STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION	(X3) DATE SURVEY COMPLETED
	HAL055011	A. BUILDING: _____ B. WING _____	05/11/2016

NAME OF PROVIDER OR SUPPLIER	STREET ADDRESS, CITY, STATE, ZIP CODE
CARILLON ASSISTED LIVING OF LINCOLNTON	440 SALEM CHURCH ROAD LINCOLNTON, NC 28092

(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE
D 000	Initial Comments The Adult Care Licensure Section and the Lincoln County Department of Social Services conducted an annual survey on May 10 - 11, 2016.	D 000		
D 079	10A NCAC 13F .0306(a)(5) Housekeeping and Furnishings 10A NCAC 13F .0306 Housekeeping and Furnishings (a) Adult care homes shall (5) be maintained in an uncluttered, clean and orderly manner, free of all obstructions and hazards; This Rule shall apply to new and existing facilities. This Rule is not met as evidenced by: TYPE B VIOLATION Based on observations and interviews, the facility failed to prevent the use of non-surge protected electric outlet adapters in 3 of 39 occupied resident rooms (Rooms A-1, A-9 and B-9). The findings are: Observation on 5/10/16 at 10:12AM of Room A-9 revealed: -A six plug electric outlet adapter, located in the outlet next to the bed, with 4 devices plugged into it. -One of the plugs was white and came from a surge protector, into which was plugged in a cell phone charger and an oil-filled space heater (the space heater was off). -One of the plugs was from a bedside table lamp (off).	D 079		

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D 079	Continued From page 1 -A black plug came from a small fan on the bedside table (off). -The source of the fourth plug (black in color) was not determined. Observation on 5/10/16 at 10:45AM of Room A-1 revealed: -A three outlet electric adapter behind a bedside stand with a table lamp plugged into it and turned on. Observation on 5/10/16 at 11:15AM of Room B-9 revealed: -A three outlet adapter plugged into an outlet near the resident's bed. -Into the adapter was plugged a night light, a humidifier and a hearing aid device. -The night light and humidifier were both turned on. -Into the free wall outlet was plugged a cell phone charger. Confidential interviews with one resident revealed a family member had brought in the electric outlet adapter she was using. Telephone interview with the Maintenance Director on 5/10/16 at 2:20PM revealed: -He had been in his position for three weeks. -He was shared between this facility and a "sister" facility, 2 days at one facility and 3 days at the other each week, alternating each week. -He did not provide any safety training to staff during orientation other than addressing fire drills, alarm resets, use of the wheelchair lift in the transport vehicle and maintaining a secured setting for the special care unit. -He would expect the Resident Care Director or Executive Assistant to report any safety concerns to him.	D 079		

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D 079	Continued From page 2 -The facility did not use outlet adapters and if anything was used, it should be a surge protector. -Residents and family members were told to not use outlet adapters and to only use surge protectors (he did not say who in the facility provided these instructions). -He was "pretty sure" Medication Aides, Personal Care Aides and Housekeepers knew to report the use of outlet adapters if they were found. Interviews with the Executive Director and the Director of Clinical Services on 5/10/16 at 4:00PM revealed: -Outlet adapters had to be "UL [Underwriter's Laboratory] approved" and extension cords were not permitted for use. -Not all residents tell them what they do in their rooms. -The facility has had lots of staff turnover and probably did not know the outlet adapters were considered hazards. Review of the most current fire inspection report for the facility dated 8/5/15 revealed: -A check mark next to "good" for the category of "interior of building/electrical." -A check mark next to the category of "proper use of extension cords." A Plan of Protection was provided on 5/10/16 and included: -All hazardous outlet adapters would be removed by facility management by the end of the day on 5/10/16. -Facility management would perform frequent inspections of all rooms to ensure no hazardous outlet adapters were present. -Facility staff would receive training on company policy regarding outlet adapters not to be allowed in the facility.	D 079		

