

Division of Health Service Regulation

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| STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION | (X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: MHL023-203 | (X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____ | (X3) DATE SURVEY COMPLETED R 05/01/2018 |
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| NAME OF PROVIDER OR SUPPLIER ANN'S HOUSE | STREET ADDRESS, CITY, STATE, ZIP CODE 423 MILSAP ROAD SHELBY, NC 28150 |
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| V 000 | <p>INITIAL COMMENTS</p> <p>An annual and follow up survey was completed on May 1, 2018. Deficiencies were cited.</p> <p>This facility is licensed for the following service category: 10A NCAC 27G .1300 Residential Treatment for Children or Adolescents.</p> | V 000 | | |
| V 114 | <p>27G .0207 Emergency Plans and Supplies</p> <p>10A NCAC 27G .0207 EMERGENCY PLANS AND SUPPLIES</p> <p>(a) A written fire plan for each facility and area-wide disaster plan shall be developed and shall be approved by the appropriate local authority.</p> <p>(b) The plan shall be made available to all staff and evacuation procedures and routes shall be posted in the facility.</p> <p>(c) Fire and disaster drills in a 24-hour facility shall be held at least quarterly and shall be repeated for each shift. Drills shall be conducted under conditions that simulate fire emergencies.</p> <p>(d) Each facility shall have basic first aid supplies accessible for use.</p> <p>This Rule is not met as evidenced by: Based on record review and interviews, the facility failed to hold fire drills on each shift at least quarterly. The findings are:</p> <p>Interview on 4/30/18 with the Qualified Professional (QP) revealed the facility operated the following shifts: -1st shift: 8:30AM to 3:00PM -2nd shift: 3:00PM to 9:00PM -3rd shift: 9:00PM to 8:00AM</p> | V 114 | | |

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| Division of Health Service Regulation LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE | TITLE | (X6) DATE |
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| V 114 | <p>Continued From page 1</p> <p>Review on 5/1/18 of the facility's fire drill logs revealed no documentation of fire drills having been conducted during the following shifts and quarters:</p> <ul style="list-style-type: none"> -1st Quarter 2018 (January, February and March): No 2nd or 3rd shift drill -4th Quarter 2017 (October, November and December): No 1st shift drill -3rd Quarter 2017 (July, August and September): No 1st or 3rd shift drill -2nd Quarter 2017 (April, May and June): 1st or 3rd shift drill -1st Quarter 2017 (January, February and March): No 2nd shift drill <p>Interview on 4/30/18 with Client #1 revealed:</p> <ul style="list-style-type: none"> -Fire drills had been conducted "a couple of times;" -The clients went across the street to evacuate. <p>Interview on 4/30/18 with Client #2 revealed:</p> <ul style="list-style-type: none"> -The facility had a fire drill 2 or 3 months ago; -They evacuated out past the mailbox. <p>Interview on 4/30/18 with Staff #5 revealed:</p> <ul style="list-style-type: none"> -Fire drills were conducted monthly on the 1st or 2nd weekend; -Fire drills were also conducted on 3rd shift. <p>Interview on 5/1/18 with Staff #6 revealed:</p> <ul style="list-style-type: none"> -He conducted fire drills once the clients were settled; -Staff #6 would ring a bell and then they all evacuated. <p>Interview on 5/1/18 with Staff #7 revealed:</p> <ul style="list-style-type: none"> -Fire drills were conducted monthly; -She and the clients would exit out the closest door. | V 114 | | |

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| V 114 | Continued From page 2 Interview on 5/1/18 with the QP revealed: -She was unaware that fire drills had to be conducted on each shift; -The QP acknowledged fire drills had not been conducted per licensure requirements. | V 114 | | |
| V 118 | 27G .0209 (C) Medication Requirements 10A NCAC 27G .0209 MEDICATION REQUIREMENTS (c) Medication administration: (1) Prescription or non-prescription drugs shall only be administered to a client on the written order of a person authorized by law to prescribe drugs. (2) Medications shall be self-administered by clients only when authorized in writing by the client's physician. (3) Medications, including injections, shall be administered only by licensed persons, or by unlicensed persons trained by a registered nurse, pharmacist or other legally qualified person and privileged to prepare and administer medications. (4) A Medication Administration Record (MAR) of all drugs administered to each client must be kept current. Medications administered shall be recorded immediately after administration. The MAR is to include the following: (A) client's name; (B) name, strength, and quantity of the drug; (C) instructions for administering the drug; (D) date and time the drug is administered; and (E) name or initials of person administering the drug. (5) Client requests for medication changes or checks shall be recorded and kept with the MAR file followed up by appointment or consultation with a physician. | V 118 | | |

