

Division of Health Service Regulation

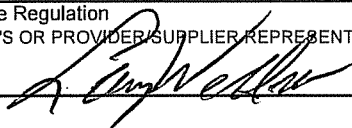
STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL098-109	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED R 05/15/2018
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NAME OF PROVIDER OR SUPPLIER WELLMAN CENTER 4	STREET ADDRESS, CITY, STATE, ZIP CODE 406 W. GARNER ST. WILSON, NC 27893
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(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
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V 000	INITIAL COMMENTS	V 000		
V 114	<p>An annual and follow up survey was completed on May 15, 2018. Deficiencies were cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G .5600A, Supervised Living for Adults with Mental Illness.</p> <p>27G .0207 Emergency Plans and Supplies</p> <p>10A NCAC 27G .0207 EMERGENCY PLANS AND SUPPLIES</p> <p>(a) A written fire plan for each facility and area-wide disaster plan shall be developed and shall be approved by the appropriate local authority.</p> <p>(b) The plan shall be made available to all staff and evacuation procedures and routes shall be posted in the facility.</p> <p>(c) Fire and disaster drills in a 24-hour facility shall be held at least quarterly and shall be repeated for each shift. Drills shall be conducted under conditions that simulate fire emergencies.</p> <p>(d) Each facility shall have basic first aid supplies accessible for use.</p> <p>This Rule is not met as evidenced by: Based on record review and interview the facility failed to ensure fire and disaster drills were held quarterly and repeated on each shift. The findings are:</p> <p>Review on 5/15/18 of the facility's fire and disaster drill documentation revealed: - No fire drill documented for 7:00 am - 7:00 pm shift October - December 2017. - No fire drill documented for 7:00 pm - 7:00 am shift January - March 2018.</p>	V 114	<p>DHSR - Mental Health</p> <p>JUN 01 2018</p> <p>Lic. & Cert. Section</p> <p><i>A calendar of scheduled fire and disaster drills are kept in the office. Fire Drills will continue to be done monthly, one per shift. Disaster Drills will also be done monthly one on each shift until staff and clients become familiar with the new procedure</i></p>	5/27/18

Division of Health Service Regulation
LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE



TITLE

Director

(X6) DATE

5/27/18

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	<p>- No disaster drill documented for 7:00 pm - 7:00 am shift October - December 2017.</p> <p>- No disaster drill documented for 7:00 pm - 7:00 am shift July - September 2017.</p> <p>- No disaster drill documented for 7:00 am - 7:00 pm shift January - March 2018.</p> <p>Interview on 5/15/18, the Director/Qualified Professional stated:</p> <ul style="list-style-type: none"> - The facility operated with 2 twelve hour shifts, 7:00 am - 7:00 pm and 7:00 pm - 7:00 am. - He was not aware of the requirement to hold disaster drills quarterly and across all shifts. <p>This deficiency constitutes a re-cited deficiency and must be corrected within 30 days.</p>	V 114		
V 131	<p>G.S. 131E-256 (D2) HCPR - Prior Employment Verification</p> <p>G.S. §131E-256 HEALTH CARE PERSONNEL REGISTRY (d2) Before hiring health care personnel into a health care facility or service, every employer at a health care facility shall access the Health Care Personnel Registry and shall note each incident of access in the appropriate business files.</p> <p>This Rule is not met as evidenced by: Based on record review and interview, the facility failed to complete Health Care Personnel Registry (HCPR) checks prior to hire for one of three audited staff (#2). The findings are:</p>	V 131	<p><i>To address the issue that led to this deficiency the facility will increase its use of the data base to track all personnel trainings CPR, NCI to include statewide criminal record checks, or national criminal record checks if necessary. The QP and Office Manager will review and audit all personnel files on a quarterly bases to ensure continuous compliance.</i></p>	5/21/18

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V 131	Continued From page 2	V 131		
V 133	<p>Review on 5/15/18 of Staff #2's personnel record revealed:</p> <ul style="list-style-type: none"> - Title of Residential Support Staff, hire date 3/01/18. - Health Care Personnel Registry check dated 5/15/18. <p>Interview on 5/15/18 with the Director/Qualified Professional revealed:</p> <ul style="list-style-type: none"> - Staff #2 had recently been hired and she was "shadowing" him. - Staff #2 had not been working independently. <p>G.S. 122C-80 Criminal History Record Check</p> <p>G.S. §122C-80 CRIMINAL HISTORY RECORD CHECK REQUIRED FOR CERTAIN APPLICANTS FOR EMPLOYMENT.</p> <p>(a) Definition. - As used in this section, the term "provider" applies to an area authority/county program and any provider of mental health, developmental disability, and substance abuse services that is licensable under Article 2 of this Chapter.</p> <p>(b) Requirement. - An offer of employment by a provider licensed under this Chapter to an applicant to fill a position that does not require the applicant to have an occupational license is conditioned on consent to a State and national criminal history record check of the applicant. If the applicant has been a resident of this State for less than five years, then the offer of employment is conditioned on consent to a State and national criminal history record check of the applicant. The national criminal history record check shall include a check of the applicant's fingerprints. If the applicant has been a resident of this State for five years or more, then the offer is conditioned on consent to a State criminal history record</p>	V 133		

