

Division of Health Service Regulation

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: HAL010004	(X2) MULTIPLE CONSTRUCTION A. BUILDING: 01 B. WING _____	(X3) DATE SURVEY COMPLETED 11/18/2015
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NAME OF PROVIDER OR SUPPLIER SHALLOTTE ASSISTED LIVING	STREET ADDRESS, CITY, STATE, ZIP CODE 520 MULBERRY STREET SHALLOTTE, NC 28459
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C 000	<p>Initial Comments</p> <p>This report is of a Biennial Construction Survey done by Bob Getchell and Ed Miller on 11-18-2015.</p> <p>This facility was first licensed as a Home for the Aged serving 80 residents on 7-26-1984. Therefore the facility must meet the 1984 and the applicable portions of the 2005 Rules for the Licensing of Adult Care Homes, and, the 1978 North Carolina State Building Code-Section 409-Instutional Occupancies.</p> <p>Deficiencies were noted which will require a new plan of correction.</p>	C 000		
C 101	<p>Existing Licensed Fac- No less than '71 Rules</p> <p>SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0301 APPLICATION OF PHYSICAL PLANT REQUIREMENTS</p> <p>The physical plant requirements for each adult care home shall be applied as follows: (2) Except where otherwise specified, existing licensed facilities or portions of existing licensed facilities shall meet licensure and code requirements in effect at the time of construction, change in service or bed count, addition, renovation, or alteration; however in no case shall the requirements for any licensed facility where no addition or renovation has been made, be less than those requirements found in the 1971 "Minimum and Desired Standards and Regulations" for "Homes for the Aged and Infirm", copies of which are available at the Division of Health Service Regulation at no cost;</p> <p>This Rule is not met as evidenced by: 1. Based on observation, the facility failed to</p>	C 101		

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE _____ TITLE _____ (X6) DATE _____

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C 101	Continued From page 1 maintain the required number of bedrooms. Findings include: The use of the following rooms has been altered for the storage of combustible items: a) Room 101, b) Room 334 Ensure there are enough beds available equal to the licensed capacity of the facility. 2. Based on observation, the facility failed to maintain the required number of showers. Findings include: The Men's bathroom at room 104 has been taken out of service to be renovated.	C 101		
C 111	Must Have Current San. & Fire Safety Reports SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0302 DESIGN AND CONSTRUCTION(f) The facility shall have current sanitation and fire and building safety inspection reports which shall be maintained in the home and available for review. This Rule is not met as evidenced by: 1. Based on observation, the current reports were not available at the time of the survey. Findings include: The Fire Alarm Panel Annual Test Report was not available at the time of the survey.	C 111		
C 133	Bathrooms-Hand Grips SECTION .0300 - PHYSICAL PLANT	C 133		

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C 133	<p>Continued From page 2</p> <p>10A NCAC 13F .0305 PHYSICAL ENVIRONMENT</p> <p>(e) The requirements for bathrooms and toilet rooms are:</p> <p>(6) Hand grips shall be installed at all commodes, tubs and showers used by or accessible to residents;</p> <p>This Rule is not met as evidenced by:</p> <p>1. Based on observation, the facility was not maintained in a safe manner by not securing the grab bar at the toilet.</p> <p>Findings Include:</p> <p>In the Executive Directors bathroom the grab bar at the toilet is loose.</p>	C 133		
C 162	<p>Outside Premises-Outdoor Lighting</p> <p>SECTION .0300 - PHYSICAL PLANT</p> <p>10A NCAC 13F .0305 PHYSICAL ENVIRONMENT</p> <p>(m) The requirements for outside premises are:</p> <p>(3) Outdoor walkways and drives shall be illuminated by no less than five foot-candles of light at ground level.</p> <p>This Rule is not met as evidenced by:</p> <p>1. Based on observation, the exterior grounds were not maintained in a safe manner.</p> <p>Findings include:</p> <p>a) There are holes outside the Vending area Exit door</p>	C 162		
C 164	<p>Housekeeping and Furnishings-Clean, Repaired</p> <p>SECTION .0300 - PHYSICAL PLANT</p> <p>10A NCAC 13F .0306 HOUSEKEEPING AND</p>	C 164		