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| V 118 | <p>Continued From page 3</p> <p>This Rule is not met as evidenced by: Based on record reviews, observation and interviews, the facility failed to administer medications based on the written orders of a person authorized to prescribe medications and failed to keep the MARs complete and current affecting 2 of 3 clients (Client #1 and Client #3). The findings are:</p> <p>Review on 5/1/18 of Client #1's record revealed: -Admission date: 11/16/16 -Diagnoses: Oppositional Defiant Disorder (ODD), Attention Deficit Hyperactive Disorder (ADHD) -Physician's orders dated 4/10/18 for the following medication: -lamotrigine 200mg (mood stabilizer) BID (twice daily) 1 tablet</p> <p>Review on 5/1/18 of Client #1's MARs dated 2/1/18 through 4/30/18 revealed: -Client #1 was administered lamotrigine 300mg or 1½ tablets through 4/16/18; -The MAR was changed to 200mg QD (daily) on 4/10/18.</p> <p>Observation of Client #1's lamotrigine 200 mg tablets on 5/1/18 at 11:51AM revealed: -60 tablets were dispensed on 4/10/18; -29 tablets remained in the bottle; -If he had received 1 tablet twice a day, Client #1 should have had 19 tablets left in the bottle.</p> <p>Interview of Client #1 on 4/30/18 revealed: -He had ADHD; -The medication he was taking helped him to</p> | V 118 | | |

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| V 118 | <p>Continued From page 4</p> <p>focus;</p> <p>-Client #1 also had an inhaler to use when needed;</p> <p>-He had Asthma.</p> <p>Review on 5/1/18 of Client #3's record revealed:</p> <p>-Admission date: 10/26/17</p> <p>-Diagnoses: Intermittent Explosive Disorder (IED); Mild Mental Retardation (MR); Autism Spectrum Disorder (ASD); Gastroesophageal Reflux Disease (GERD); Deafness (wears hearing aids)</p> <p>-Physician's orders dated between 3/28/18 through 4/23/18 for the following medications:</p> <p>-4/12/18: escitalopram 10mg (anti-depressant) daily</p> <p>-3/28/18: albuterol sulfate (bronchodilator) inhaler 90 micrograms (mcg) 2 puffs every 4 hours prn (as needed)</p> <p>-3/28/18: fluticasone 50mcg (anti-allergy) 1 spray each nostril daily</p> <p>Review on 5/1/18 of Client #3's MARs dated 2/1/18 through 4/30/18 revealed:</p> <p>-guanfacine BID (no dose written on the MAR and no signed physician's order)</p> <p>-escitalopram 10mg daily was marked out and discontinued written on the April MAR beginning 4/13/18</p> <p>-oxcarbazepine 300mg 1½ tablets (450mg) BID (no signed physician's order)</p> <p>-albuterol sulfate inhaler 90mcg 2 puffs every 4 hours at 7AM, 1PM, and 4PM (The past 3 months the albuterol sulfate inhaler had been administered 3 times daily.)</p> <p>-fluticasone 50mcg 1 spray each nostril daily had been administered 3 times daily throughout the month of April except for 4/9/18 through 4/12/18</p> <p>Interview on 4/30/18 with Staff #5 revealed he</p> | V 118 | | |

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| V 118 | <p>Continued From page 5</p> <p>received his medication administration training by a registered nurse.</p> <p>Interview on 4/30/18 with Staff #7 revealed she received her medication administration training by a registered nurse.</p> <p>Interview on 5/1/18 with the Qualified Professional (QP) revealed: -She usually transcribed the physician's orders onto the MAR; -She was unable to explain why Client #1's lamotrigine 200mg twice daily had been incorrectly transcribed on the MAR as once daily; -The QP was unaware orders for Client #3's guanfacine 1mg and oxcarbazepine 300mg had not been signed by the physician; -She was also unaware the escitalopram 10mg had been marked out on the MARs as being discontinued; -The QP was unable to locate the physician's order for the escitalopram 10mg to be discontinued; -The QP acknowledged the albuterol sulfate inhaler and the fluticasone 50mcg nasal spray had been incorrectly transcribed on the MARs.</p> <p>This deficiency constitutes a recited deficiency and must be corrected within 30 days.</p> | V 118 | | |