Dental Single Specialty Ambulatory Surgical Facility Demonstration Project

In response to petitions, there is included in the 2016 North Carolina State Medical Facilities Plan a statewide need determination for a Dental Single Specialty Ambulatory Surgical Demonstration Project (Project). Locating the facilities in different regions of the state exemplifies the access and value Basic Principles by preventing a single area from having a concentration of dental OR facilities. The Project establishes a special need determination for up to four new separately licensed dental single specialty ambulatory surgical facilities with up to two operating rooms each, such that there is a need identified for one new ambulatory surgical facility in each of the four following regions:

- Region 1: HSA IV
- Region 2: HSA III
- Region 3: HSA V and HSA VI
- Region 4: HSA I and HSA II

Applicant(s) shall demonstrate in the certificate of need application that the proposal will meet each criterion set forth below.

CRITERIA		BASIC PRINCIPLE AND RATIONALE
1	The application shall contain a description of the percentage ownership interest in the facility by each oral surgeon and dentist.	Value Implementing this innovation through a demonstration project enables the State Health Coordinating Council to monitor and evaluate the innovation's impact.
2	The proposed facility shall provide open access to non-owner and non-employee oral surgeons and dentists.	Access Services will be accessible to a greater number of surgical patients if the facility has an open access policy for dentists and oral surgeons.
3	The facility shall provide dental and oral surgical procedures only.	<i>Value</i> Implementing this innovation through a demonstration project enables the State Health Coordinating Council to monitor and evaluate the innovation's impact.
4	The proposed facility shall obtain a license no later than one year from the effective date of the certificate of need.	Access Timely project completion increases access to services.
5	The proposed facility shall be certified by the Centers for Medicare and Medicaid Services (CMS), and shall commit to continued compliance with Medicare conditions of participation.	Access Requiring service to indigent patients promotes equitable access to the services provided by the demonstration project facilities.

CRITERIA		BASIC PRINCIPLE AND RATIONALE
6	The proposed facility shall provide care to underserved dental patients. At least 3 percent of the total number of patients served each year shall be charity care patients and at least 25 percent of the total number of patients served each year shall be Medicaid recipients.	Access Requiring service to indigent patients promotes equitable access to the services provided by the demonstration project facilities.
7	The proposed facility shall obtain accreditation no later than one year after licensure by the Accreditation Association for Ambulatory Health Care (AAAHC), American Association for Accreditation of Ambulatory Surgery Facilities (AAAASF), Det Norske Veritas Healthcare, Inc. (DNV) or The Joint Commission (TJC), and shall commit to continued compliance with their respective standards.	Safety and Quality Adherence to certification processes ensures that the facility is committed to meeting the generally accepted industry standards for quality and safety for their patients.
8	Health care professionals affiliated with the proposed facility, if so permitted by North Carolina law and hospital by-laws, are required to establish or maintain hospital staff privileges with at least one hospital and to begin or continue meeting Emergency Department coverage responsibilities with at least one hospital.	Safety and Quality Encouraging health care professionals to establish or maintain hospital staff privileges and to begin or continue meeting Emergency Department coverage responsibilities helps ensure the continued viability of community-based resources for dental emergencies.
9	The proposed facility shall meet all reporting, monitoring and evaluation requirements of the demonstration project, set forth below (Reporting, Monitoring and Evaluation Requirements for Dental Single Specialty Ambulatory Surgical Facility Demonstration Project Sites).	Safety and Quality, Access, Value Timely monitoring enables the Agency to determine whether facilities are meeting criteria and to take corrective action if facilities fail to do so. This ensures that the demonstration project facilities meet all three Basic Principles.
10	For each of the first three full federal fiscal years of operation, the applicant(s) shall provide the projected number of patients for the following payor types, broken down by age (under 21, 21 and older): (i) charity care; (ii) Medicaid; (iii) TRICARE; (iv) private insurance; (v) self-pay; and (vi) payment from other sources.	Access Requiring service to a wide range of patients promotes equitable access to the services provided by the demonstration project facilities
11	The proposed facility shall demonstrate that it will perform at least 900 surgical cases during the third full federal fiscal year of operation.	<i>Value</i> Performing at least a minimum number of surgical procedures helps assure that patients receive the maximum healthcare benefit per dollar expended.