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C 164	<p>Continued From page 3</p> <p>FURNISHINGS (a) Adult care homes shall: (1) have walls, ceilings, and floors or floor coverings kept clean and in good repair; (2) have no chronic unpleasent odors; (3) have furniture clean and in good repair; (e) This Rule shall apply to new and existing facilities.</p> <p>This Rule is not met as evidenced by: 1. Based on observation, the resident furnishings in bedrooms and other areas were not maintained in good condition.</p> <p>Findings include: a) Room 215 has furniture with handles loose/missing on the drawers. b) Room 217 has furniture with handles loose/missing on the drawers. c) Room 237 has furniture with handles loose/missing on the drawers. d) In the handicapped bathroom near room 104 the shower tile is filthy</p>	C 164		
C 166	<p>Housekeeping-Maintained Free of Hazards</p> <p>SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0306 HOUSEKEEPING AND FURNISHINGS (a) Adult care homes shall: (5) be maintained in an uncluttered, clean and orderly manner, free of all obstructions and hazards; (e) This Rule shall apply to new and existing facilities.</p> <p>This Rule is not met as evidenced by: 1. Based on observation, the building was not maintained in a safe manner by improper storage</p>	C 166		

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C 166	Continued From page 4 of oxygen cylinders. This would affect all residents by potentially exposing them to hazards from a ruptured cylinder. Findings include: The oxygen bottles are being stored loose, and in beverage crates that can not adequately prevent them from tipping over.	C 166		
C 183	Fire Extinguishers SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0308 FIRE EXTINGUISHERS (a) At least one five pound or larger (net charge) A-B-C type fire extinguisher is required for each 2,500 square feet of floor area or fraction thereof. (b) One five pound or larger (net charge) A-B-C or CO/2 type is required in the kitchen and, where applicable, in the maintenance shop. This Rule is not met as evidenced by: 1. Based on observation, the building fire protection equipment was not maintained to keep the facility safe. This would affect all residents by not having fire protection equipment operable for use in an emergency. Findings include: The inspection tags on the fire extinguishers indicate that routine monthly inspections are not being performed per NFPA 10	C 183		
C 189	Building Equipment Maintained Safe, Operating SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (a) The building and all fire safety, electrical, mechanical, and plumbing equipment in an adult	C 189		

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C 189	<p>Continued From page 5</p> <p>care home shall be maintained in a safe and operating condition.</p> <p>(k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities.</p> <p>This Rule is not met as evidenced by:</p> <p>1. Based on observation, the building was not maintained in a safe manner by not maintaining the fire-resistance rating of building components. This would affect all residents by not containing smoke and fire in the room or smoke compartment of origin.</p> <p>Findings include:</p> <p>a. The Administrators office ceiling has an unprotected penetration</p> <p>b. In the corridor at the left Exit there is a gap in the ceiling next to the Exit sign that reveals an opening to the attic.</p> <p>c. The Activity Room has an unprotected penetration in the ceiling by phone line.</p> <p>d. Room 107 has a gap at the heat detector revealing an opening to the attic.</p> <p>e. The storage room ceiling in the employee lounge has a gap at the heat detector revealing an opening to the attic.</p> <p>f) In the corridors modems have been installed which have unprotected ceiling penetrations by cable next to them.</p> <p>g) There are unprotected ceiling penetrations in the storage room near the Fire Alarm Control Panel</p> <p>h) In room 219 there is a gap at the heat detector revealing an opening to the attic.</p> <p>i) In the kitchen there is an unprotected ceiling penetration by conduit on the left side of the range hood</p> <p>j) In the kitchen janitors closet there are</p>	C 189		

