

EXHIBIT 1

Table 6A: Operating Room Inventory
Amended 2016 SMFP and
Proposed 2017 SMFP

Table 6A: Operating Room Inventory (Combined Data for Hospitals and Ambulatory Surgical Facilities)

Case Data for 10/1/2014 through 9/30/2015 as reported on the 2016 Hospital and Ambulatory Surgical Facility License Renewal Applications

County	License	Facility Name	Inpatient Cases (Dedicated C-Section Cases Excluded)	Ambulatory Cases	Inpatient ORs	Ambulatory ORs	Shared ORs	Excluded C-Section ORs	Excluded Trauma/Burn ORs	CON Adjustments	CON Adjustments for Dedicated C-Section
Buncombe	AS0065	Asheville Eye Surgery Center	0	4,074	0	1	0	0	0	0	0
Buncombe	H0036	Mission Hospital	11,262	21,239	8	9	30	-2	-1	0	0
		Buncombe Total	11,262	28,451	8	13	30	-2	-1	0	0
Burke	AS0040	Surgery Center of Morganton Eye Physicians	0	2,407	0	2	0	0	0	0	0
Burke	H0062	Carolinas HealthCare System Blue Ridge	1,148	3,877	1	0	9	-1	0	0	0
		Burke Total	1,148	6,284	1	2	9	-1	0	0	0
Cabarrus	AS0019	Eye Surgery Center and Laser Clinic	0	2,946	0	2	0	0	0	0	0
Cabarrus	AS0070	Gateway Surgery Center	0	6,489	0	4	0	0	0	0	0
Cabarrus	H0031	Carolinas HealthCare System NorthEast	4,985	5,267	4	0	17	-2	0	0	0
		Cabarrus Total	4,985	14,702	4	6	17	-2	0	0	0
Caldwell	H0061	Caldwell Memorial Hospital	1,011	3,039	1	3	4	-1	0	0	0
		Caldwell Total	1,011	3,039	1	3	4	-1	0	0	0
Carteret	AS0061	The Surgical Center of Morehead City	0	2,041	0	2	0	0	0	0	0
Carteret	H0222	Carteret General Hospital	1,183	1,996	1	0	5	-1	0	0	0
		Carteret Total	1,183	4,037	1	2	5	-1	0	0	0
Catawba	AS0036	Graystone Eye Surgery Center	0	6,069	0	2	0	0	0	0	0
Catawba	AS0101	Viewmont Surgery Center	0	2,905	0	3	0	0	0	0	0
Catawba	H0053	Frye Regional Medical Center	2,280	4,564	2	4	15	0	0	0	0
Catawba	H0223	Catawba Valley Medical Center	2,082	5,316	1	0	12	-1	0	0	0
		Catawba Total	4,362	18,854	3	9	27	-1	0	0	0
Chatham	H0007	Chatham Hospital	32	715	0	0	2	0	0	0	0
		Ghatham Total	32	715	0	0	2	0	0	0	0
Cherokee	H0239	Murphy Medical Center	444	2,058	0	0	4	0	0	0	0
		Gherokee Total	444	2,058	0	0	4	0	0	0	0
Chowan	H0063	Vidant Chowan Hospital	293	802	0	0	3	0	0	0	0

Will amended SMPX

CORRECTED

Table 6A: Operating Room Inventory (Combined Data for Hospitals and Ambulatory Surgical Facilities)

Case Data for 10/1/2013 through 9/30/2014 as reported on the 2015 Hospital and Ambulatory Surgical Facility License Renewal Applications

County	License	Facility Name	Inpatient Cases (Dedicated C-Section Cases Excluded)	Ambulatory Cases	Inpatient ORs	Ambulatory ORs	Shared ORs	Excluded C-Section ORs	Excluded Trauma/Burn ORs	CON Adjustments	CON Adjustments for Dedicated C-Section
Buncombe	H10036	Mission Hospital	12,067	20,988	8	9	30	-2	-1	0	0
		Buncombe Total	12,067	28,120	8	13	30	-2	-1	0	0
Burke	AS0040	Surgery Center of Morganton Eye Physicians	0	2,315	0	2	0	0	0	0	0
Burke	H10062	Carolinas HealthCare System Blue Ridge	1,212	3,716	1	0	9	-1	0	0	0
		Burke Total	1,212	6,031	1	2	9	-1	0	0	0
Cabarrus	AS0019	Eye Surgery Center and Laser Clinic	0	2,429	0	2	0	0	0	0	0
Cabarrus	AS0070	Gateway Surgery Center	0	5,191	0	4	0	0	0	0	0
Cabarrus	H10031	Carolinas Medical Center-NorthEast	4,998	4,993	4	0	17	-2	0	0	0
		Cabarrus Total	4,998	12,613	4	6	17	-2	0	0	0
Caldwell	H10061	Caldwell Memorial Hospital	938	2,876	1	3	4	-1	0	0	0
		Caldwell Total	938	2,876	1	3	4	-1	0	0	0
Carteret	AS0061	The Surgical Center of Morehead City	0	2,034	0	2	0	0	0	0	0
Carteret	H10222	Carteret General Hospital	1,256	1,957	1	0	5	-1	0	0	0
		Carteret Total	1,256	3,991	1	2	5	-1	0	0	0
Catawba	AS0036	Graystone Eye Surgery Center	0	5,924	0	2	0	0	0	0	0
Catawba	AS0101	Viewmont Surgery Center	0	2,450	0	3	0	0	0	0	0
Catawba	H10053	Frye Regional Medical Center	2,267	4,455	2	4	15	0	0	0	0
Catawba	H10223	Catawba Valley Medical Center	2,054	5,368	1	0	12	-1	0	0	0
		Catawba Total	4,321	18,197	3	9	27	-1	0	0	0
Chatham	H10007	Chatham Hospital	20	629	0	0	2	0	0	0	0
		Chatham Total	20	629	0	0	2	0	0	0	0
Cherokee	H10239	Murphy Medical Center	439	2,056	0	0	4	0	0	0	0
		Cherokee Total	439	2,056	0	0	4	0	0	0	0
Chowan	H10063	Vidant Chowan Hospital	353	1,108	0	0	3	0	0	0	0
		Chowan Total	353	1,108	0	0	3	0	0	0	0

EXHIBIT 2

Ambulatory Surgery Patient Origin
Catawba County

Patient Origin			Patient Origin		
Service Location	Number of Patients	% of Total	Service Location	Number of Patients	% of Total
Total:	7142		Hertford	1	0.02%
Camden			Jackson	1	0.02%
Pasquotank	317	89.04%	Alamance	1	0.02%
Pitt	9	2.53%	Total:	5285	
Dare	7	1.97%	Caswell		
Chowan	6	1.69%	Guilford	210	24.19%
Durham	5	1.40%	Durham	171	19.70%
Hertford	5	1.40%	Alamance	153	17.63%
Orange	2	0.56%	Rockingham	118	13.59%
Cabarrus	1	0.28%	Orange	88	10.14%
Onslow	1	0.28%	Person	67	7.72%
Robeson	1	0.28%	Forsyth	24	2.76%
Wake	1	0.28%	Wake	15	1.73%
Wilson	1	0.28%	Buncombe	11	1.27%
Total:	356		Davie	3	0.35%
Carteret			Carteret	2	0.23%
Carteret	2670	50.52%	Watauga	1	0.12%
Craven	1619	30.63%	Cabarrus	1	0.12%
Pitt	292	5.53%	Union	1	0.12%
Durham	174	3.29%	Moore	1	0.12%
New Hanover	170	3.22%	Mecklenburg	1	0.12%
Wake	108	2.04%	Chatham	1	0.12%
Orange	87	1.65%	Total:	868	
Onslow	68	1.29%	Catawba		
Lenoir	27	0.51%	Catawba	9163	74.51%
Wayne	18	0.34%	Mecklenburg	838	6.81%
Forsyth	14	0.26%	Forsyth	598	4.86%
Guilford	8	0.15%	Iredell	523	4.25%
Mecklenburg	7	0.13%	Burke	482	3.92%
Moore	5	0.09%	Lincoln	183	1.49%
Nash	4	0.08%	Caldwell	104	0.85%
Scotland	2	0.04%	Durham	82	0.67%
Cumberland	2	0.04%	Gaston	68	0.55%
Watauga	1	0.02%	Buncombe	47	0.38%
Sampson	1	0.02%	Guilford	30	0.24%
Johnston	1	0.02%	Davie	29	0.24%
Rowan	1	0.02%	Orange	29	0.24%
Randolph	1	0.02%	Cabarrus	24	0.20%
Catawba	1	0.02%	Watauga	17	0.14%
Granville	1	0.02%	Cleveland	16	0.13%

Patient Origin			Patient Origin		
Service Location	Number of Patients	% of Total	Service Location	Number of Patients	% of Total
Wake	14	0.11%	Person	1	0.03%
Rowan	13	0.11%	Pitt	1	0.03%
Union	6	0.05%	Brunswick	1	0.03%
Pitt	5	0.04%	Total:	2897	
Jackson	4	0.03%	Cherokee		
Henderson	3	0.02%	Cherokee	1163	65.71%
Lee	3	0.02%	Buncombe	274	15.48%
Alamance	2	0.02%	Jackson	212	11.98%
Randolph	2	0.02%	Macon	47	2.66%
Avery	2	0.02%	Haywood	29	1.64%
Brunswick	2	0.02%	Henderson	15	0.85%
Moore	2	0.02%	Orange	5	0.28%
Craven	1	0.01%	Durham	5	0.28%
Richmond	1	0.01%	Moore	4	0.23%
Dare	1	0.01%	Forsyth	4	0.23%
Surry	1	0.01%	Mecklenburg	4	0.23%
Rutherford	1	0.01%	Catawba	2	0.11%
Haywood	1	0.01%	Wake	1	0.06%
Davidson	1	0.01%	Guilford	1	0.06%
Total:	12298		Gaston	1	0.06%
Chatham			Cleveland	1	0.06%
Orange	951	32.83%	Caldwell	1	0.06%
Chatham	431	14.88%	Dare	1	0.06%
Durham	423	14.60%	Total:	1770	
Moore	347	11.98%	Chowan		
Wake	272	9.39%	Pasquotank	308	31.33%
Guilford	132	4.56%	Chowan	297	30.21%
Lee	126	4.35%	Pitt	197	20.04%
Randolph	105	3.62%	Hertford	60	6.10%
Alamance	57	1.97%	Dare	38	3.87%
Forsyth	21	0.72%	Durham	29	2.95%
Cumberland	10	0.35%	Bertie	16	1.63%
Johnston	6	0.21%	Wake	14	1.42%
Davie	3	0.10%	Orange	7	0.71%
Mecklenburg	3	0.10%	Beaufort	5	0.51%
New Hanover	3	0.10%	Martin	5	0.51%
Vance	1	0.03%	Halifax	2	0.20%
Harnett	1	0.03%	Nash	1	0.10%
Burke	1	0.03%	Granville	1	0.10%
Pasquotank	1	0.03%	Craven	1	0.10%

