Notice Requirements Before Transfer/Discharge

CFR(s): 483.15(c)(3)-(6)(8)

§483.15(c)(3) Notice before transfer.
Before a facility transfers or discharges a resident, the facility must-
(i) Notify the resident and the resident's representative(s) of the transfer or discharge and the reasons for the
move in writing and in a language and manner they understand. The facility must send a copy of the notice to
a representative of the Office of the State Long-Term Care Ombudsman.
(ii) Record the reasons for the transfer or discharge in the resident's medical record in accordance with
paragraph (c)(2) of this section; and
(iii) Include in the notice the items described in paragraph (c)(5) of this section.

§483.15(c)(4) Timing of the notice.
(i) Except as specified in paragraphs (c)(4)(ii) and (c)(8) of this section, the notice of transfer or discharge
required under this section must be made by the facility at least 30 days before the resident is transferred or
discharged.
(ii) Notice must be made as soon as practicable before transfer or discharge when-
(A) The safety of individuals in the facility would be endangered under paragraph (c)(1)(i)(C) of this section;
(B) The health of individuals in the facility would be endangered, under paragraph (c)(1)(i)(D) of this
section;
(C) The resident's health improves sufficiently to allow a more immediate transfer or discharge, under
paragraph (c)(1)(i)(B) of this section;
(D) An immediate transfer or discharge is required by the resident's urgent medical needs, under paragraph
(c)(1)(i)(A) of this section; or
(E) A resident has not resided in the facility for 30 days.

§483.15(c)(5) Contents of the notice. The written notice specified in paragraph (c)(3) of this section must
include the following:
(i) The reason for transfer or discharge;
(ii) The effective date of transfer or discharge;
(iii) The location to which the resident is transferred or discharged;
(iv) A statement of the resident's appeal rights, including the name, address (mailing and email), and
telephone number of the entity which receives such requests; and information on how to obtain an appeal
form and assistance in completing the form and submitting the appeal hearing request;
(v) The name, address (mailing and email) and telephone number of the Office of the State Long-Term Care
Ombudsman;
(vi) For nursing facility residents with intellectual and developmental disabilities or related disabilities, the
mailing and email address and telephone number of the agency responsible for the protection and advocacy
of individuals with developmental disabilities established under Part C of the Developmental Disabilities
Assistance and Bill of Rights Act of 2000 (Pub. L. 106-402, codified at 42 U.S.C. 15001 et seq.); and
(vii) For nursing facility residents with a mental disorder or related disabilities, the mailing and email address
and telephone number of the agency responsible for the protection and advocacy of individuals with a mental

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient
protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided.
For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of

The above isolated deficiencies pose no actual harm to the residents
**STATEMENT OF ISOLATED DEFICIENCIES WHICH CAUSE PROVIDER # 34A001 MULTIPLE CONSTRUCTION**

**DATE SURVEY COMPLETE:** 5/9/2019

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**SUMMARY STATEMENT OF DEFICIENCIES**

Continued From Page 1

disorder established under the Protection and Advocacy for Mentally Ill Individuals Act.

§483.15(c)(6) Changes to the notice.
If the information in the notice changes prior to effecting the transfer or discharge, the facility must update the recipients of the notice as soon as practicable once the updated information becomes available.

§483.15(c)(8) Notice in advance of facility closure

In the case of facility closure, the individual who is the administrator of the facility must provide written notification prior to the impending closure to the State Survey Agency, the Office of the State Long-Term Care Ombudsman, residents of the facility, and the resident representatives, as well as the plan for the transfer and adequate relocation of the residents, as required at § 483.70(l).

This REQUIREMENT is not met as evidenced by:

Based on record review and staff interviews the facility failed to provide a copy of the transfer/discharge notice to the Ombudsman for 1 of 1 residents (Resident #37) reviewed for hospitalization.

Findings included:

Resident #37 was originally admitted to the facility on 6/8/17 with a most recent admission date of 4/10/19 with diagnoses that included, in part, Brittle Diabetic and Cerebral Palsy.

A review of the most recent minimum data set (MDS) assessment, which was a quarterly dated 2/19/19 revealed Resident #37 was cognitively intact.

A review of the medical record revealed Resident #37 was admitted to the hospital on 4/4/2019 for a Urinary Tract Infection and Pneumonia. The resident returned to the facility on 4/10/19. No written notice of transfer was documented to had been provided to the Ombudsman.

On 5/9/19 at 2:00 PM an interview was conducted with the Program Service Manager who supervises the Social Workers. She stated that the Social Workers send the Ombudsman’s notice of transfer/discharge once a month. She further stated that the Social Worker for Resident #37 did not understand that he was supposed to send notice to the Ombudsman for hospitalizations, and therefore no notice had been sent to the Ombudsman.
### SUMMARY STATEMENT OF DEFICIENCIES

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The facility is in compliance with the requirements of 42 CFR Part 483, Subpart B for Long Term Care Facilities (General Health Survey) Emergency Preparedness. Event ID# V37P11

No deficiencies were cited as a result of the complaint investigation. The facility is in compliance with the requirements of 42 CFR Part 483, Subpart B for Long Term Care Facilities (General Health Survey). Event ID #V37P11

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined that other safeguards provide sufficient protection to the patients. (See instructions.) Except for nursing homes, the findings stated above are disclosable 90 days following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable 14 days following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.