



DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION OF HEALTH SERVICE REGULATION

ROY COOPER  
GOVERNOR

MANDY COHEN, MD, MPH  
SECRETARY

MARK PAYNE  
DIRECTOR

February 22, 2017

Louis F. Garrard V, Manager  
Mainstay Financial Services  
PO Box 2480  
Lakeland, FL 33806

**Exempt from Review – Acquisition of Facility**

**Record #:** 2177  
**Facility Name:** Integrity Assisted Living  
**Type of Facility:** Adult Care Home  
**FID #:** 920217  
**Acquisition by:** Mainstay Financial Services  
**Business #:** 2582  
**County:** Forsyth

Dear Mr. Garrard:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency) determined that based on your letter of January 11, 2017, the above referenced proposal is exempt from certificate of need review in accordance with N.C. Gen. Stat. §131E-184(a)(8). Therefore, Mainstay Financial Services may proceed to acquire the above referenced health service facility without first obtaining a certificate of need. However, you need to contact the Agency's Adult Care Licensure Section to obtain instructions for changing ownership of the existing facility. Note that pursuant to N.C. Gen. Stat. §131E-181(b): "*A recipient of a certificate of need, or any person who may subsequently acquire, in any manner whatsoever permitted by law, the service for which that certificate of need was issued, is required to materially comply with the representations made in its application for that certificate of need.*"

It should be noted that this Agency's position is based solely on the facts represented by you and that any change in facts as represented would require further consideration by this Agency and a separate determination. If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,

A handwritten signature in cursive script that reads "Martha J. Frisone".

Martha J. Frisone  
Assistant Chief Certificate of Need

cc: Construction Section, DHR (via email only)  
Adult Care Licensure Section, DHR (via email only)  
Paige Bennett, Assistant Chief, Healthcare Planning, DHR (via email only)  
Jim Wrenn, Hopper, Hicks & Wrenn, PLLC (via email only)  
Gilles Ouellette (via email only)

**HEALTHCARE PLANNING AND CERTIFICATE OF NEED SECTION**

WWW.NCDHHS.GOV

TELEPHONE 919-855-3873

LOCATION: EDGERTON BUILDING • 809 RUGGLES DRIVE • RALEIGH, NC 27603

MAILING ADDRESS: 2704 MAIL SERVICE CENTER • RALEIGH, NC 27699-2704

AN EQUAL OPPORTUNITY/ AFFIRMATIVE ACTION EMPLOYER





EXHIBIT "A"

2582



January 11, 2017

Ms. Martha Frisone
Assistant Section Chief
NC Division of Health Service Regulation
Healthcare Planning and Certificate of Need Section
809 Ruggles Drive
Raleigh, NC 27603
martha.frisone@dhhs.nc.gov

Via overnight delivery and email

Rec'd # 2177
FID # 920217

Dear Ms. Frisone:

Mainstay Financial Services, LLC proposes to acquire that certain 121 bed adult care home currently known as "Integrity Assisted Living" (address 2900 Reynolds Park Road, Winston-Salem, NC; License Number: HAL-034-101) from AA Holdings - Winston-Salem, LLC, the owner of the real property upon which the above-referenced adult care home is located. After the proposed transaction, Mainstay Financial Services, LLC will both own the real property and operate the adult care home. Pursuant to G.S. §131D-184(a)(8), I understand that this transaction is exempt from review and, as a result, we request that you confirm that understanding by providing us with a "no review" letter. Should you need any additional information, please let me know.

Thank you for your assistance.

Sincerely,

Handwritten signature of Louis F. Garrard V.

Louis F. Garrard V., Manager

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Cc: James C. Wrenn, Jr.

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