

Community Alternative Housing, Inc.
PO Box 87195
Fayetteville, NC 28304

Re: Annual and Follow up Survey completed June 27, 2018
Community Alternative Housing, Inc., 1410 Seabiscuit Drive, Parkton, NC 28371
MHL# 026-960
E-mail Address: nick@cahinc.net
catherineO@cahinc.net

Dear Mr. Nichols,

Thank you for the cooperation and courtesy extended during the annual and follow up survey completed June 27, 2018.

As a result of the follow up survey, it was determined that all of the deficiencies are now in compliance, which is reflected on the enclosed Revisit Report. Additional deficiencies were cited during the survey.

Enclosed you will find all deficiencies cited listed on the Statement of Deficiencies Form. The purpose of the Statement of Deficiencies is to provide you with specific details of the practice that does not comply with state regulations. You must develop one Plan of Correction that addresses each deficiency listed on the State Form, and return it to our office within ten days of receipt of this letter. Below you will find details of the type of deficiencies found, the time frames for compliance plus what to include in the Plan of Correction.

Type of Deficiencies Found

- Indicate **now often** the monitoring will take place.
- Sign and date the bottom of the first page of the State Form.

Make a copy of the Statement of Deficiencies with the Plan of Correction to retain for your records. ***Please do not include confidential information in your plan of correction and please remember never to send confidential information (protected health information) via email.***

Send the original completed form to our office at the following address within 10 days of receipt of this letter.

Mental Health Licensure and Certification Section
NC Division of Health Service Regulation
2718 Mail Service Center
Raleigh, NC 27699-2718

A follow up visit will be conducted to verify all violations have been corrected. If we can be of further assistance, please call Wendy Boone at 252-568-2744.

Sincerely,



Betty Godwin, RN, MSN
Nurse Consultant
Mental Health Licensure & Certification Section

cc: Rob Robinson, Director, Alliance Behavioral Health LME/MCO

ID Prefix <u>V0289</u> Correction	ID Prefix _____ Correction	ID Prefix _____ Correction
Reg. # <u>27G .5601</u> Completed	Reg. # _____ Completed	Reg. # _____ Completed
LSC _____ 06/27/2018	LSC _____	LSC _____
ID Prefix _____ Correction	ID Prefix _____ Correction	ID Prefix _____ Correction
Reg. # _____ Completed	Reg. # _____ Completed	Reg. # _____ Completed
LSC _____	LSC _____	LSC _____
ID Prefix _____ Correction	ID Prefix _____ Correction	ID Prefix _____ Correction
Reg. # _____ Completed	Reg. # _____ Completed	Reg. # _____ Completed
LSC _____	LSC _____	LSC _____
ID Prefix _____ Correction	ID Prefix _____ Correction	ID Prefix _____ Correction
Reg. # _____ Completed	Reg. # _____ Completed	Reg. # _____ Completed
LSC _____	LSC _____	LSC _____
ID Prefix _____ Correction	ID Prefix _____ Correction	ID Prefix _____ Correction
Reg. # _____ Completed	Reg. # _____ Completed	Reg. # _____ Completed
LSC _____	LSC _____	LSC _____
ID Prefix _____ Correction	ID Prefix _____ Correction	ID Prefix _____ Correction
Reg. # _____ Completed	Reg. # _____ Completed	Reg. # _____ Completed
LSC _____	LSC _____	LSC _____

An annual and follow up survey was completed on June 27, 2018. Deficiencies were cited.

This facility is licensed for the following service category: 10A NCAC 27G. 5600F Supervised Living/Alternative Family Living.

V 118 27G .0209 (C) Medication Requirements

10A NCAC 27G .0209 MEDICATION REQUIREMENTS

(c) Medication administration:

(1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs.

(2) Medications shall be self-administered by clients only when authorized in writing by the client's physician.

(3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications.

