

DEPARTMENT OF HEALTH AND HUMAN SERVICES DIVISION OF HEALTH SERVICE REGULATION

ROY COOPER GOVERNOR MANDY COHEN, MD, MPH SECRETARY

> MARK PAYNE DIRECTOR

September 8, 2017

Gary Qualls K&L Gates, LLP P.O. Box 14210 Research Triangle Park NC 27709-4210

No Review

Record #:

2377

Facility Name:

Rex Hospital d/b/a UNC REX

FID #:

953429

Business Name:

Rex Hospital, Inc.

Business #:

1554

Project Description:

Performance of structural heart procedures on vascular (non-cardiac)

catheterization equipment

County:

Wake

Dear Mr. Qualls:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency) received your letter of September 1, 2017 regarding the above referenced proposal. Based on the CON law **in effect on the date of this response to your request,** the proposal described in your correspondence is not governed by, and therefore, does not currently require a certificate of need. However, please note that if the CON law is subsequently amended such that the above referenced proposal would require a certificate of need, this determination does not authorize you to proceed to develop the above referenced proposal when the new law becomes effective.

However, you need to contact the Agency's Acute and Home Care Licensure and Certification Section to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented in your correspondence. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by this office. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the

HEALTHCARE PLANNING AND CERTIFICATE OF NEED SECTION

WWW.NCDHHS.GOV TELEPHONE 919-855-3873

LOCATION: EDGERTON BUILDING • 809 RUGGLES DRIVE • RALEIGH, NC 27603 MAILING ADDRESS: 2704 MAIL SERVICE CENTER •RALEIGH, NC 27699-2704 AN EQUAL OPPORTUNITY/ AFFIRMATIVE ACTION EMPLOYER

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original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

Please contact this office if you have any questions. Also, in all future correspondence you should reference the Facility ID # (FID) if the facility is licensed.

Sincerely,

Michael J. McKillip

Project Analyst

Martha J. Frisone, Chief

Healthcare Planning and Certificate of Need Section

cc:

Acute and Home Care Licensure and Certification Section, DHSR Paige Bennett, Assistant Chief, Healthcare Planning, DHSR



September 1, 2017



Gary Qualls gary.qualls@klgates.com

T +1 919 466 1182 F +1 919 831 7040

Via Hand Delivery

Martha Frisone
Chief
Department of Health and Human Services
Division of Health Service Regulation
Health Planning and Certificate of Need Section
809 Ruggles Drive
Raleigh, North Carolina 27603

Re: No Review Request for Rex Hospital, Inc. d/b/a UNC REX regarding Structural Heart

Procedures

Dear Martha:

We are writing on behalf of our client Rex Hospital, Inc., d/b/a UNC REX ("UNC REX"). UNC REX would like a determination that it can perform Structural Heart Procedures (as defined below) on non-cardiac catheterization ("cath") equipment as defined in N.C. Gen. Stat. §131E-176(2f). Based on the reasoning articulated below, we request confirmation from the Department of Health and Human Services, Division of Health Service Regulation, Healthcare Planning and Certificate of Need Section (the "Agency") that this proposal is not subject to certificate of need review.

The CON statute defines cardiac cath services as follows:

"Cardiac catheterization services" means those procedures, excluding pulmonary angiography procedures, in which a catheter is introduced into a vein or artery and threaded through the circulatory system into the heart specifically to <u>diagnose</u> abnormalities in the motion, contraction, and blood flow of the moving heart or to perform surgical therapeutic interventions to restore, repair, or reconstruct the <u>coronary blood vessels</u> of the heart.

N.C. Gen. Stat. § 131E-176(2g) (emphasis added).

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Together with its cardiologists, UNC REX performs a number of procedures referred to as Structural Heart Procedures. Such procedures are referred to using terminology such as Transcatheter Aortic Valve Replacement ("TAVR") and other terms. The current CPT codes for these Structural Heart Procedures are as follows:

TAVR Primary Procedures

33363 - TAVR Open Axillary Art

33362 - TAVR Open Femoral

33364 - TAVR Open Iliac Art

33361 - TAVR Percutaneous Fem Art

33365 - TAVR - Trans-Aortic

33366 - TAVR Transapical

TAVR Add Ons

33369 - TAVR w/ Central A&V Cannulation

33368 - TAVR w/ Open Periph A&V Pump Bypass

33367 - TAVR w/ Perc A&V Pummp Bypass

Mitral Clip procedures

33418 - Initial Clip

33419 - Add'l Clip

Watchman procedures

33340 - Percutaneous Transcatheter Closure Left Atrial Appendage

ASD/PFO

93580 - Closure ASD/PFO W/ Implant

UNC REX believes that Structural Heart Procedures do not meet the definition of "cardiac catheterization services" under Section 131E-176(2g) of the CON statute because they: (1) are not diagnostic; and (2) do not involve interventions pertaining to the coronary blood vessels of the heart. Rather, physicians who perform these procedures describe Structural Heart Procedures as therapeutic interventions to restore, repair or reconstruct the heart muscle or heart valves, not coronary blood vessels. *See* Affidavits of Dr. James Zidar (Exhibit A) and Dr. Willis M. Wu (Exhibit B).

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UNC REX has historically performed these structural heart procedures on cardiac cath equipment as defined in Section 131E-176(2f). However, UNC REX believes that it is permitted to perform such procedures on non-cardiac cath equipment under the CON Law, because the procedures do not meet the Section 131E-176(2g) definition. Moreover, Structural Heart Procedures are perfectly safe to perform on non-cardiac cath equipment (i.e., equipment often referred to as vascular cath equipment, which is cath equipment, but not cardiac cath equipment as defined in Section 131E-176(2f)).

Based on the foregoing, please confirm that the performance of Structural Heart Procedures on non-cardiac cath equipment is non-reviewable because such activities do not trigger any new institutional health service categories under the CON Law.

If you require additional information, please do not hesitate to contact me at the above number. Thank you in advance for your review of this request.

Sincerely,

Gary Qualls

Hary Qualle

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Exhibits

- A. Affidavit of Dr. James Zidar
- B. Affidavit of Dr. Willis M. Wu

EXHIBIT A

AFFIDAVIT OF DR. JAMES ZIDAR

- 1. Dr. James Zidar, being first duly sworn, states as follows:
- 2. I am over the age of eighteen (18) years and am a resident of Wake County, North Carolina. I do not suffer from any mental disability or infirmity that prevents me from making this Affidavit. This Affidavit is based on my own personal knowledge.
- 3. I have practiced cardiology for decades and have extensive training and experience in interventional cardiology procedures. My CV is attached to this Affidavit as Exhibit 1.
- 4. As a cardiologist at UNC REX, I routinely perform a number of procedures referred to as "Structural Heart Procedures." Such procedures are referred to using terminology such as Transcatheter Aortic Valve Replacement ("TAVR") and other terms described below. I have confirmed that the current CPT codes for these Structural Heart Procedures are as follows:

TAVR Primary Procedures

33363 - TAVR Open Axillary Art

33362 - TAVR Open Femoral

33364 - TAVR Open Iliac Art

33361 - TAVR Percutaneous Fem Art

33365 - TAVR - Trans-Aortic

33366 - TAVR Transapical

TAVR Add Ons

33369 - TAVR w/ Central A&V Cannulation

33368 - TAVR w/ Open Periph A&V Pump Bypass

33367 - TAVR w/ Perc A&V Pummp Bypass

Mitral Clip procedures

33418 - Initial Clip

33419 - Add'l Clip

Watchman procedures

33340 - Percutaneous Transcatheter Closure Left Atrial Appendage

ASD/PFO

93580 - Closure ASD/PFO W/ Implant

- 5. Structural Heart Procedures: (1) are not diagnostic; and (2) do not involve interventions pertaining to the coronary blood vessels of the heart. Rather, Structural Heart Procedures are therapeutic interventions to restore, repair or reconstruct the heart muscle or heart valves, not coronary blood vessels.
- 6. At UNC REX, we have historically performed these Structural Heart Procedures on UNC REX medical equipment designated as cardiac cath equipment for certificate of need ("CON") regulatory purposes. However, Structural Heart Procedures are perfectly safe to perform on non-cardiac cath equipment.

Further affiant sayeth not.

STATE OF NORTH CAROLINA COUNTY OF WAKE

Sworn to and subscribed before me this the 30 day of AUGUST, 2017.

Notary Public

My Commission expires: OlClynoly 27th, 2021 ADELIC TOTAR

Exhibit

1. Curriculum Vitae of James Zidar, M.D.

EXHIBIT A - 1

CURRICULUM VITAE

James Patrick Zidar, M.D., F.A.C.C., F.S.C.A.I. Clinical Professor of Medicine

Birth:

Detroit, Michigan

Marital Status:

Married, 3 Children

Address:

Rex Heart & Vascular Specialists 4414 Lake Boone Trail, Suite 402 Raleigh, North Carolina 27607

Ph. (919) 784-5600 Fax (919) 784-5601

Education:

University of Notre Dame, B.S. 1980 Notre Dame, IN Loyola University Stritch School of Medicine, M.D. 1985

Maywood, Illinois

Training:

Internship /

Internal Medicine, 1985 - 1989

Residency /

University of Michigan Medical Center

Chief Resident:

Ann Arbor, Michigan

Fellowship:

Cardiology, 1989 - 1992

Interventional Cardiology, 1992 - 1993

Duke University Medical Center

Durham, North Carolina

Prior Academic Appointments:

