

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: HAL049032	(X2) MULTIPLE CONSTRUCTION A. BUILDING: 01 B. WING _____	(X3) DATE SURVEY COMPLETED 10/04/2018
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NAME OF PROVIDER OR SUPPLIER ATRIA LAKE NORMAN	STREET ADDRESS, CITY, STATE, ZIP CODE 140 CARRIAGE CLUB DRIVE MOORESVILLE, NC 28117
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C 000	<p>Initial Comments</p> <p>Construction Section Biennial Survey report by Frank Strickland and Ed Miller on 10/04/2018:</p> <p>This facility was first licensed as a Home for the Aged on 03/18/2002 and an Addition constructed on 02/29/2004. This facility is currently licensed for a total capacity of ONE HUNDRED TWENTY BEDS W/20 BED SCU.. Therefore, we are requiring that this facility meet the 1996 Minimum Standards and Regulations for Homes for the Aged; the applicable portions of the 2005 Rules for Licensing of Adult Care Homes of Seven or More Beds; and the 1996 Edition of the North Carolina State Building Code, Section 409- Institutional Occupancy, Group I as well as the 2002 Edition of the North Carolina State Building Code, Section 409- Institutional Occupancy, Section 308.2- Group I.</p> <p>Deficiencies have been cited and a Plan of Correction is required.</p>	C 000		
C 101	<p>Existing Licensed Fac- No less than '71 Rules</p> <p>SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0301 APPLICATION OF PHYSICAL PLANT REQUIREMENTS The physical plant requirements for each adult care home shall be applied as follows: (2) Except where otherwise specified, existing licensed facilities or portions of existing licensed facilities shall meet licensure and code requirements in effect at the time of construction, change in service or bed count, addition, renovation, or alteration; however in no case shall the requirements for any licensed facility where no addition or renovation has been made, be less than those requirements found in the 1971 "Minimum and Desired Standards and</p>	C 101		

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X6) DATE _____

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C 101	<p>Continued From page 1</p> <p>Regulations" for "Homes for the Aged and Infirm", copies of which are available at the Division of Health Service Regulation at no cost;</p> <p>This Rule is not met as evidenced by: 1-Based on observations, this facility does not meet the Building Code for the Special Locking (magnetic locks) on the exit doors at the time of construction or alteration. The Code requires, "If any required emergency release switch is of the locking type, all staff must carry emergency release switch keys."</p> <p>Findings on 10/04/2018: The required emergency release switch located at each magnetically locked exit door was of the locking type with keyed switching. All staff in the SCU who are responsible for evacuation of residents were not carrying keys. The med tech was the only staff member carrying a release switch key and the other staff that were interviewed carried no release switch keys.</p>	C 101		
C 110	<p>Construction-Meet Sanitary Requirements</p> <p>SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0302 DESIGN AND CONSTRUCTION (e) The sanitation, water supply, sewage disposal and dietary facilities shall comply with the rules of the North Carolina Division of Environmental Health, which are incorporated by reference, including all subsequent amendments. The "Rules Governing the Sanitation of Hospitals, Nursing and Rest Homes, Sanitariums, Sanatoriums, and Educational and Other Institutions", 15A NCAC 18A .1300 are available for inspection at the</p>	C 110		

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C 110	<p>Continued From page 2</p> <p>Department of Environment and Natural Resources, Division of Environmental Health, 2728 Capital Boulevard, Raleigh, North Carolina. Copies may be obtained from Environmental Health Services Section, 1632 Mail Service Center, Raleigh, North Carolina 27699-1632 at no cost.</p> <p>This Rule is not met as evidenced by: 1-Based on interview and observation, this facility did not meet the "Rules Governing the Sanitation of Hospitals, Nursing and Rest Homes, Sanitariums, Sanatoriums, and Educational and Other Institutions", specifically 15A NCAC 18A .1317(a) which requires the facility to have an effective policy in place to prevent bed bugs from entering and how to mitigate future bed bug infestations.</p> <p>Findings on 10/04/2018:</p> <p>Based on interview with facility Executive Director [ED], bed bugs were first observed in 10/02/2018.</p> <p>Record review of Pest Management Company inspection and service records show that bed bugs were observed (and treated) in Room WSW2 on 10/02/2018.</p> <p>Interview with facility ED, room WSW2 is currently unoccupied and resident relocated after bug bites were observed and family members notified. Interview with facility ED revealed that only the bedroom had been treated by pest control.</p> <p>Direct observation at the time of survey, revealed signs that bed bugs were harboring behind a wall</p>	C 110		

