STATEMENT OF DEFICIENCIES		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		(X2) MULTIPLE CONSTRUCTION		(X3) DATE SURVEY COMPLETED	
	OF CORRECTION	IDENTIFICATION NUMBER.	A. BUILDING:	A. BUILDING:			
		HAL014014	B. WING			R 12/31/2015	
IAME OF F	PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY, ST	TATE, ZIP CODE			
BROCKF	ORD INN		HLAND AVEN E FALLS, NC 2				
(X4) ID	SUMMARY STA	TEMENT OF DEFICIENCIES		PROVIDER'S PLAN OF	CORRECTION	(X5)	
PREFIX TAG	(EACH DEFICIENCY	Y MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	PREFIX TAG	(EACH CORRECTIVE ACT CROSS-REFERENCED TO T DEFICIENC	ION SHOULD BE THE APPROPRIATE	COMPLET	
D 000	Initial Comments		D 000				
	Caldwell County De conducted an annu complaint investiga 2015. The Caldwell	ensure Section and the epartment of Social Services al and follow-up survey and a tion on December 29-31, County DSS initiated the tion on November 6, 2015.					
D 083	10A NCAC 13F .03 Furnishings	06(a)(9) Housekeeping And	D 083				
	Furnishings (a) Adult care home (9) have curtains, d in resident use area privacy;	06 Housekeeping And e shall: raperies or blinds at windows as to provide for resident ly to new and existing					
	failed to have windo resident privacy in §	et as evidenced by: ons and interviews, the facility ow coverings to provide for 5 of 15 resident rooms (Room 809, and 316) on the Special	,				
	The findings are:						
	from 10:45am throu -Residents were res -All windows panes colored coating ove -There were no win rooms 303, 304, 30	in the SCU had a tinted or them. dow coverings in resident 9, and 316. S had a set of see through					
	Interview with the A	dministrator in Charge (AIC)					

STATEMEN	of Health Service Re NT OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		CONSTRUCTION		E SURVEY IPLETED	
		HAL014014	B. WING		12	R 12/31/2015	
NAME OF	PROVIDER OR SUPPLIER	STREET AD	DRESS, CITY, ST	ATE, ZIP CODE			
BROCK	FORD INN		HLAND AVENU				
			FALLS, NC 2	PROVIDER'S PLAN OF		(XE)	
(X4) ID PREFIX TAG	(EACH DEFICIENCY	MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	PREFIX TAG	(EACH CORRECTIVE AC CROSS-REFERENCED TO DEFICIENC	TION SHOULD BE THE APPROPRIATE	(X5) COMPLET DATE	
D 083	Continued From pa	ge 1	D 083				
	-It was difficult to ke the SCU because re -The former Admini SCU tinted "a long to date). -She was not aware rooms with tinted w Maintenance staff a observations (from looking inside the w 2015 at 11:30am. T residents in all five Confidential intervie revealed they were not having curtains	015 at 11:00am revealed: eep curtains on the windows in esidents pulled them down. strator had the windows in the time ago" (unsure of exact e anyone could see inside the indows from the outside. attended the surveyor during the exterior of the facility, rindows) on December 31, he furnishings and/or rooms were clearly visible. ews with family members concerned about the windows and had been told (by acility would not buy curtains					
D 176	Facilities 10A NCAC 13F .06 (a) An adult care h responsible for the home and shall also Division of Health S county department and maintaining the The co-administrate share equal respon for the operation of and maintaining the The term administrate	01 (a) Management Of 01Management Of Facilites ome administrator shall be total operation of an adult care o be responsible to the service Regulation and the of social services for meeting e rules of this Subchapter. or, when there is one, shall sibility with the administrator the home and for meeting e rules of this Subchapter. ator also refers to here it is used in this	D 176				

