

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 11/12/2018
FORM APPROVED
OMB NO. 0938-0391

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 34G204	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED 11/08/2018
NAME OF PROVIDER OR SUPPLIER WILSON SMITH COTTAGE			STREET ADDRESS, CITY, STATE, ZIP CODE 185 MARTINDALE RD WINSTON SALEM, NC 27107		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETION DATE	
E 004	<p>Develop EP Plan, Review and Update Annually CFR(s): 483.475(a)</p> <p>[The [facility] must comply with all applicable Federal, State and local emergency preparedness requirements. The [facility] must develop establish and maintain a comprehensive emergency preparedness program that meets the requirements of this section.]</p> <p>* [For hospitals at §482.15 and CAHs at §485.625(a):] The [hospital or CAH] must comply with all applicable Federal, State, and local emergency preparedness requirements. The [hospital or CAH] must develop and maintain a comprehensive emergency preparedness program that meets the requirements of this section, utilizing an all-hazards approach.</p> <p>The emergency preparedness program must include, but not be limited to, the following elements:] (a) Emergency Plan. The [facility] must develop and maintain an emergency preparedness plan that must be [reviewed], and updated at least annually.</p> <p>* [For ESRD Facilities at §494.62(a):] Emergency Plan. The ESRD facility must develop and maintain an emergency preparedness plan that must be [evaluated], and updated at least annually.</p> <p>This STANDARD is not met as evidenced by: Based on interviews and review of records, the facility's administration failed to develop and maintain a comprehensive emergency preparedness plan (EPP). The finding is:</p> <p>The facility did not have a comprehensive EPP.</p>	E 004			

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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E 004	Continued From page 1 Review conducted on 11/7/18 of facility records revealed an undated disaster plan and included general information for emergency preparedness; however, the EPP did not include current policies and procedures regarding the emergency plan, risk assessment and the communication plan. Interview conducted on 11/7/18 with the QIDP revealed the group home's current EPP was developed well before she arrived on 3/2018 and she was unaware of the EPP mandatory regulatory requirements by CMS. Continued interview revealed she did not develop emergency policies and procedures based on a comprehensive emergency preparedness plan, communication plan, or conduct a risk assessment, using an all hazards approach. Subsequent interview on 11/8/18 with the facility administrator and the QIDP verified they will develop a comprehensive EPP to include the necessary components to be compliant to ensure the health and safety of clients.	E 004			
E 006	Plan Based on All Hazards Risk Assessment CFR(s): 483.475(a)(1)-(2) [(a) Emergency Plan. The [facility] must develop and maintain an emergency preparedness plan that must be reviewed, and updated at least annually. The plan must do the following:] (1) Be based on and include a documented, facility-based and community-based risk assessment, utilizing an all-hazards approach.* *[For LTC facilities at §483.73(a)(1):] (1) Be based on and include a documented, facility-based and community-based risk assessment, utilizing an all-hazards approach, including missing residents.	E 006			

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E 006	<p>Continued From page 2</p> <p>*[For ICF/IIDs at §483.475(a)(1):] (1) Be based on and include a documented, facility-based and community-based risk assessment, utilizing an all-hazards approach, including missing clients.</p> <p>(2) Include strategies for addressing emergency events identified by the risk assessment.</p> <p>* [For Hospices at §418.113(a)(2):] (2) Include strategies for addressing emergency events identified by the risk assessment, including the management of the consequences of power failures, natural disasters, and other emergencies that would affect the hospice's ability to provide care.</p> <p>This STANDARD is not met as evidenced by: Based on record review and interviews, the facility failed to develop and maintain an emergency preparedness plan (EPP) to include a risk assessment based on an all-hazards approach and failed to develop specific facility-based strategies and specific client information. The finding is:</p> <p>The facility did not have an updated comprehensive EPP.</p> <p>Review conducted on 11/7/18 of facility documentation revealed an undated disaster plan and included general information for EPP; however, no information specific to clients residing in the group home or information relative to the geographic location of the group home was included.</p> <p>Interviews conducted on 11/7/18 with the qualified intellectual disabilities professional and an interview conducted on 11/8/18 with the facility</p>	E 006			

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E 006	Continued From page 3 administrator substantiated the facility's current EPP had not been updated since last year or so and specific information was not included regarding the geographic location of the home or client-specific information that would enable persons unfamiliar with the clients to provide the needed assistance.	E 006			
E 013	Development of EP Policies and Procedures CFR(s): 483.475(b) (b) Policies and procedures. [Facilities] must develop and implement emergency preparedness policies and procedures, based on the emergency plan set forth in paragraph (a) of this section, risk assessment at paragraph (a)(1) of this section, and the communication plan at paragraph (c) of this section. The policies and procedures must be reviewed and updated at least annually. *Additional Requirements for PACE and ESRD Facilities: *[For PACE at §460.84(b):] Policies and procedures. The PACE organization must develop and implement emergency preparedness policies and procedures, based on the emergency plan set forth in paragraph (a) of this section, risk assessment at paragraph (a)(1) of this section, and the communication plan at paragraph (c) of this section. The policies and procedures must address management of medical and nonmedical emergencies, including, but not limited to: Fire; equipment, power, or water failure; care-related emergencies; and natural disasters likely to threaten the health or safety of the participants, staff, or the public. The policies and procedures must be reviewed and updated at least annually.	E 013			

