# Summary of Deficiencies

### F 640

**Encoding/Transmitting Resident Assessments**

- **CFR(s):** 483.20(f)(1)-(4)
- **§483.20(f) Automated data processing requirement-**
  - **§483.20(f)(1) Encoding data.** Within 7 days after a facility completes a resident's assessment, a facility must encode the following information for each resident in the facility:
    1. Admission assessment.
    2. Annual assessment updates.
    3. Significant change in status assessments.
    4. Quarterly review assessments.
    5. A subset of items upon a resident's transfer, reentry, discharge, and death.
    6. Background (face-sheet) information, if there is no admission assessment.
- **§483.20(f)(2) Transmitting data.** Within 7 days after a facility completes a resident's assessment, a facility must be capable of transmitting to the CMS System information for each resident contained in the MDS in a format that conforms to standard record layouts and data dictionaries, and that passes standardized edits defined by CMS and the State.
- **§483.20(f)(3) Transmittal requirements.** Within 14 days after a facility completes a resident's assessment, a facility must electronically transmit encoded, accurate, and complete MDS data to the CMS System, including the following:
    1. Admission assessment.
    2. Annual assessment.
    3. Significant change in status assessment.
    4. Significant correction of prior full assessment.
    5. Significant correction of prior quarterly assessment.
    6. Quarterly review.
    7. A subset of items upon a resident's transfer, reentry, discharge, and death.
    8. Background (face-sheet) information, for an initial transmission of MDS data on resident that does not have an admission assessment.
- **§483.20(f)(4) Data format.** The facility must transmit data in the format specified by CMS or, for a State which has an alternate RAI approved by CMS, in the format specified by the State and approved by CMS.

This REQUIREMENT is not met as evidenced by:

- Based on record review and staff interviews the facility failed to complete and transmit discharge Minimum Data Set (MDS) assessments for 1 of 4 residents reviewed for discharge. (Resident #1).

Findings included:

- Resident # 1 was admitted to the facility on 11/23/16. Resident #1's active diagnoses included hypertension, major depressive disorder and dementia.
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Review of a nurses dated 6/8/18 revealed Resident #1 was discharged on 6/8/18 to another facility. 

Review of the MDS assessments by the facility on 9/25/18 at 3:46 PM revealed no discharge assessment had been completed or transmitted by the facility for Resident #1. 

During an interview on 9/25/18 at 4:18 PM MDS Nurse #1 indicated the discharge assessment for Resident #1 should have been completed and transmitted prior to 9/25/18 and it was not. He added he would complete and transmit the discharge assessment for Resident #1 immediately. 

During an interview on 9/25/18 at 4:29 PM the Director of Nursing stated it was her expectation MDS discharge assessments be completed according to the Resident Assessment Instrument (RAI) manual. 

Event ID: 3C9M11