Facility Compliance Information

This page provides guidelines for a LME/MCO to follow if they want to request compliance information for a facility licensed by DHSR.

The compliance information is associated with a facility, not an entire agency or an individual associated with an agency or facility. The compliance information includes active and current actions; it does not include previous administrative actions. If a facility has no current or active administrative actions, they will have no findings listed. This does not mean there are no deficiencies associated with this facility.

The compliance information is limited to the following:

- Active Type A or Imposed Type B
- Current Intent to Revoke: the Intent to Revoke is active and has not been rescinded
- Active Suspension of Admissions: the Suspension of Admissions has not been lifted
- Active Summary Suspension: the Summary Suspension was issued and has not been lifted.
- Active Notice of Revocation: the Notice of Revocation is current, and may be in appeal.
- Revocation in Effect: a Notice of Revocation was issued and the final outcome is that the license for this facility has been revoked and is no longer active.

Compliance Information Request Process

LME/MCO employee requesting compliance information submits an e-mail using the following format:

To: Pam.Pridgen@dhhs.nc.gov
Cc: Wendy.Boone@dhhs.nc.gov
Subject: Facility Compliance Information Request

LME/MCO employee submitting the request includes in the body of the e-mail, the following information:

- Facility Name
- Facility Address
- Facility Mental Health License (MHL) number
- Facility Licensee Name
- Name and fax number of the LME/MCO employee who should receive the Compliance Information.

DHSR employee:

- Reviews the facility’s file for any current and active administrative actions.
- Fills out the compliance information form
- Faxes a copy of the compliance information to the contact information provided by the LME/MCO employee submitting the request.