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E 013	<p>Continued From page 4</p> <p>*[For ESRD Facilities at §494.62(b):] Policies and procedures. The dialysis facility must develop and implement emergency preparedness policies and procedures, based on the emergency plan set forth in paragraph (a) of this section, risk assessment at paragraph (a)(1) of this section, and the communication plan at paragraph (c) of this section. The policies and procedures must be reviewed and updated at least annually. These emergencies include, but are not limited to, fire, equipment or power failures, care-related emergencies, water supply interruption, and natural disasters likely to occur in the facility's geographic area.</p> <p>This STANDARD is not met as evidenced by: Based on review of facility documents and staff interviews, the facility failed to ensure policies and procedures were developed and updated based on the facility's emergency preparedness plan (EPP). The finding is:</p> <p>The facility did not have a comprehensive EPP relative policies and procedures.</p> <p>Review conducted on 11/7/18 of facility records revealed an undated disaster plan and included general information for emergency preparedness; however, the EPP did not include current policies and procedures regarding the emergency plan, risk assessment and the communication plan.</p> <p>Interviews conducted on 11/7/18 with the qualified intellectual disabilities professional and the facility administrator on 11/8/18 verified the EPP did not include current policies and procedures regarding the the facility's emergency response plan.</p>	E 013			
E 029	<p>Development of Communication Plan CFR(s): 483.475(c)</p>	E 029			

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E 029	Continued From page 5 (c) The [facility] must develop and maintain an emergency preparedness communication plan that complies with Federal, State and local laws and must be reviewed and updated at least annually. This STANDARD is not met as evidenced by: Based on review of facility documents and staff interviews, the facility failed to develop specific policies and procedures to address emergency preparedness including a specific communication plan that complies with federal, state and local laws and is updated at least annually. The finding is: The facility did not have a comprehensive EPP relative to a communication plan. Review conducted on 11/7/18 of the facility records revealed an undated disaster plan and included general information for emergency preparedness; however, the emergency preparedness plan (EPP) did not include current policies and procedures regarding communication means (primary or alternate) during any emergency/disaster situation. Interviews conducted with the qualified intellectual disabilities professional and administrator verified the current EPP has not been updated since last year or so and does not contain current information pertaining to primary or alternate means of communication during an emergency/disaster situation.	E 029			
E 036	EP Training and Testing CFR(s): 483.475(d) (d) Training and testing. The [facility] must	E 036			

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E 036	<p>Continued From page 6</p> <p>develop and maintain an emergency preparedness training and testing program that is based on the emergency plan set forth in paragraph (a) of this section, risk assessment at paragraph (a)(1) of this section, policies and procedures at paragraph (b) of this section, and the communication plan at paragraph (c) of this section. The training and testing program must be reviewed and updated at least annually.</p> <p>*[For ICF/IIDs at §483.475(d):] Training and testing. The ICF/IID must develop and maintain an emergency preparedness training and testing program that is based on the emergency plan set forth in paragraph (a) of this section, risk assessment at paragraph (a)(1) of this section, policies and procedures at paragraph (b) of this section, and the communication plan at paragraph (c) of this section. The training and testing program must be reviewed and updated at least annually. The ICF/IID must meet the requirements for evacuation drills and training at §483.470(h).</p> <p>*[For ESRD Facilities at §494.62(d):] Training, testing, and orientation. The dialysis facility must develop and maintain an emergency preparedness training, testing and patient orientation program that is based on the emergency plan set forth in paragraph (a) of this section, risk assessment at paragraph (a)(1) of this section, policies and procedures at paragraph (b) of this section, and the communication plan at paragraph (c) of this section. The training, testing and orientation program must be reviewed and updated at least annually.</p> <p>This STANDARD is not met as evidenced by: Based on review of facility documents and staff interviews, the facility failed to ensure</p>	E 036			

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E 036	<p>Continued From page 7</p> <p>facility/community-based or tabletop exercises to test the current emergency preparedness plan (EPP) were conducted. The finding is:</p> <p>The facility did not have documented annual tabletop and full-scale EPP exercises.</p> <p>Review conducted on 11/7/18 of facility records revealed an undated disaster plan and included general information for emergency preparedness; however, the EPP plan did not include a full-scale community-based or individual facility-based exercise or tabletop exercise to test their emergency plan. No written documentation of facility training and testing exercises for the EPP was found or provided.</p> <p>Interviews conducted 11/7/18 with the qualified intellectual disabilities professional and the facility administrator on 11/8/18 verified the facility had not conducted a full-scale facility/community-based exercise or a tabletop exercise to test the effectiveness of their current emergency plan.</p>	E 036			