, QP, monthly</li> </ul>	<p>Implementation Date:</p> <p>Projected Completion Date:</p>

			Implementation Date: 10/12/2018
			Projected Completion Date: Ongoing
			Implementation Date: