

1 10A NCAC 13J .1202 is readopted with changes as published in 31:24 NCR 2442-2448 as follows:

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3 **10A NCAC 13J .1202 CASE REVIEW AND PLAN OF CARE**

4 (a) The plan of care shall be established in collaboration with the client and incorporated in the service record. The
5 plan of care ~~must~~ shall be reviewed ~~at least every three months~~ quarterly by the ~~appropriate agency professional health~~
6 ~~care practitioner~~ and revised as needed based on the client's needs. If the client record is thinned, the original and
7 updated authorization or orders for care ~~as appropriate~~ shall be maintained in the client's ~~current~~ record. All records
8 shall be ~~readily~~ available to Department staff for review if requested. [If physician orders are needed for the services,]
9 ~~a home care health professional~~ [The] the health care practitioner shall notify the physician of any changes in the
10 client's condition ~~which~~ that indicates the need for altering the plan of care or for terminating services. Based upon
11 the findings of the client assessment, the plan of care shall include ~~at a minimum~~ the following:

- 12 (1) type of service(s) and care to be delivered;
- 13 (2) frequency and duration of service;
- 14 (3) activity restrictions;
- 15 (4) safety measures; and
- 16 (5) service objectives and goals.

17 (b) Where applicable, the plan of care shall ~~include, but is not limited to:~~ include:

- 18 (1) equipment required;
- 19 (2) functional limitations;
- 20 (3) rehabilitation potential;
- 21 (4) diet and nutritional needs;
- 22 (5) medications and treatments;
- 23 (6) specific therapies;
- 24 (7) pertinent diagnoses; and
- 25 (8) prognosis.

26 ~~(c) So long as ongoing hands on care is being provided to a client, a registered nurse, social worker or other~~
27 ~~appropriate professional shall visit the client in the client's residence at least quarterly to assess the client's general~~
28 ~~condition, progress and response to services provided. Documentation of these visits shall be maintained in the client's~~
29 ~~service record.~~

30 ~~(d)~~ (c) If the ~~same professional health care practitioner~~ is assigned responsibility for two or more of the following,
31 these functions may be conducted during the same home visit:

- 32 (1) quarterly assessment of client's condition and response;
- 33 (2) provision of regularly scheduled professional services; or
- 34 (3) supervision of ~~in-home aide or other allied health personnel.~~ in-home [care provider,] caregiver.

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36 *History Note: Authority G.S. 131E-140;*

37 *Eff. July 1, 1992;*

- 1 *Amended Eff. May 1, 1993;*
- 2 *RRC Objection due to lack of statutory authority Eff. November 16, 1995;*
- 3 *Amended Eff. February 1, ~~1996~~ 1996;*
- 4 *Readopted Eff. January 1, 2018.*