	T OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		CONSTRUCTION		E SURVEY PLETED
	OF CONTRECTION	IDENTIFICATION NOMBER.	A. BUILDING:	······		
		HAL014014	.014014 B. WING			
IAME OF F	PROVIDER OR SUPPLIER	STREET AI	DDRESS, CITY, ST	TATE, ZIP CODE		
BROCKF	ORD INN		HLAND AVEN E FALLS, NC 2	-		
			-	PROVIDER'S PLAN OF	CORRECTION	()(5)
(X4) ID PREFIX TAG	(EACH DEFICIENCY	Y MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	(EACH CORRECTIVE ACT CROSS-REFERENCED TO T DEFICIENC	ION SHOULD BE THE APPROPRIATE	(X5) COMPLET DATE
D 176	Continued From pa	ge 2	D 176			
	This Rule is not met as evidenced by: FOLLOW-UP TO TYPE A2 VIOLATION					
		dings, the previous Type A2 d, non-compliance continues.				
	THIS IS A TYPE B	VIOLATION				
	review, the Adminis operation of the fac	ons, interviews, and record trator failed to ensure the total ility met and maintained rules nent of the facility, health care and resident rights.				
	The findings are:					
	12/31/15 at 3:30pm	dministrator-in-charge on revealed the Administrator y with the facility and attended etings.				
	12/29/31 for a staff entered the facility.	vas present at the facility on meeting when the surveyors The staff meeting was then e survey. The Administrator ring the survey.				
	Areas of non-comp survey were:	liance identified during the				
	facility failed to have for resident privacy Special Care Unit (\$	vations and interviews, the e window coverings to provide in five resident rooms on the SCU). [Refer to Tag D083 10A)(9) Housekeeping and				
	B. Based on observ	ations, record reviews, and				

If continuation sheet 3 of 19

STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA ND PLAN OF CORRECTION IDENTIFICATION NUMBER:		(X1) PROVIDER/SUPPLIER/CLIA		CONSTRUCTION	(X3) DATE SURVEY COMPLETED	
		IDENTIFICATION NOMBER.	A. BUILDING:			
		HAL014014	B. WING	B. WING		R 31/2015
IAME OF P	ROVIDER OR SUPPLIER	STREET AD	DRESS, CITY, ST	ATE, ZIP CODE		
BROCKF	ORD INN		HLAND AVENU FALLS, NC 2			
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF (EACH CORRECTIVE ACT CROSS-REFERENCED TO DEFICIENC	FION SHOULD BE THE APPROPRIATE	(X5) COMPLET DATE
D 176	Continued From page	ge 3	D 176			
	by not investigating unknown source (sł resident (#11), and abuse of a resident facility did not repor Registry. [Refer to 7 .1205 Health Care F UNABATED TYPE I C. Based observation reviews, the facility residing in the facility abuse as evidenced (Residents #8, #9, at	B VIOLATIONJ. ons, interviews and record failed to ensure residents ty were free from mental d by staff (Staff G) to resident and #10) verbal abuse. [Refer AC 13F .0909 Resident				
	following plan of pro- The Administrator a Coordinator immedi with each staff mem or staff concerns re facility. -The Resident Care each shift Monday t					
	THE DATE OF COP B VIOLATION SHA FEBRUARY 14, 20					
D 338	10A NCAC 13F .09	09 Resident Rights	D 338			
	10A NCAC 13F .090 An adult care home	09 Resident Rights shall assure that the rights of				

STATEMEN	of Health Service Re	(X1) PROVIDER/SUPPLIER/CLIA	(X2) MULTIPLE	CONSTRUCTION		
AND PLAN	OF CORRECTION	IDENTIFICATION NUMBER:	A. BUILDING:	A. BUILDING:		PLETED
		HAL014014	B. WING	R 12/31/2015		
NAME OF I	PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY, ST	TATE, ZIP CODE		
BROCKE			HLAND AVEN	-		
Bitteria		GRANIT	E FALLS, NC 2	28630		
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF (EACH CORRECTIVE ACT CROSS-REFERENCED TO T DEFICIENC	ION SHOULD BE THE APPROPRIATE	(X5) COMPLET DATE
D 338	Continued From page	ge 4	D 338			
	all residents guaranteed under G.S. 131D-21, Declaration of Residents' Rights, are maintained and may be exercised without hindrance.					
	This Rule is not met as evidenced by: TYPE B VIOLATION					
	reviews, the facility residing in the facilit abuse as evidenced	s, interviews and record failed to ensure residents ty were free from mental d by staff (Staff G) to resident and #10) verbal abuse.				
	The findings are:					
	10/6/15 revealed: -Diagnoses include	ent #8's current FL2 dated d Alzheimers's Dementia. ulatory with cane as an				
		ial Care Unit profile dated he degree of cognitive oderate".				
	Administrator-in-cha -The 11/03/15 incide Care Aide (PCA) tol her down if she did the Health Care Per required 24 hours. -The Health Care P completed on 12/9/ Home Specialist vis	ent, where Staff G, Personal Id Resident #8 he would push not move, was not reported to rsonnel Registry within the ersonnel Registry Report was 15 in response to the Adult)			
		lity's Health Care Personnel				