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	<p>check of the applicant. A provider shall not employ an applicant who refuses to consent to a criminal history record check required by this section. Except as otherwise provided in this subsection, within five business days of making the conditional offer of employment, a provider shall submit a request to the Department of Justice under G.S. 114-19.10 to conduct a criminal history record check required by this section or shall submit a request to a private entity to conduct a State criminal history record check required by this section. Notwithstanding G.S. 114-19.10, the Department of Justice shall return the results of national criminal history record checks for employment positions not covered by Public Law 105-277 to the Department of Health and Human Services, Criminal Records Check Unit. Within five business days of receipt of the national criminal history of the person, the Department of Health and Human Services, Criminal Records Check Unit, shall notify the provider as to whether the information received may affect the employability of the applicant. In no case shall the results of the national criminal history record check be shared with the provider. Providers shall make available upon request verification that a criminal history check has been completed on any staff covered by this section. A county that has adopted an appropriate local ordinance and has access to the Division of Criminal Information data bank may conduct on behalf of a provider a State criminal history record check required by this section without the provider having to submit a request to the Department of Justice. In such a case, the county shall commence with the State criminal history record check required by this section within five business days of the conditional offer of employment by the provider.</p>			
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V 133

All criminal history information received by the provider is confidential and may not be disclosed, except to the applicant as provided in subsection (c) of this section. For purposes of this subsection, the term "private entity" means a business regularly engaged in conducting criminal history record checks utilizing public records obtained from a State agency.

(c) Action. - If an applicant's criminal history record check reveals one or more convictions of a relevant offense, the provider shall consider all of the following factors in determining whether to hire the applicant:

- (1) The level and seriousness of the crime.
- (2) The date of the crime.
- (3) The age of the person at the time of the conviction.
- (4) The circumstances surrounding the commission of the crime, if known.
- (5) The nexus between the criminal conduct of the person and the job duties of the position to be filled.
- (6) The prison, jail, probation, parole, rehabilitation, and employment records of the person since the date the crime was committed.
- (7) The subsequent commission by the person of a relevant offense.

The fact of conviction of a relevant offense alone shall not be a bar to employment; however, the listed factors shall be considered by the provider. If the provider disqualifies an applicant after consideration of the relevant factors, then the provider may disclose information contained in the criminal history record check that is relevant to the disqualification, but may not provide a copy of the criminal history record check to the applicant.

(d) Limited Immunity. - A provider and an officer or employee of a provider that, in good faith,

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V 133	Continued From page 5	V 133		
	<p>complies with this section shall be immune from civil liability for:</p> <p>(1) The failure of the provider to employ an individual on the basis of information provided in the criminal history record check of the individual.</p> <p>(2) Failure to check an employee's history of criminal offenses if the employee's criminal history record check is requested and received in compliance with this section.</p> <p>(e) Relevant Offense. - As used in this section, "relevant offense" means a county, state, or federal criminal history of conviction or pending indictment of a crime, whether a misdemeanor or felony, that bears upon an individual's fitness to have responsibility for the safety and well-being of persons needing mental health, developmental disabilities, or substance abuse services. These crimes include the criminal offenses set forth in any of the following Articles of Chapter 14 of the General Statutes: Article 5, Counterfeiting and Issuing Monetary Substitutes; Article 5A, Endangering Executive and Legislative Officers; Article 6, Homicide; Article 7A, Rape and Other Sex Offenses; Article 8, Assaults; Article 10, Kidnapping and Abduction; Article 13, Malicious Injury or Damage by Use of Explosive or Incendiary Device or Material; Article 14, Burglary and Other Housebreakings; Article 15, Arson and Other Burnings; Article 16, Larceny; Article 17, Robbery; Article 18, Embezzlement; Article 19, False Pretenses and Cheats; Article 19A, Obtaining Property or Services by False or Fraudulent Use of Credit Device or Other Means; Article 19B, Financial Transaction Card Crime Act; Article 20, Frauds; Article 21, Forgery; Article 26, Offenses Against Public Morality and Decency; Article 26A, Adult Establishments; Article 27, Prostitution; Article 28, Perjury; Article 29, Bribery; Article 31, Misconduct in Public</p>			