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D 079	Continued From page 3 THE CORRECTION DATE FOR THIS TYPE B VIOLATION SHALL NOT EXCEED JUNE 25, 2016.	D 079		
D 108	10A NCAC 13F .0311(b)(2) Other Requirements 10A NCAC 13F .0311Other Requirements (b) There shall be a heating system sufficient to maintain 75 degrees F (24 degrees C) under winter design conditions. In addition, the following shall apply to heaters and cooking appliances. (2) Unvented fuel burning room heaters and portable electric heaters are prohibited. This rule apply to new and existing facilities. This Rule is not met as evidenced by: TYPE B VIOLATION Based on observation and interviews, the facility failed to prevent the use of space heaters in 14 of 39 occupied resident rooms (Rooms A-1, A-2, A-9, A-12, A-15, B-2, B-7, B-10, C-14, D-2, D-3, D-4, D-7 and D-15). The findings are: Observation on 5/10/16 at 10:20AM of Room A-12 (unoccupied) revealed an oil-filled radiator space heater, unplugged, sitting by the door. Observation on 5/10/16 at 10:40AM of Room A-2 revealed: -A small radiant space heater with a fan (off), sitting on top of the heater/air conditioning unit under the window. -This space heater was plugged into a green	D 108		

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D 108	Continued From page 4	D 108		

surge protector that was plugged directly into a wall outlet.
-A small desk lamp (also off) was plugged into the surge protector.

Observation on 5/10/16 at 10:45AM of Room A-1 revealed:
-A small radiant space heater with a fan (off), sitting on top of plastic drawers and plugged into a six outlet adapter with no surge protection.
-A small radiant space heater with a fan (off), sitting on the floor by the door.

Observation on 5/10/16 at 11:00AM of Room B-7 revealed an oil-filled radiator space heater in the room.

Observation on 5/10/16 at 11:30AM of Room C-14 on the special care unit revealed:
-An oil-filled radiator space heater on the floor near the bedroom door.
-It was plugged into an outlet and turned on.

An interview with the resident who occupied Room C-14 on 5/10/16 at 11:30AM revealed:
-He was oriented to person, place, and time.
-He had been waiting for staff to assist him with a bath and had the heater was on to warm the room.

Observation on 5/10/16 at 1:58PM of the Marketing Office revealed:
-Windows to the office permitted viewing of the office from the hallway.
-The office was not occupied.
-An oil-filled radiator space heater was visible inside the office by the door and unplugged.

Observation on 5/10/16 at 2:00PM of Room A-9 revealed:

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D 108	Continued From page 5 -A 6 plug electric outlet adapter, located in the outlet next to her bed. -One of the plugs into the adapter came from a surge protector, into which was plugged in a cell phone charger and an oil-filled radiator space heater (off). Observation on 5/10/16 at 2:05PM of Room A-15 revealed an oil-filled radiator space heater, plugged into the wall from under a desk (off). Observation on 5/10/16 at 2:15PM of Room B-2 revealed an oil-filled radiator space heater in the corner of the room (off). Observation on 5/10/16 at 2:20PM of Room B-10 revealed an oil-filled radiator space heater at the foot of the resident's bed, plugged in (off). Observation on 5/10/16 at 2:32PM of Room D-7 revealed an oil-filled radiator space heater in the bathroom, partially pushed under the vanity counter and not plugged in. Observation on 5/10/16 at 2:56PM of Room D-4 revealed an oil-filled radiator space heater, plugged in (off). Observation on 5/10/16 at 2:57PM of Room D-15 revealed an oil-filled radiator space heater behind a recliner and not plugged in. Observation on 5/10/16 at 2:58PM of Room D-3 revealed an oil-filled radiator space heater plugged in (off). Observation on 5/10/16 at 2:59PM of Room D-2 revealed an oil-filled radiator space heater plugged in (off).	D 108		

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D 108	Continued From page 6 Confidential interviews with 13 residents revealed: -Staff had provided one resident with a space heater but it had not been used recently. -In the winter, one resident used a space heater because the "thermostat" was broken in the wall heater/air conditioning unit, which he had spoken to the "Maintenance Man" concerning. -One family member was supposed to take back a space heater no longer being used. -One resident used her space heater when it was cold in the morning. -One resident stated the wall heater/air conditioning unit "doesn't work for heat," he used the space heater at night on a low setting, he shut it off every time he left his room and "I'm afraid the space heater might be a fire hazard." -One resident did not have a space heater currently in their room but used one during the winter. -One resident stated it "got pretty cold in the winter time" and "I don't think the window heater works anymore." -One resident "used recently" a space heater on cold days "just to knock the chill off." -One resident had a space heater before she got a new roommate, which was used in the winter, but staff took it away as the roommate thought the room was too warm. -One resident stated they did not use the space heater in their room but a roommate did use it. -One resident stated they used a space heater in the winter. -One resident stated they used a space heater to warm their room up, the room got chilly without it but they never left it on while they slept. -One resident used a space heater when it got cold, the window heating/air conditioning unit did not work and she wore a sweater "all the time."	D 108		