	NT OF DEFICIENCIES	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:		CONSTRUCTION		E SURVEY PLETED	
			A. BUILDING:				
		HAL014014	B. WING			R 12/31/2015	
NAME OF	PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY, ST	TATE, ZIP CODE			
BROCKI	FORD INN		BHLAND AVEN E FALLS, NC 2				
(X4) ID		TEMENT OF DEFICIENCIES	ID	PROVIDER'S PLAN OF	CORRECTION	(X5)	
PRÉFIX TAG		/ MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	PREFIX TAG	(EACH CORRECTIVE ACT CROSS-REFERENCED TO T DEFICIENC	THE APPROPRIATE	COMPLET DATE	
D 338	Continued From pa	ge 5	D 338				
	Medication Aide / S regarding the 11/3/ -They were working Care Unit when the statement to Reside gonna knock you de -"If this was heard of thought he was bein -They reported the Administrator-in-cha -Staff G was sent h that and and incider -"His tone of voice i night." Interview with Staff 12:40pm revealed S #8, but could not giv Interview with the A 12/8/15 at 4:00pm a -On 11/3/15 Staff I, the special care uni -While removing for say to Resident #8 down." -Staff I, reported the Administrator-in-cha -"I interviewed Staff rest of the shift becc Refer to interview w 12:40pm.	but of context, you would have ng mean to the resident." incident to the arge. ome that night "probably for nt with an employee." is what got him in trouble that E, PCA on 12/4/15 at Staff G did not like Resident ve any specifics. dministrator-in-charge on and 5:15pm revealed: was working with Staff G in it. od trays, Staff I, heard Staff G "Move or I'm gonna knock you e incident to the	1				
	Refer to confidentia member on 12/8/15	al interview with a family 5 at 3:15pm.					

STATE FORM

STATEMEN	of Health Service Re TOF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	. ,	CONSTRUCTION		E SURVEY PLETED
			A. BUILDING:		R	
		HAL014014			12/31/2015	
NAME OF I	PROVIDER OR SUPPLIER		DDRESS, CITY, S			
BROCKE	FORD INN		HLAND AVEN E FALLS, NC			
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF ((EACH CORRECTIVE ACTI CROSS-REFERENCED TO T DEFICIENC'	ON SHOULD BE HE APPROPRIATE	(X5) COMPLET DATE
D 338	Continued From pa	ge 6	D 338			
	Refer to confidentia at 3:25pm.	Refer to confidential resident interview on 12/8/15 at 3:25pm.				
	Refer to interview on 12/8/15 at 3:38pm with Staff G.		f			
	Refer to interview on 12/8/15 at 4:10pm with Staff H.		f			
	 B. Review of Resident #9's current FL2 dated 10/6/15 revealed diagnoses included Alzheimers's Dementia. 					
	revealed: -The Resident requ for ambulation. -The Resident was -The Resident had must be redirected. -The Resident requ ambulation.	significant loss of memory and				
	10/20/15 revealed t	ial Care Unit profile dated he degree of cognitive soriented constantly".				
		E, PCA on 12/4/15 at on one occasion Staff G told ak your legs".				
		D, PCA on 12/8/15 at 9:51am I Staff G tell Resident #9 "I'll				
		5 at 4:10pm with Staff H, PCA I Staff G tell Resident #9 "If				