(4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be recorded immediately after administration. The MAR is to include the following:

(A) client's name;

(B) name, strength, and quantity of the drug;

(C) instructions for administering the drug;

V 118

The way that she completes her monitoring.

The agency will get ROI's completed that will allow the agency nurse to have access to the patient's pharmacy and physician and access to electronic scripts. The error occurred because she did not have access to e-scripts. The nurse will reconcile the MAR with physician orders, scripts and e-scripts. The agency nurse failed to reconcile e-scripts with MAR. The agency will monitor the AFL monthly to ensure that medication administration is completed in accordance to NCAC rules and regulations. The agency will perform an in-service training.

7-27-18

This Rule is not met as evidenced by:
Based on record review and interview, the facility failed to administer medications on the written order of a person authorized by law to prescribe medications, and maintain an accurate MAR for 1 of 1 clients audited (client #1).

Review on 6/21/18 and 6/26/18 of client #1's record revealed:

- 13 year old female admitted 12/11/17.
- Diagnoses included Moderate Mental Retardation, Downs Syndrome, Asthma, Bronchitis
- Order dated 11/28/17 for Focalin XR (extended release) 15 mg (milligrams) every morning. (Attention Deficit Hyperactive Disorder)
- No orders documented in client record for the following:
 - Singulair 10 mg in the evening (Asthma maintenance)
 - Focalin XR (extended release) 10 mg (milligrams) every morning (Attention Deficit Hyperactive Disorder)
 - Albuterol 2.5 mg/3ml (milliliters) 0.083%, 3 mls times daily as needed (rescue inhaler)
 - No order documented to discontinue the Albuterol.

Pursuant to V118 which addresses medication requirements.

The agency failed to adhere to rule 27.G.0209(c) medication requirements, specifically. The agency failed to reconcile electronic scripts with MAR and prescribing physician. The agency will take the following steps to ensure that the agency keeps and maintains compliance as it relates to rule 27G.0209 medication requirements. The agency will monitor home monthly to ensure the medication is administered correctly and in accordance with NCAC rules and regulations.

On medication administration.

The curricula for the training will focus on the proper way to update the MAR post physician's visit, additionally, it will address the proper way to discontinue use of medication. The agency will also have all AFL employees to complete a scheduled and unscheduled doctor visitation form to ensure that the agency has the most recent information available for review. The agency will make sure that systems are in place on or before 27JUL18.

7-27-18

7-27-18

-Focalin XR 15 mg (milligrams) documented every morning at 7 am
-Albuterol 2.5 mg/3ml 0.083%, 3 mls times daily as needed transcribed to the MARs. None documented as administered. On the May and June MARs a note was written, the medication had been discontinued 5/3/18.

Interview on 6/21/18 the AFL (Alternative Family Living) Parent stated client #1's parent/guardian informed her the Albuterol had been discontinued in May because the client had not used, and no longer needed the medication.

Interview on 6/27/18 the Qualified Professional stated:

-The client #1's mother would take the client physician appointments; prescriptions were not given to the facility.

-Client #1's physicians did not send any documentation to the facility of office visits or orders.

-The QP and client #1's mother went to the pharmacy and got copies of the e-scripts 6/27/18 for Trazodone and Focalin.

-She was not aware of an order change for Focalin.

Due to the failure to accurately document medication administration it could not be determined if clients received their medications as ordered by the physician.

health care facility or service, every employer at a health care facility shall access the Health Care Personnel Registry and shall note each incident of access in the appropriate business files.

This Rule is not met as evidenced by:
Based on record reviews and interview, the facility failed to access the Health Care Personal Registry (HCPR) prior to hiring 1 of 3 staff audited (Staff #2). The findings are:

Review on 6/27/18 of Staff # 2's personnel record revealed:

- Date of Hire was 5/22/18.
- Position/Title was Paraprofessional.
- HCPR check dated 3/21/17.