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D 108	Continued From page 7 Confidential interview with a Personal Care Aide on the Special Care Unit revealed: -Hazards in rooms included oxygen tubing, walkers and wheelchairs and blow dryers in bathrooms. -Concerning space heaters, "they [residents] have them, I think" and the one in Resident #3's room was probably "not safe." Confidential interview with a second Personal Care Aide on the Assisted Living side of the facility revealed she always made sure there was nothing near the residents heaters. Telephone interview with the Maintenance Director on 5/10/16 at 2:20PM revealed: -He had been in his position for three weeks. -He was shared between this facility and a "sister" facility, 2 days at one facility and 3 days at the other each week, alternating each week. -He did not provide any safety training to staff during orientation other than addressing fire drills, alarm resets, use of the wheelchair lift in the transport vehicle and maintaining a secured setting for the special care unit. -He would expect the Resident Care Director or Executive Assistant to report any safety concerns to him. -Space heaters could be used on the assisted living side of the facility but not in the special care unit. -Use of space heaters was determined based on the county the facility was located in. -The window heating/air conditioning units provided heat through hot water piped through them, which was shut off and turned on by a valve under each unit and done so seasonally. -The facility started in May to "turn over" the heating/air conditioning units from heat to air conditioning.	D 108		

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D 108	Continued From page 8 -When the heating/air conditioning units were "turned over" was based on resident preference. -Residents used space heaters at night because it was cooler then, but he was not sure if the heating/air conditioning units in these rooms had been turned over yet. Interview with the Executive Director and the Director of Clinical Services on 5/10/16 at 4:00PM revealed: -The facility had been open since 2008 and there was not a written policy regarding the use of space heaters. -The heating/air conditioning units in the rooms did not put out sufficient heat during cold periods and the facility obtained the safest space heaters. -Only oil-filled space heaters were used. Review of the most current fire inspection report for the facility dated 8/5/15 revealed no check mark next to the category of "portable unvented heaters." Attempted telephone interview with the Fire Marshall on 5/11/16 at 12:20PM was unsuccessful. A Plan of Protection was provided on 5/10/16 and included: -All space heaters would be removed by facility management by the end of the day on 5/10/16. -Facility management would perform frequent inspections of all rooms to ensure no space heaters were present. -Facility staff would receive training on company policy regarding space heaters not to be allowed in the facility. THE CORRECTION DATE FOR THIS TYPE B VIOLATION SHALL NOT EXCEED JUNE 25,	D 108		

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D 108	Continued From page 9 2016.	D 108		
D912	G.S. 131D-21(2) Declaration of Residents' Rights G.S. 131D-21 Declaration of Residents' Rights Every resident shall have the following rights: 2. To receive care and services which are adequate, appropriate, and in compliance with relevant federal and state laws and rules and regulations. This Rule is not met as evidenced by: Based on observation, interview and record review, the facility failed to assure residents received care and services that are adequate, appropriate and in compliance with federal and state laws and rules and regulations related to housekeeping and furnishings (use of hazardous non-surge protected electric outlet adapters) and other requirements (use of space heaters which was not permitted). The findings are: 1. Based on observations and interviews, the facility failed to prevent the use of non-surge protected electric outlet adapters in 3 of 39 occupied resident rooms (Rooms A-1, A-9 and B-9)[Refer to Tag 079, 10A NCAC 13F .0306(a)(5), Housekeeping and Furnishings (Type B Violation)]. 2. Based on observation and interviews, the facility failed to prevent the use of space heaters in 14 of 39 occupied resident rooms (Rooms A-1, A-2, A-9, A-12, A-15, B-2, B-7, B-10, C-14, D-2, D-3, D-4, D-7 and D-15)[Refer to Tag 108, 10A	D912		

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D912	Continued From page 10 NCAC 13F .0311(b)(2), Other Requirements (Type B Violation)].	D912		