EXHIBIT 3

Table 6B: Projected Operating Room Need for 2019
Proposed 2017 SMFP

Table 6B: Projected Operating Room Need for 2019

A	B	C	D	E	F	G	H
Operating Room Service Areas (Single-county service areas are in bold. Counties in multicounty service areas are in italics with a shaded "total" row below.)	2015 Inpatient Cases (without exclusions)	Inpatient Case Time Standard (3 hours)	Estimated Inpatient Hours	2015 Ambulatory Cases	Ambulatory Case Time Standard (1.5 hours)	Estimated Ambulatory Hours	2015 Total Estimated Hours (D+G)
Alamance	1,790	3.0	5,370	7,681	1.5	11,522	16,892
Alexander	0	3.0	0	0	1.5	0	0
Alleghany	12	3.0	36	211	1.5	317	352
Anson	0	3.0	0	33	1.5	50	50
Ashe	213	3.0	639	738	1.5	1,107	1,746
Avery	113	3.0	339	196	1.5	294	633
Beaufort	513	3.0	1,539	2,099	1.5	3,149	4,688
Bertie	1	3.0	3	648	1.5	972	975
Bladen	200	3.0	600	467	1.5	701	1,300
Brunswick	1,442	3.0	4,326	4,329	1.5	6,494	10,820
<i>Buncombe</i>	11,262	3.0	33,786	28,451	1.5	42,677	76,462
<i>Madison</i>	0	3.0	0	0	1.5	0	0
<i>Yancey</i>	0	3.0	0	0	1.5	0	0
Buncombe/Madison/Yancey	11,262	3.0	33,786	28,451	1.5	42,677	76,462
Burke	1,148	3.0	3,444	6,284	1.5	9,426	12,870
Cabarrus	4,985	3.0	14,955	14,702	1.5	22,053	37,008
Caldwell	1,011	3.0	3,033	3,039	1.5	4,559	7,592
Carteret	1,183	3.0	3,549	4,037	1.5	6,056	9,604
Catawba	2,082	3.0	6,246	14,290	1.5	21,435	27,681
Chatham	32	3.0	96	715	1.5	1,073	1,168
<i>Cherokee</i>	444	3.0	1,332	2,058	1.5	3,087	4,419
<i>Clay</i>	0	3.0	0	0	1.5	0	0
Gherokee/Glay	444	3.0	1,332	2,058	1.5	3,087	4,419
Chowan	293	3.0	879	802	1.5	1,203	2,082
Cleveland	1,775	3.0	5,325	6,794	1.5	10,191	15,516
Columbus	1,011	3.0	3,033	2,388	1.5	3,582	6,615
Craven	3,224	3.0	9,672	9,835	1.5	14,753	24,424
<i>Jones</i>	0	3.0	0	0	1.5	0	0
<i>Pamlico</i>	0	3.0	0	0	1.5	0	0
Craven/Jones/Pamlico	3,224	3.0	9,672	9,835	1.5	14,753	24,424
Cumberland	6,504	3.0	19,512	19,678	1.5	29,517	49,029
Dare	263	3.0	789	1,466	1.5	2,199	2,988
Davidson	1,169	3.0	3,507	5,567	1.5	8,351	11,858
Davie	0	3.0	0	2,753	1.5	4,130	4,130
Duplin	462	3.0	1,386	1,556	1.5	2,334	3,720
Durham	22,806	3.0	68,418	35,329	1.5	52,994	121,412
Edgecombe	577	3.0	1,731	1,693	1.5	2,540	4,270
Forsyth	24,630	3.0	73,890	45,607	1.5	68,411	142,300
Franklin	32	3.0	96	634	1.5	951	1,047
Gaston	4,006	3.0	12,018	11,965	1.5	17,948	29,966
Granville	725	3.0	2,175	2,230	1.5	3,345	5,520
<i>Caswell</i>	0	3.0	0	0	1.5	0	0
<i>Guilford</i>	15,908	3.0	47,724	40,214	1.5	60,321	108,045
Guilford/Caswell	15,908	3.0	47,724	40,214	1.5	60,321	108,045