Interview on 6/27/18 the Qualified Professional stated:

- Staff #2 had been a prior staff and was re-hired 5/22/18
- Human Resources told her HCPR was re-checked annually.
- The HCPR had not been re-checked prior to the hire 5/22/18.

did not complete a background check on the employee as the employee was a previous employee and her file was current. The agency will change it's policy to run initial background and health care registry on all returning employee's regardless of whey the left or returned to the agency. The agency will now run HCR check and criminal background checks on all former employees regardless of the time frame of when the employee left and returned to the agency. The agency has completed a health care registry and criminal background check on all former employees prior to employment/ rehire. The correction will take place on or before 02JUL18. See attach criminal background check (HCR check was provided during site visit).

developmental disability, and substance abuse services that is licensable under Article 2 of this Chapter.

(b) Requirement. - An offer of employment by a provider licensed under this Chapter to an applicant to fill a position that does not require the applicant to have an occupational license is conditioned on consent to a State and national criminal history record check of the applicant. If the applicant has been a resident of this State for less than five years, then the offer of employment is conditioned on consent to a State and national criminal history record check of the applicant. The national criminal history record check shall include a check of the applicant's fingerprints. If the applicant has been a resident of this State for five years or more, then the offer is conditioned on consent to a State criminal history record check of the applicant. A provider shall not employ an applicant who refuses to consent to a criminal history record check required by this section. Except as otherwise provided in this subsection, within five business days of making the conditional offer of employment, a provider shall submit a request to the Department of Justice under G.S. 114-19.10 to conduct a criminal history record check required by this section or shall submit a request to a private entity to conduct a State criminal history record check required by this section. Notwithstanding G.S. 114-19.10, the Department of Justice shall return the results of national criminal history record checks for employment positions not

Unit, shall notify the provider as to whether the information received may affect the employability of the applicant. In no case shall the results of the national criminal history record check be shared with the provider. Providers shall make available upon request verification that a criminal history check has been completed on any staff covered by this section. A county that has adopted an appropriate local ordinance and has access to the Division of Criminal Information data bank may conduct on behalf of a provider a State criminal history record check required by this section without the provider having to submit a request to the Department of Justice. In such a case, the county shall commence with the State criminal history record check required by this section within five business days of the conditional offer of employment by the provider. All criminal history information received by the provider is confidential and may not be disclosed, except to the applicant as provided in subsection (c) of this section. For purposes of this subsection, the term "private entity" means a business regularly engaged in conducting criminal history record checks utilizing public records obtained from a State agency.

(c) Action. - If an applicant's criminal history record check reveals one or more convictions of a relevant offense, the provider shall consider all of the following factors in determining whether to hire the applicant:

- (1) The level and seriousness of the crime.
- (2) The date of the crime.

filled.

(6) The prison, jail, probation, parole, rehabilitation, and employment records of the person since the date the crime was committed.

(7) The subsequent commission by the person of a relevant offense.

The fact of conviction of a relevant offense alone shall not be a bar to employment; however, the listed factors shall be considered by the provider. If the provider disqualifies an applicant after consideration of the relevant factors, then the provider may disclose information contained in the criminal history record check that is relevant to the disqualification, but may not provide a copy of the criminal history record check to the applicant.

(d) Limited Immunity. - A provider and an officer or employee of a provider that, in good faith, complies with this section shall be immune from civil liability for:

(1) The failure of the provider to employ an individual on the basis of information provided in the criminal history record check of the individual.

(2) Failure to check an employee's history of criminal offenses if the employee's criminal history record check is requested and received in compliance with this section.