	NT OF DEFICIENCIES	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	• •	CONSTRUCTION		E SURVEY PLETED	
				A. BUILDING:		R	
		HAL014014	B. WING		12/	12/31/2015	
NAME OF I	PROVIDER OR SUPPLIER		DDRESS, CITY, ST				
BROCKF	FORD INN		GHLAND AVENU E FALLS, NC 2	-			
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF C (EACH CORRECTIVE ACTION CROSS-REFERENCED TO TH DEFICIENCY	ON SHOULD BE HE APPROPRIATE	(X5) COMPLET DATE	
D 338	Continued From pa	ge 7	D 338				
	you keep on, I'll break both of your legs."						
	Refer to interview with Staff E on 12/4/15 at 12:40pm.						
	Refer to interview w 9:51am.	vith Staff D on 12/8/15 at					
	Refer to confidentia member on 12/8/15	ll interview with a family at 3:15pm.					
	Refer to confidentia at 3:25pm.	Il resident interview on 12/8/1	5				
	Refer to interview o G.	n 12/8/15 at 3:38pm with Staf	f				
	Refer to interview o	n 12/8/15 at 4:10pm with Staf	f				
	9/3/15 revealed:	ent #10's current FL2 dated					
	-A history of wande	d Alzheimers's Dementia. ring. ambulatory without assistive					
	devices. -The resident was a	-					
		ial Care Unit profile dated e degree of cognitive oderate".					
		E, PCA on 12/4/15 at Staff G called Resident #10 a her face.					
	Refer to interview w 12:40pm.	/ith Staff E on 12/4/15 at					
	Refer to interview w	vith Staff D on 12/8/15 at					

Division	of Health Service Re	gulation				
	IT OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:			(X3) DATE S COMPL	
		HAL014014	B. WING		R 12/3 1	1/2015
NAME OF F	PROVIDER OR SUPPLIER	STREET ADI	DRESS, CITY, S	STATE, ZIP CODE		
BROCKE			ILAND AVEN			
		GRANITE	FALLS, NC	28630		
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOUL CROSS-REFERENCED TO THE APPRO DEFICIENCY)	D BE	(X5) COMPLETE DATE
D 338	Continued From pa	ge 8	D 338			
	9:51am.					
	Refer to confidentia member on 12/8/15	l interview with a family at 3:15pm.				
	Refer to confidentia at 3:25pm.	l resident interview on 12/8/15				
	Refer to interview o G.	n 12/8/15 at 3:38pm with Staff				
	Refer to interview o H.	n 12/8/15 at 4:10pm with Staff				
	12:40pm revealed: -Staff G was "rude f -"[Staff G] had been days". -"[Staff G] had been Interview with Staff revealed: -Staff G got aggrave residents who were	E, PCA on 12/4/15 at to the residents and staff". In suspended for a couple of in suspended 3 times". D, PCA on 12/8/15 at 9:51am ated with everyone but the "bed bound" because they				
	were not up moving -Staff G was "disres residents and make -Staff G had "been	spectful and mocks the s fun of them".				
		iew with a family member on revealed "[Staff G] has a tone				
	3:25pm revealed "I	ent interview on 12/8/15 at have witnessed him [Staff G] buld not give any specifics.				

				CONSTRUCTION	(X3) DATE SURVEY COMPLETED		
		HAL014014	B. WING			R 12/31/2015	
NAME OF	PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY, ST	TATE, ZIP CODE			
BROCKI	Ford INN		HLAND AVENU E FALLS, NC 2				
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES ' MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF (EACH CORRECTIVE ACT CROSS-REFERENCED TO T DEFICIENC	ION SHOULD BE THE APPROPRIATE	(X5) COMPLET DATE	
D 338	Continued From pa	ge 9	D 338				
D 438	Interview on 12/8/14 revealed: -He was not aware talking disrespectfu -He stated "I get ald Interview on 12/8/14 revealed: -Staff G was not res -Staff G "gets in the -Staff G "Talks disre -The facility provided protection on 12/8/1 -Suspended accuse -Immediately comp day report. -Provide monthly tra -Supervisor will do to ensure resident r residents are being respect. THE PLAN OF COI TYPE B VIOLATION 10A NCAC 13F .12 Registry The facility shall co	5 at 3:38pm with Staff G, PCA of any allegations regarding I towards the residents. ong with everybody". 5 at 4:10pm with Staff H, PCA spectful to staff or residents. e residents faces". espectful to the residents". 					

	IT OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	· /	CONSTRUCTION		E SURVEY PLETED
			A. BUILDING:			D
		HAL014014	B. WING		R 12/31/2015	
NAME OF I	PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY, S	TATE, ZIP CODE		
BROCKE	ORD INN		GHLAND AVEN E FALLS, NC			
(X4) ID	SUMMARY STA	TEMENT OF DEFICIENCIES	ID	PROVIDER'S PLAN OF	CORRECTION	(X5)
PRÉFIX TAG		/ MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	PREFIX TAG	(EACH CORRECTIVE ACT CROSS-REFERENCED TO DEFICIENC	THE APPROPRIATE	COMPLE DATE
D 438	Continued From pa	ge 10	D 438			
	This Rule is not met as evidenced by: FOLLOW-UP TO A TYPE B VIOLATION.					
	Based on these find was unabated.	dings, the Type B Violation				
	interviews, the facil by not investigating unknown source (s resident (#11), and abuse of a resident	ons, record reviews, and ity failed to protect residents allegations for injury of houlder dislocation) for 1 upon investigation of verbal (#8) by a staff member the t to the Health Care Personne	91			
	The findings are:					
	10/6/15 revealed: -Diagnoses include	ent #8's current FL2 dated d Alzheimer's Dementia. ulatory with the assistance of				
		ial Care Unit Profile dated he degree of cognitive oderate".				
	Personal Care Aide -Staff G was "Rude -Staff G, did not like -"I overheard, [Staff	to the residents and staff".				
	revealed another st	D, PCA on 12/7/15 at 8:59am aff member reported Staff G in Charge because of what e female residents.				

Division	of Health Service Re	equiation			FORM	APPROVED
STATEMEN	IT OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:				E SURVEY PLETED
		HAL014014	B. WING		R 12/31/2015	
NAME OF F	PROVIDER OR SUPPLIER	STREET AD	DRESS, CITY, S	TATE, ZIP CODE		
PROCKE		56 N HIG	HLAND AVEN	UE		
BRUCKF		GRANITE	FALLS, NC	28630		
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES / MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF ((EACH CORRECTIVE ACTI CROSS-REFERENCED TO TI DEFICIENC\	ION SHOULD BE HE APPROPRIATE	(X5) COMPLETE DATE
D 438	Continued From pa	ge 11	D 438			
	Interview on 12/8/14 revealed: -He was not aware the residents. -He stated "I get ald Interview with the A 12/8/15 at 4:00pm a -On 11/3/15 Staff I, the special care uni -While removing for say to Resident #8 down." -Staff I, reported the Administrator-in-Ch -"I interviewed Staff rest of the shift bec Interview with the A 12/9/15 at 9:22am r -The incident on 11 Health Care Persor required 24 hours. -She did not report Personnel Registry investigation she de directly mean to say resident "It was tak -The Health Care P completed on 12/9/ -Staff G was suspe outcome of the faci Interview on 12/30/ Medication Aide / S regarding the 11/3/ -They were working	5 at 3:38pm with Staff G he was talking disrespectful to ong with everybody." dministrator-in-Charge on and 5:15pm revealed: was working with Staff G in it. od trays, Staff I, heard Staff G "Move or I'm gonna knock you e incident to the arge. G and suspended him for the ause of his attitude." dministrator-in-Charge on revealed: /3/15 was not reported to the nnel Registry within the the incident to the Health Care because after her etermined Staff G did not y anything negative to the en out of context". ersonnel Registry Report was 15. nded on 12/8/15 pending				
ivision of L	statement to Reside gonna knock you de ealth Service Regulation	ent #8 "If you don't move I'm own."				

