North Carolina Department of Health and Human Services  
Division of Health Service Regulation

Pat McCrory  
Governor

Aldona Z. Wos, M.D.  
Ambassador (Ret.)  
Secretary DHHS

Drexdal Pratt  
Division Director

June 13, 2014

Lisa Matthews-Wigfall, CEO  
The 4C Company of North Carolina, LLC  
3552 Beatties Ford Road  
Charlotte, NC 28216

Exempt from Review – Acquisition of Facility
Facility: Slay’s Rest Home  
Acquisition by: The 4C Company of North Carolina, LLC  
County: Mecklenburg  
FID #: 990802

Dear Ms. Matthews-Wigfall:

In response to your letter of May 29, 2014, the above referenced proposal is exempt from certificate of need review in accordance with G.S 131E-184(a)(8). Therefore, The 4C Company of North Carolina, LLC may proceed to acquire the above referenced health service facility without first obtaining a certificate of need. However, you need to contact the Adult Care Licensure Section of the Division of Health Service Regulation to obtain instructions for changing ownership of the existing facility. Note that pursuant to G.S. 131E-181(b): “A recipient of a certificate of need, or any person who may subsequently acquire, in any manner whatsoever permitted by law, the service for which that certificate of need was issued, is required to materially comply with the representations made in its application for that certificate of need.”

It should be noted that this Agency’s position is based solely on the facts represented by you and that any change in facts as represented would require further consideration by this Agency and a separate determination. If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,

Fatimah Wilson  
Project Analyst

Martha J. Frisone  
Interim Chief  
Certificate of Need Section

cc: Adult Care Licensure Section, DHSR  
Medical Facilities Planning Branch, DHSR

Certificate of Need Section  
www.ncdhhs.gov  
Telephone: 919-855-3873 • Fax: 919-733-8139  
Location: Edgerton Building • 809 Ruggles Drive • Raleigh, NC 27603  
Mailing Address: 2704 Mail Service Center •Raleigh, NC 27699-2704  
An Equal Opportunity/ Affirmative Action Employer
May 29, 2014

Martha Frisone- Interim Chief
2704 Mail Service Center
Raleigh, NC 27699-2704

Dear Ms. Frisone,

Mr. George Slay and Mrs. Phyllis Slay (Current Owners) and Lisa Matthews-Wigfall, owner of The 4C Company of North Carolina, LLC purchaser) is planning to acquire an adult care home from the current owners.

The purpose of this letter is to provide prior notice of this acquisition which is exempt from certificate of need (CON) review.

The purchaser seeks confirmation that it is permitted to acquire the facility as described without CON review, thus acquiring the facilities existing CON rights to own that existing health service facility as defined in the NC Gen. statute 184 (a) 8 with 12 adult care beds.

As of this day Phyllis and George Slay own the property and building comprising of the facility which is an existing adult family care home as defined in North Carolina Gen. statutes 184 (a) 8. The facility’s FID number 990802.

Under North Carolina law, a certificate of need is required only prior to offering or developing a new institutional health service. This project involves only the acquisition of an existing adult care home, which falls within the purview of the statutory definition of health service facility.

After acquisition, the new licensee will operate the facility as an adult care home. Furthermore, the purchasers acquisition of the facility does not entail the purchase of any major reviewable medical equipment what any per se reviewable equipment as defined in North Carolina Gen. statutes and likewise, transaction involves only the
acquisition of an existing health service facility, it is exempt from CON review.

Based on the foregoing information we hereby request that the agency confirmation that the proposal described above is exempt from CON in review. If you need additional information to consider this request please contact me as soon as possible thank you for your consideration of this request.

Best regards,

Lisa Matthews-Wigfall