(e) Relevant Offense. - As used in this section, "relevant offense" means a county, state, or federal criminal history of conviction or pending indictment of a crime, whether a misdemeanor or felony, that bears upon an individual's fitness to have responsibility for the safety and well-being of

Endangering Executive and Legislative Officers; Article 6, Homicide; Article 7A, Rape and Other Sex Offenses; Article 8, Assaults; Article 10, Kidnapping and Abduction; Article 13, Malicious Injury or Damage by Use of Explosive or Incendiary Device or Material; Article 14, Burglary and Other Housebreakings; Article 15, Arson and Other Burnings; Article 16, Larceny; Article 17, Robbery; Article 18, Embezzlement; Article 19, False Pretenses and Cheats; Article 19A, Obtaining Property or Services by False or Fraudulent Use of Credit Device or Other Means; Article 19B, Financial Transaction Card Crime Act; Article 20, Frauds; Article 21, Forgery; Article 26, Offenses Against Public Morality and Decency; Article 26A, Adult Establishments; Article 27, Prostitution; Article 28, Perjury; Article 29, Bribery; Article 31, Misconduct in Public Office; Article 35, Offenses Against the Public Peace; Article 36A, Riots and Civil Disorders; Article 39, Protection of Minors; Article 40, Protection of the Family; Article 59, Public Intoxication; and Article 60, Computer-Related Crime. These crimes also include possession or sale of drugs in violation of the North Carolina Controlled Substances Act, Article 5 of Chapter 90 of the General Statutes, and alcohol-related offenses such as sale to underage persons in violation of G.S. 18B-302 or driving while impaired in violation of G.S. 20-138.1 through G.S. 20-138.5.

(f) Penalty for Furnishing False Information. - Any applicant for employment who willfully furnishes,

obtaining the results of a criminal history record check regarding the applicant if both of the following requirements are met:

- (1) The provider shall not employ an applicant prior to obtaining the applicant's consent for criminal history record check as required in subsection (b) of this section or the completed fingerprint cards as required in G.S. 114-19.10.
- (2) The provider shall submit the request for a criminal history record check not later than five business days after the individual begins conditional employment. (2000-154, s. 4; 2001-155, s. 1; 2004-124, ss. 10.19D(c), (h); 2005-4, ss. 1, 2, 3, 4, 5(a); 2007-444, s. 3.)

This Rule is not met as evidenced by:
Based on record reviews and interviews the facility failed to complete criminal history record checks within five days of conditional offer of employment for 2 of 3 staff audited (Alternative Family Living (AFL) Parent, Staff #2). The findings are:

Review on 6/27/18 of AFL Parent's personnel record revealed:

- Hire date was 12/11/17.
- The criminal history record check on file was dated 9/23/15.

Interview on 6/21/18 the AFL Parent stated Staff #2 lived in the home and provided backup staffing for client #1.

Interview on 6/27/18 the Qualified Professional stated:

-Staff #1 and Staff #2 were both former staff who had been re-hired.

-The criminal background checks had not been repeated at the time staff for re-hired.

-She spoke with Human Resources and criminal background checks were done every 3 years so these were not repeated for Staff #1 and #2.

PHYSICIAN'S SIGNATURE

DATE

STAFF SIGNATURE

DATE

IDENTIFICATION

APPLICANT'S LAST NAME/FIRST/MIDDLE: [REDACTED]

DATE OF BIRTH: [REDACTED]

SSN: [REDACTED]

ALIAS NAMES (AKA'S):
None Reported

SUMMARY

REPORT TYPE
National Criminal

DESCRIPTION
Complete



NATIONAL CRIMINAL

NAME SEARCHED: [REDACTED]

SEARCH TYPE: National Criminal

SUBMITTED: 6/28/2018 11:11 AM

RETURNED: 6/28/2018 11:19 AM

No Records Found

DISCLAIMER: Information contained in the National Criminal report contains information derived from a database that contains criminal data from nationwide sources, including but not limited to departments of law enforcement, department of corrections, department of Justice, public safety, sheriff's departments, court records, state attorney general offices and state police. The information contained herein may not be 100% accurate or complete. Users of this database search should consult the FRCA and other state and federal laws when using the information contained herein. This database is not all encompassing and it is recommended that users of this database use the results in addition to or as ancillary search to a county level criminal search.