STATEMENT OF DEFICIENCIES(X1) PROVIDER/SUPPLIER/CLIAAND PLAN OF CORRECTIONIDENTIFICATION NUMBER:		(X2) MULTIPLE CONSTRUCTION A. BUILDING:		(X3) DATE SURVEY COMPLETED	
	A. BUILDING:	A. BUILDING:			
HAL014014	B. WING			R 31/2015	
E OF PROVIDER OR SUPPLIER STRE	ET ADDRESS, CITY, S	TATE, ZIP CODE			
	HIGHLAND AVEN				
) ID SUMMARY STATEMENT OF DEFICIENCIES		PROVIDER'S PLAN OF	CORRECTION	(X5)	
ÉFIX (EACH DEFICIENCY MUST BE PRECEDED BY FULL AG REGULATORY OR LSC IDENTIFYING INFORMATION)	PREFIX TAG	(EACH CORRECTIVE AC CROSS-REFERENCED TO DEFICIENC	THE APPROPRIATE	COMPLET DATE	
438 Continued From page 12	D 438				
-"If this was heard out of context, you would h thought he was being mean to the resident." -They reported the incident to the Administrator-in-Charge. -Staff G was sent home that night "probably for that and and incident with an employee." -"His tone of voice is what got him in trouble the night."	or				
Review of the facility's Health Care Personnel Registry Policy revealed: "Employee will be checked with Health Care Registry prior to employment. If employee is hired, employee is report to supervisor in charge any complaint of allegation of any kind. Supervisor is to report to nursing supervisor and administration. Administration is to complete a 24-hour HCPF report and a 5-day investigation. If findings are found to be true the employee will be terminat and not eligible for rehire."	s to or to २ e				
Review of the facility's Health Care Personnel Registry Investigation, received on 12/14/15 revealed the facility's Health Care Personnel Registry investigation was completed on 12/14 and, Staff G, was terminated on 12/11/15.					
 B. Review of Resident #11's current FL2 dates 8/21/15 revealed: Diagnoses of Alzheimers Disease, degeneration joint disease, chronic kidney disease and Azotemia (Elevated blood urea nitrogen and serum creatine levels. An admission date of 8/27/08. Resident was disoriented. A recommended placement of special care unit-assisted living. Assistive device: Cane. 					
Review of an incident report dated 12/24/15 a	+				

	IT OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:		(X3) DATE SURVEY COMPLETED	
				A. BUILDING.		R
		HAL014014	B. WING			31/2015
NAME OF I	PROVIDER OR SUPPLIER	STREET AD	DRESS, CITY, S	TATE, ZIP CODE		
BROCKF	ORD INN		HLAND AVEN FALLS, NC 2			
(X4) ID		TEMENT OF DEFICIENCIES	ID	PROVIDER'S PLAN OF		(X5)
PREFIX TAG		/ MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	PREFIX TAG	(EACH CORRECTIVE ACT CROSS-REFERENCED TO T DEFICIENC	HE APPROPRIATE	COMPLET DATE
D 438	Continued From pa	ige 13	D 438			
	"Describe Incident"	12:01am provided by the facility revealed under "Describe Incident" Resident was getting up out of chair, went to turn around and tripped over cane and fell.				
	Medication Aide on 12/31/15 at 8:00am -She completed the #11 dated 12/24/15 -She notified the re- before she got off w -She received a cal B, Personal Care A found on the floor ir -No one had seen F -When she arrived resident's chair was where it normally w was laying to the sid laying in the floor. -"It looked like she to the bathroom and -She checked the re- that she had no inju- -Resident #11 did no pain or discomfort. -She "Assumed" Re- way the room looked in the floor".	e incident report on Resident at 12:01am. sident's responsible person vork at 7:00am. Il around 12:00am from Staff ide that Resident #11 was n her room. Resident #11 fall. at the resident's room the s pushed to the side from as located, the resident's cane de, and Resident #11 was [Resident #11] was trying to go d fell."				
	oncoming shift. Attempted Interviev	ift and reported to the v on 12/31/15 at 9:00am and B, PCA during the survey was				
	Continued review o dated 12/24/15 reve ealth Service Regulation	f the same incident report ealed:				