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C 110	Continued From page 3 receptacle box at the party wall between rooms. The cover for the box was still removed. There was evidence on the surrounding wall that at one bed bug had been killed by hand after crawling out of the open junction box.	C 110		
C 164	Housekeeping and Furnishings-Clean, Repaired SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0306 HOUSEKEEPING AND FURNISHINGS (a) Adult care homes shall: (1) have walls, ceilings, and floors or floor coverings kept clean and in good repair; (2) have no chronic unpleasant odors; (3) have furniture clean and in good repair; (e) This Rule shall apply to new and existing facilities. This Rule is not met as evidenced by: 1-Based on observation, this facility has failed to keep the ceilings clean and good repair. Findings on 10/04/2018: The following rooms have ceilings that are damaged due to condensation and staining: (a) Dining Room #1 (b) Resident Care Coordinator's Office (c) Main Kitchen (d) Room SL09 (e) HVAC Room #1 (f) HVAC Room #2 2-Based on observation, this facility has failed to keep the ceilings free of penetrations and good repair. Findings on 10/04/2018:	C 164		

Division of Health Service Regulation

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C 164	Continued From page 4 The following rooms have penetrations in the fire-rated ceiling construction that are not protected: (a) HVAC Room #1 (b) HVAC Room #2 (c) Housekeeping/DD HALL (d) Riser Room/DD HALL 3-Based on observation, this facility has failed to keep the interior walls clean and good repair. Findings on 10/04/2018: The wall behind the washer/dryers in the Main Laundry have openings that are not in good repair. 4-Based on observation, this facility has failed to maintain the HVAC components clean. Findings 10/04/2018: All of the return-air grilles have particulate build-up and require cleaning in all the Bathrooms, Dining Halls and Kitchens.	C 164		
C 166	Housekeeping-Maintained Free of Hazards SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0306 HOUSEKEEPING AND FURNISHINGS (a) Adult care homes shall: (5) be maintained in an uncluttered, clean and orderly manner, free of all obstructions and hazards; (e) This Rule shall apply to new and existing facilities. This Rule is not met as evidenced by: 1-Based on observation, this facility is not free of	C 166		

Division of Health Service Regulation

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C 166	Continued From page 5 all obstructions and hazards. Storage closer than 18 inches below sprinkler head could impair the operation of the sprinkler. Findings on 10/04/2018: There is high piled storage on the shelves in the Storage Room/PM3 that is at the ceiling.	C 166		
C 189	Building Equipment Maintained Safe, Operating SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (a) The building and all fire safety, electrical, mechanical, and plumbing equipment in an adult care home shall be maintained in a safe and operating condition. (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities. This Rule is not met as evidenced by: 1-Based on observation, this facility has failed to maintain the fire safety components in a safe and operating condition. Findings 10/04/2018: The FACP was in the trouble mode, however it operated as designed for detection when the fire alarm test was conducted. Technicians were contacted regarding the matter and are scheduled for the service. 2-Based on observation, this facility has failed to provide exits in a safe and operating condition. Findings 10/04/2018: The Elevator Lobby/Exit pathway adjacent to	C 189		

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C 189	<p>Continued From page 6</p> <p>Room PL10 is blocked by outdoor furniture that was brought in from the outside Courtyard due to hurricane Florence and never returned by staff.</p> <p>3-Based on observation, this facility has failed to maintain all the building fire protection systems in a safe condition.</p> <p>Findings 10/04/2018: There are HVAC flexible duct connectors that penetrate the exit access corridor walls above the lay-in ceiling. The ducts do not have a suitable connector at the place they penetrate in order to resist the passage of smoke from the following rooms: (a) HVAC Room across the Hall from Activity Room #2. (b) HVAC Room #2 There are transfer grilles that penetrate the exit access corridor walls that do not prevent the passage of smoke from the following rooms: (c) HVAC room across the Hall from Activity room #2. (d) HVAC Room #2.</p> <p>4-Based on observation, this facility has failed to maintain the fire safety components in a safe and operating condition.</p> <p>Findings 10/04/2018: The following locations have sprinkler heads without escutcheons: (a) Front Port-cochere (b) ED Office (c) PM3/HALL (d) Storage Room/PM2</p> <p>5-Based on observation, this facility has failed to</p>	C 189		

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C 189	<p>Continued From page 7</p> <p>maintain the HVAC components in a operating condition.</p> <p>Findings 10/04/2018: The mechanical ventilation system is not operational at the following locations: (a) Laundry Room/GSW02 (b) Laundry Room/WW02 (c) Bath Room/GSW 04 (d) HVAC Room #2</p> <p>6-Based on observation, this facility has failed to maintain the electrical components in a safe and operating condition.</p> <p>Findings 10/04/2018: The following locations have GFCI protection that has failed: (a) Laundry Room/WW02 (b) Kitchenette/PL5</p> <p>7-Based on observation, this facility has failed to maintain the plumbing equipment in a safe and operating condition.</p> <p>Findings 10/04/2018: The hair washing sink in the Salon does not have a vacuum breaker.</p>	C 189		