



NC DEPARTMENT OF
**HEALTH AND
HUMAN SERVICES**
Division of Health Service Regulation

ROY COOPER • Governor
MANDY COHEN, MD, MPH • Secretary
MARK PAYNE • Director

March 12, 2019

Catharine W. Cummer
3100 Tower Blvd. Suite 1300
Durham, NC 27707

Exempt from Review

Record #: 2899
Facility Name: Duke Regional Hospital
FID #: 923142
Business Name: Duke University Health System, Inc.
Business #: 640
Project Description: Replace air handling unit
County: Durham

Dear Ms. Cummer:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency), determined that based on your letter of March 1, 2019, the above referenced proposal is exempt from certificate of need review in accordance with N.C. Gen. Stat. §131E-184(4). Therefore, you may proceed to offer, develop or establish the above referenced project without a certificate of need.

However, you need to contact the Agency’s Construction and Acute and Home Care Licensure and Certification Sections to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Agency. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,

Bernetta Thorne-Williams
Project Analyst

Martha J. Frisone
Chief, Healthcare Planning and
Certificate of Need Section

cc: Construction Section, DHSR
Acute and Home Care Licensure and Certification Section, DHSR
Melinda Boyette, Administrative Assistant, Healthcare Planning, DHSR

NC DEPARTMENT OF HEALTH AND HUMAN SERVICES • DIVISION OF HEALTH SERVICE REGULATION

HEALTHCARE PLANNING AND CERTIFICATE OF NEED SECTION

LOCATION: 809 Ruggles Drive, Edgerton Building, Raleigh, NC 27603
MAILING ADDRESS: 2704 Mail Service Center, Raleigh, NC 27699-2704
www.ncdhhs.gov/dhsr/ • TEL: 919-855-3873

AN EQUAL OPPORTUNITY / AFFIRMATIVE ACTION EMPLOYER



Catharine W. Cummer
Regulatory Counsel, Strategic Planning



March 1, 2019

Via Electronic Mail

Martha Frisone
Bernetta Thorne-Williams
Healthcare Planning and Certificate of Need Section
Division of Health Service Regulation
2704 Mail Service Center
Raleigh, NC 27699-2704

Re: Air handling unit replacements at Duke Regional Hospital

Dear Ms. Frisone and Ms. Thorne-Williams:

On behalf of the Duke University Health System, I am writing to notify you of a project proposed by Duke Regional Hospital in Durham (“DRH”) and to request the Section’s written confirmation that this project is exempt from certificate of need review.

DRH proposes to spend more than \$2 million to replace, expand, and/or refurbish two air handling systems (#N1 and #7). These systems provide conditioned air and ventilation to both patient care areas, including the Emergency Department (ED) and Catheterization Laboratory, and non-patient care areas. While various components of these systems have been replaced over the years, they are beyond their normal life expectancy and require replacement or significant refurbishment in order to continue providing a reliable source of conditioned air and ventilation.

It is our understanding that this project is exempt from certificate of need review pursuant to Section 131E-184(4), as it is needed “[t]o provide parking, heating or cooling systems, elevators, or other basic plant or mechanical improvements, unless these activities are integral portions of a project that involves the construction of a new health service facility or portion therefor and that is subject to certificate of need review.” This project is not integral to any other construction project that would otherwise require a CON. We would appreciate your review of this notice and confirmation of our understanding.

Please let me know if you have any questions or if we can provide you any further information.

Very truly yours,

Catharine W. Cummer

Effective January 01, 2019, this license is issued to
Duke University Health System, Inc.

to operate a hospital known as
Duke Regional Hospital
located in Durham, North Carolina, Durham County.

This license is issued subject to the statutes of the
State of North Carolina, is not transferable and shall remain
in effect until amended by the issuing agency.

Facility ID: 923142

License Number: H0233

Bed Capacity: 369

General Acute 316, Rehabilitation 30 , Psych 23,

Dedicated Inpatient Surgical Operating Rooms: 2

Dedicated Ambulatory Surgical Operating Rooms: 0

Shared Surgical Operating Rooms: 13

Dedicated Endoscopy Rooms: 4