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C 189	<p>Continued From page 6</p> <p>unprotected ceiling penetrations</p> <p>k) Near attic hatch at room 104 the 1-hour tunnel is damaged</p> <p>l) Near attic hatch at room 110 the 1-hour tunnel is damaged</p> <p>m) Near attic hatch at room 215 the 1-hour tunnel is damaged</p> <p>n) Near attic hatch at room 220 the 1-hour tunnel is damaged</p> <p>o) Near attic hatch at room 321 the 1-hour tunnel is damaged</p> <p>p) Near attic hatch at room 325 the 1-hour tunnel is damaged</p> <p>q) Near attic hatch at room 429 the 1-hour tunnel is damaged</p> <p>r) Near attic hatch at room 435 the 1-hour tunnel is damaged</p> <p>s) There are unprotected penetrations by cables in the firewall near hatch 110</p> <p>t) There are unprotected penetrations by cables in the draft stop near hatch 215</p> <p>u) There are unprotected penetrations by cables in the draft stop over the Laundry</p> <p>v) There are unprotected penetrations by cables in the draft stop near hatch 325</p> <p>w) There are unprotected penetrations by cables in the firewall near hatch 325</p> <p>x) There are unprotected wall penetrations by pipe in the water heater room in the Med Room</p> <p>y) There are unprotected ceiling penetrations in the Laundry mechanical room</p> <p>z) The Laundry room has gypsum missing from the wall behind the washers</p> <p>These unprotected openings are not in conformance with the requirement to use a through penetration fire stop system that has been tested in accordance with ASTM E-814.</p> <p>2. Based on observation, the facility components</p>	C 189		

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C 189	<p>Continued From page 7</p> <p>were not maintained operable by having doors that did not close completely and latch.</p> <p>Findings include: The following doors have issues:</p> <ul style="list-style-type: none"> a) Room 102 has a hasp lock on the closet b) Room 104 has a hasp lock on the closet, c) Room 218 has a hasp lock on the closet d) At the cross corridors near room 213 the back leaf will not close and latch because it is dragging the floor when released e) At the Womens Bathroom near room 215 the door is being held open with a wedge f) At the kitchen door to the Dining Room the Kitchen door is held open by a kickdown. g) At the cross corridor doors at room 427 the front leaf will not close and latch when released h) Pantry door to outside has had closer removed so it will not close and latch when released i) The handicapped bathroom door at room 104 has holes through it around the knob <p>3. Based on observation, the building plumbing equipment was not maintained safe and operating.</p> <p>Findings include: Toilets are coming loose from the floor in the following locations:</p> <ul style="list-style-type: none"> a) Womens Bathroom near 215 b) Bathroom near 324. <p>4. Based on observation, the building plumbing equipment was not maintained in a safe manner by allowing cross connects. This would affect all residents by potentially siphoning waste water into the potable water system.</p> <p>Findings include: The spray hose on the Beauty Shop sink has no</p>	C 189		

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C 189	Continued From page 8 vacuum breaker. Install vacuum breaker on beauty shop sink spray hose. 5. Based on observation, the building electrical system was not maintained to keep the facility safe Findings include: In the Med Room Water Heater Room an electrical disconnect has an open space revealing live contacts	C 189		
C 199	Exhaust Ventilation SECTION .0300 - PHYSICAL PLANT 10A NCAC 13F .0311 OTHER REQUIREMENTS (g) The spaces listed in this Paragraph shall be provided with exhaust ventilation at the rate of two cubic feet per minute per square foot. This requirement does not apply to facilities licensed before April 1, 1984, with natural ventilation in these specified spaces: (1) soiled linen storage; (2) soil utility room; (3) bathrooms and toilet rooms; (4) housekeeping closets; and (5) laundry area. (k) This Rule shall apply to new and existing facilities with the exception of Paragraph (e) which shall not apply to existing facilities. This Rule is not met as evidenced by: 1. Based on observation, the building exhaust ventilation was not maintained in accordance with this Rule. Findings include: a) The exhaust fan in the Mens/Womens	C 199		

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C 199	Continued From page 9 bathroom is not working. b) The exhaust fan in the Laundry is not working.	C 199		