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	<p>Office; Article 35, Offenses Against the Public Peace; Article 36A, Riots and Civil Disorders; Article 39, Protection of Minors; Article 40, Protection of the Family; Article 59, Public Intoxication; and Article 60, Computer-Related Crime. These crimes also include possession or sale of drugs in violation of the North Carolina Controlled Substances Act, Article 5 of Chapter 90 of the General Statutes, and alcohol-related offenses such as sale to underage persons in violation of G.S. 18B-302 or driving while impaired in violation of G.S. 20-138.1 through G.S. 20-138.5.</p> <p>(f) Penalty for Furnishing False Information. - Any applicant for employment who willfully furnishes, supplies, or otherwise gives false information on an employment application that is the basis for a criminal history record check under this section shall be guilty of a Class A1 misdemeanor.</p> <p>(g) Conditional Employment. - A provider may employ an applicant conditionally prior to obtaining the results of a criminal history record check regarding the applicant if both of the following requirements are met:</p> <p>(1) The provider shall not employ an applicant prior to obtaining the applicant's consent for criminal history record check as required in subsection (b) of this section or the completed fingerprint cards as required in G.S. 114-19.10.</p> <p>(2) The provider shall submit the request for a criminal history record check not later than five business days after the individual begins conditional employment. (2000-154, s. 4; 2001-155, s. 1; 2004-124, ss. 10.19D(c), (h); 2005-4, ss. 1, 2, 3, 4, 5(a); 2007-444, s. 3.)</p>			

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V 133	Continued From page 7	V 133		
	<p>This Rule is not met as evidenced by: Based on record review and interview, the facility failed to request state criminal background checks within five business days of employment for one of three audited staff (#2). The findings are:</p> <p>Review on 5/15/18 of Staff #2's personnel record revealed: - Title of Residential Support Staff, hire date 3/01/18. - Health Care Personnel Registry check dated 5/15/18.</p> <p>Interview on 5/15/18 with the Director/Qualified Professional revealed: - Staff #2 had recently been hired and she was "shadowing" him. - Staff #2 had not been working independently. - He did not realize a criminal background check was required within 5 business days of a conditional offer of employment.</p> <p>This deficiency constitutes a re-cited deficiency and must be corrected within 30 days.</p>		<p>To correct the immediately problem no staff members will be allowed to work until all work requirements have been met. The QP and Office Mgr. will review and audit all personnel records of any new hires.</p>	5/15/18
V 736	<p>27G .0303(c) Facility and Grounds Maintenance</p> <p>10A NCAC 27G .0303 LOCATION AND EXTERIOR REQUIREMENTS (c) Each facility and its grounds shall be maintained in a safe, clean, attractive and orderly manner and shall be kept free from offensive odor.</p> <p>This Rule is not met as evidenced by:</p>	V 736		

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V 736	Continued From page 8	V 736		
	<p>Based on observation and interview the facility failed to maintain the facility in a clean, attractive, safe manner, free from offensive odors. The findings are:</p> <p>Observation on 5/15/18 at approximately 10:30 am revealed:</p> <ul style="list-style-type: none"> - Sour odor throughout the facility. - The slats in the louvered closet doors in Client #1's bedroom were visibly dusty. - Particles that appeared to be dead insects in the ceiling light fixture in Client #1's bedroom. - A strong, pungent odor in Client #2's bedroom. - The slats in the louvered closet doors in Client #2's bedroom were visibly dusty. - A hole in Client #3's bedroom door. - The smoke detector in Client #3's bedroom was chirping at regular intervals indicating the batteries needed to be replaced for the unit to function properly. - Slats in the louvered closet door in the hallway were visibly dusty. <p>Interview on 5/15/18 the Director/Qualified Professional stated "Yeah" when the above issues were discussed. He stated the batteries in the smoke detector had been replaced immediately following the facility tour.</p> <p>This deficiency constitutes a re-cited deficiency and must be corrected within 30 days.</p>		<p>The facility does contend that it has always met the intentions of this rule. The facility has maintained a A sanitation grade. The crack in bedroom door has been patched, carpets has been cleaned, and closet doors dusted</p> <p>New battery installed in smoke detector. QP will monitor for beeping if continues, A new detector will be installed</p>	<p>5-21-18</p> <p>5-15-18</p> <p>5-21-18</p>