Division	of Health Service Re	equiation			FORM	IAPPROVED
STATEMEN	NT OF DEFICIENCIES OF CORRECTION	(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE A. BUILDING:			E SURVEY PLETED
		HAL014014	B. WING		R 31/2015	
NAME OF I	PROVIDER OR SUPPLIER	STREET AI	DDRESS, CITY, S	TATE, ZIP CODE		
BROCKE	ORD INN		HLAND AVEN			
			E FALLS, NC			
(X4) ID PREFIX TAG	(EACH DEFICIENC)	TEMENT OF DEFICIENCIES / MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF C (EACH CORRECTIVE ACTI CROSS-REFERENCED TO TI DEFICIENC)	ON SHOULD BE HE APPROPRIATE	(X5) COMPLETE DATE
D 438	Continued From pa	ge 14	D 438			
	-X-ray of right shoulder (which was completed on 12/26/15). -Intervention of "encourage proper use of cane".					
		-Physician and on-call facility staff notified.				
	Interview with the Resident Care Coordinator on 12/30/15 at 11:10am and 12/31/15 at 2:25pm revealed:					
	-She was on call fo -She did remember Resident #11 from Medication Aide, bu time she received to 12/26/15. -Staff C told her that	r the facility over Christmas. getting a call in regards to Staff C, Supervisor in Charge / it could not recall the exact he call, but the date was t Resident #11 was pain in her right arm and her	,			
	right hand was swo -She told Staff C to	llen. call the on-call physician.				
	physician for the fa	an order from the on-call cility dated 12/26/15 revealed esident #11 to have an x-ray r.				
	who provided the fa -They were notified 5:09am.	ogy Report from the company acility x-ray revealed: of the x-ray on 12/26/15 at				
	12/26/15 at 6:30am -The Radiologist re 8:20am.	ad the x-ray on 12/26/15 at				
	-Conclusion: Anteri	or shoulder dislocation.				
	revealed hand writt follow-up appointme 12/28/15 related to	f the same Radiology Report en documentation of a ent with "Orthopedics" on the x-ray results, and an Orthopedics" on 12/31/15 at				

Division of Health Service Regulation STATE FORM

		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER:	(X2) MULTIPLE CONSTRUCTION A. BUILDING:			E SURVEY PLETED
		HAL014014	B. WING			R 31/2015
NAME OF F	PROVIDER OR SUPPLIER	1	DDRESS, CITY, ST	TATE, ZIP CODE		0 11 20 10
BROCKE	ORD INN		HLAND AVEN			
			E FALLS, NC 2			
(X4) ID PREFIX TAG	(EACH DEFICIENC)	TEMENT OF DEFICIENCIES / MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF (EACH CORRECTIVE AC' CROSS-REFERENCED TO DEFICIENC	TION SHOULD BE THE APPROPRIATE	(X5) COMPLET DATE
D 438	Continued From pa	ge 15	D 438			
		v on 12/31/15 at 11:15am with n who ordered the x-ray was				
	Review of documentation of the orthopaedic consult dated 12/31/15 revealed under findings "Anterior inferior dislocation of humeral head without fx in osteopenic bone (A condition which the bone has a mineral density that is lower than normal) with metallic clothing fasteners."					
	following plan of pro- The Administrator Coordinator immed with each staff mer or staff concerns re- injury of unknown s -The Administrator incidents of injury of neglect. -The Administrator with all staff to disc importance of repo- (physical and verba- -Reexamine shift cl	in Charge and Resident Care iately made rounds and spoke nber to address any resident, lated to abuse, neglect, or				
		OVIDED THE PLAN OF TE FOR THE UNABATED B NUARY 15, 2015.				
D911	G.S. 131D-21(1) D	eclaration of Residents' Rights	D911			
	G.S. 131D-21 Dec	laration of Resident's Rights				

	of Health Service Re	(X1) PROVIDER/SUPPLIER/CLIA	(X2) MULTIPLE	CONSTRUCTION		E SURVEY
			A. BUILDING:		PLETED	
		HAL014014	B. WING	B. WING		
NAME OF	PROVIDER OR SUPPLIER	STREET A	DDRESS, CITY, ST	TATE, ZIP CODE		
BROCK	FORD INN			-		
			E FALLS, NC 2			
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES	ID PREFIX TAG	PROVIDER'S PLAN OF ((EACH CORRECTIVE ACTI CROSS-REFERENCED TO T DEFICIENC'	ON SHOULD BE HE APPROPRIATE	(X5) COMPLET DATE
D911	Continued From pa	ge 16	D911			
	1. To be treated wi	I have the following rights: th respect, consideration, ognition of his or her ht to privacy.				
	This Rule is not met as evidenced by: Based on observations and interviews, the facility to treat residents in the Special Care Unit (SCU) with the right to privacy by not providing window coverings in 5 of 15 rooms.					
	The findings are:					
	failed to have windo resident privacy in 5 #'s 303, 304, 306, 3 Care Unit (SCU).	ons and interviews, the facility by coverings to provide for 5 of 15 resident rooms (Room 309, and 316) on the Special 10A NCAC 13F .0306(a)(9) Furnishings.)]				
D912	G.S. 131D-21(2) De	eclaration of Residents' Rights	D912			
	Every resident shal 2. To receive care adequate, appropria	laration of Residents' Rights I have the following rights: and services which are ate, and in compliance with d state laws and rules and				
	interviews, the facili were free from neg investigate allegation	et as evidenced by: ons, record reviews, and ity failed to ensure residents lect related to failure to ons of injury of and unknown shoulder, and verbal abuse of				