Table 6B: Projected Operating Room Need for 2019

A	P	Q	R	S	T	U
Operating Room Service Areas (Single-county service areas are in bold. Counties in multicounty service areas are in italics with a shaded "total" row below.)	Excluded Dedicated C-Section Rooms	Exclusion of One OR for each Level I and II Trauma Center and Burn Unit	Adjustments: CONs Issued, Settlement Agreements, Previous Need	Adjusted Planning Inventory	Projected Operating Room Deficit or Surplus (Surplus shows as a "-")	Projected Need for New Operating Rooms
Alamance	-2	0	0	12	-2.53	0
Alexander	0	0	0	2	-2.00	0
Alleghany	0	0	0	2	-1.81	0
Anson	0	0	0	1	-0.97	0
Ashe	0	0	0	2	-1.06	0
Avery	0	0	0	2	-1.66	0
Beaufort	-1	0	0	7	-4.50	0
Bertie	0	0	0	2	-1.51	0
Bladen	0	0	0	2	-1.30	0
Brunswick	-1	0	1	7	-0.63	0
<i>Buncombe</i>	-2	-1	0	48	-5.18	0
<i>Madison</i>	0	0	0	0	0.00	0
<i>Yancey</i>	0	0	0	0	0.00	0
Buncombe/Madison/Yancey	-2	-1	0	48	-5.36	0
Burke	-1	0	0	11	-4.13	0
Cabarrus	-2	0	0	25	-3.50	0
Caldwell	-1	0	0	7	-2.95	0
Carteret	-1	0	0	7	-1.82	0
Catawba	-1	0	0	17	-2.08	0
Chatham	0	0	0	2	-1.34	0
<i>Cherokee</i>	0	0	0	4	-1.63	0
<i>Clay</i>	0	0	0	0	0.00	0
Cherokee/Glax	0	0	0	4	-1.63	0
Chowan	0	0	0	3	-1.89	0
Cleveland	-1	0	0	10	-1.63	0
Columbus	-1	0	0	5	-1.47	0
<i>Craven</i>	-1	0	0	17	-3.54	0
<i>Jones</i>	0	0	0	0	0.00	0
<i>Pamlico</i>	0	0	0	0	0.00	0
Craven/Jones/Pamlico	-1	0	0	17	-3.60	0
Cumberland	-3	0	0	29	-2.23	0
Dare	-1	0	1	3	-1.38	0
Davidson	-1	0	0	9	-2.59	0
Davie	0	0	0	2	0.21	1
Duplin	0	0	0	3	-0.97	0
Durham	-2	-1	0	75	-5.26	0
Edgecombe	-1	0	0	5	-2.73	0
Forsyth	-2	-2	0	83	-3.73	0
Franklin	0	0	1	4	-3.42	0
Gaston	-4	0	0	24	-7.55	0
Granville	0	0	0	3	-0.01	0
<i>Caswell</i>	0	0	0	0	0.00	0
<i>Guilford</i>	-1	-1	-3	88	-28.60	0
Guilford/Caswell	-1	-1	-3	88	-28.68	0

EXHIBIT 4

2016 License Renewal Application for Ambulatory Surgical Facility
Graystone Eye Surgery Center

All responses should pertain to October 1, 2014 *thru* September 30, 2015.

Average Operating Room Availability and Average Case Times:

The Operating Room Methodology assumes that the average operating room is staffed 9 hours a day, for 260 days per year, and utilized at least 80% of the available time. This results in 1,872 hours per OR per year. The Operating Room Methodology also assumes 1.5 hours for each Outpatient Surgery.

Based on your facility's experience, please complete the table below by showing the assumptions for the average operating room in your facility.

Average Hours per Day Routinely Scheduled for Use *	Average Number of Days per Year Routinely Scheduled for Use	Average "Case Time" ** in Minutes for Ambulatory Cases
8.5	250	20 mins

* (Use only Hours per Day routinely scheduled when determining. Example: 2 rooms @ 8 hours per day plus 2 rooms @ 10 hours per day equals 36 hours per day; divided by 4 rooms equals an average of 9 hours / per room / per day.)

** "Case Time" = Time from Room Set-up Start to Room Clean-up Finish. Definition 2.4 from the "Procedural Times Glossary" of the AACD, as approved by ASA, ACS, and AORN. *NOTE: This definition includes all of the time for which a given procedure requires an OR/PR. It allows for the different duration of Room Set-up and Room Clean-up Times that occur because of the varying supply and equipment needs for a particular procedure*

Reimbursement Source

PRIMARY PAYER SOURCE	NUMBER OF CASES
Self Pay/Indigent/Charity	49
Medicare & Medicare Managed Care	4661
Medicaid	71
Commercial Insurance Managed Care	1153
Other (Specify)	#
Other (Specify)	135
TOTAL	6069