Division of Health Service Regulation STATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA		(X2) MULTIPLE	CONSTRUCTION	(X3) DATE	E SURVEY	
AND PLAN OF CORRECTION		IDENTIFICATION NUMBER:	A. BUILDING:			PLETED
						R
		HAL014014	B. WING		12/	31/2015
NAME OF I	PROVIDER OR SUPPLIER	STREET AI	DDRESS, CITY, ST	TATE, ZIP CODE		
BROCKE	FORD INN		HLAND AVEN E FALLS, NC 2			
(X4) ID	SUMMARY STA		ID	PROVIDER'S PLAN OF (CORRECTION	(X5)
PREFIX TAG	(EACH DEFICIENCY	MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	PREFIX TAG	(EACH CORRECTIVE ACT CROSS-REFERENCED TO T DEFICIENC	ION SHOULD BE HE APPROPRIATE	COMPLET DATE
D912	Continued From pa	ge 17	D912			
	a resident by a form to Health Care Pers	ner staff member, and report sonnel Registry.				
	The findings are:					
	A. Based on observations and interviews, the facility failed to have window coverings to provide for resident privacy in 5 of 15 resident rooms (Room #'s 303, 304, 306, 309, and 316) on the Special Care Unit (SCU). [Refer to Tag D083 10A NCAC 13F .0306(a)(9) Housekeeping and Furnishings.]					
	review, the Adminis operation of the fac related to managen Personnel Registry	vations, interviews, and record trator failed to assure the total ility met and maintained rules nent of the facility, Health Care , and resident rights. [Refer to & 13F .0601(a) Management & VIOLATION].	9			
	interviews, the facili by not investigating unknown source (sl resident (#11), and abuse of a resident facility did not repor	3 ,	4			
	reviews, the facility residing in the facili abuse as evidenced (Residents #8, #9, a	ons, interviews and record failed to ensure residents ty were free from mental d by staff (Staff G) to resident and #10) verbal abuse. [Refer AC 13F .0909 Resident Rights N].				

TATEMENT OF DEFICIENCIES (X1) PROVIDER/SUPPLIER/CLIA ND PLAN OF CORRECTION IDENTIFICATION NUMBER:		(X2) MULTIPLE CONSTRUCTION		(X3) DATE SURVEY COMPLETED			
AND PLAN	OF CORRECTION	IDENTIFICATION NUMBER.	A. BUILDING:				
		HAL014014	B. WING			R 31/2015	
NAME OF F	PROVIDER OR SUPPLIER	STREET AD	ADDRESS, CITY, STATE, ZIP CODE				
BROCKF	ORD INN		HLAND AVEN FALLS, NC 2				
(X4) ID PREFIX TAG	(EACH DEFICIENCY	TEMENT OF DEFICIENCIES Y MUST BE PRECEDED BY FULL SC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF (EACH CORRECTIVE AC CROSS-REFERENCED TO DEFICIENC	TION SHOULD BE THE APPROPRIATE	(X5) COMPLET DATE	
D914	Continued From pa	ge 18	D914				
D914	G.S. 131D-21(4) De	eclaration of Residents' Rights	D914				
	 G.S. 131D-21 Declaration of Residents' Rights Every resident shall have the following rights: 4. To be free of mental and physical abuse, neglect, and exploitation. This Rule is not met as evidenced by: Based on observation, interview and record review, the facility failed to assure residents were free of mental abuse, from staff (Staff G) to resident verbal abuse (Residents #8, #9 and #10). 						
	The findings are:						
	reviews, the facility residing in the facili abuse as evidenced (Residents #8, #9, a	s, interviews and record failed to ensure residents ty were free from mental d by staff (Staff G) to resident and #10) verbal abuse. [Refer AC 13F .0909 Resident Rights N].					