Associate, Medicine, Cardiovascular Division, 1992 - 1993

Assistant Professor of Medicine, 1993 – 1998

Associate Professor of Medicine, 1998 - 2002

Associate Professor of Medicine with Tenure, 2002 - 2009

Professor of Medicine with Tenure, 2010

Duke University Medical Center

Durham, North Carolina

Director, Peripheral Vascular Medicine Program Duke University Medical Center, 1999 – 2004

Director, Cardiovascular Services, Duke Raleigh Hosp., 2002 – 2010

Medical Director, Duke Cardiology of Raleigh, group practice,

7 person group, 2002 - 2010

Current Appointment:

Clinical Professor of Medicine

University of North Carolina Health Systems, 2010 - present

Corporate Chief, Department of Cardiology,

Rex Healthcare, 2010 - present

President, Rex Heart and Vascular Specialists, 2010 – present

Consultant in Cardiology, Rex Hospital, 2002 - present

Consultant in Cardiology, Duke Raleigh Hospital, 2002 - 2011

Licensure:

Michigan, 1986 - inactive

North Carolina, 1989 - active #38717 Virginia, 2001 – inactive #0101230671

Certification:

National Board of Medical Examiners, 1986 American Board of Internal Medicine, 1989

ABIM, Cardiovascular Disease, 1991, recertified 2001

ABIM, Interventional Cardiology 2003

Honors:

Michigan Competitive Scholar

University of Michigan Regents – Alumni Scholar

Alpha Sigma Nu Jesuit Honor Society Alpha Omega Alpha Honor Medical Society

Scientific Advisory Board, International Academy of Cardiology

Best Doctors in America, 2003 - present

Professional Societies:

American College of Physicians: Member

American College of Cardiology: Fellow

Society of Coronary Angiography and Intervention: Fellow

Alpha Omega Alpha Honor Medical Society Andreas Greuntzig Honorary Society: Member

Committees: Duke University Medical Center

Cardiology Fellowship Selection Committee, 1992 - 1997

ODI /PTCA Care Map Committee, 1992 - 1998

Computer Advisory Committee, Department of Medicine

Clinical Practice Group, 1993 – 1999

Duke Clinical Research Institute , Executive Comm, 1995-2010Duke Univ. Cardiology Clinical Site, Exec Comm., 1997 - present

ACC Scientific Program Committee member, 2000 - 2003

ACC Interventional Spotlight Planning Comm. member, 2001 - 2003

ACC Interventional Spotlight Committee, chairman, 2003

ACC I2 Planning Committee, 2005 - 2007

Duke Raleigh Hospital Cardiovascular Service Line, 2002 - 2010

Rex Invasive Cardiology QA committee, 2004 - present

Editorial Boards / Manuscript Review:

J Interventional Cardiology, J Invasive Cardiology, Circulation, JACC, American Journal of Cardiology, American Heart Journal, Catheterization & Cardiac Interventions: 1996 – present

Scientific Advisory Boards:

Abbott Vascular, 2001 – present Aventis Pharmaceuticals, 1998 – 2004 Cordis Corporation, 1995 – present Cryovascular Systems, Inc., 2000 – 2004 Eli Lilly, 1997 – 2003 Guidant Corporation, 1996 – 2006 Medtronic Vascular, 2002 – present Millenium Pharmaceuticals, 2001 – 2005 North Point Domain, 2000 – 2005

Invited Lectures / Grand Rounds:

Duke, U of North Carolina, Carolinas Medical Center, East Carolina University, UNC/Moses Cone Hospitals, UNC/Wake Medical Center, Medical University of South Carolina, Medical College of Virginia, UAB, Emory University, Univ of Mississippi, Baylor University, U of South Florida, U of Michigan, Northwestern University, Loyola University, U of Chicago, U of Pennsylvania, Cornell University, Georgetown, Washington Hospital Center, U of Nebraska, U of Arkansas, Texas Heart Institute, Medical College of Georgia

National / International Course Director / Presenter:

ACC Scientific Sessions: 1993 - present

AHA Scientific Sessions: 1994 – 2005

TCT Annual meeting: 1994 - present

TCT Interventional Board Review presenter: 2000 – 2001

SCAI Annual meeting: 2001 - present

SCAI Carotid Course, presenter, 2006, 2007

ACE Scientific Sessions: 2006, 2007

Duke / Beaumont Beaver Creek meeting: 1996 – present, co-director, 2004 – present

Scripps Interventional Course, 1995 - 2000, presenter

Duke Vail Interventional mtg, 1995 – 2004, director

Duke Pinehurst Interventional mtg, 1996 - 2005, director

Pebble Beach Interventional mtg, 1995 – 2003, director

SOLACI mtg presenter: 1995 – 1997

ESC mtg presenter: 1996 - 1997

Asia World Congress / Singapore presenter: 1997 – 1998

Interventions 2000 - 2004 - Atlanta, co-director

SIS Annual mtg, presenter 2004 - 2009

Emory Practical Interventional Conf (EPIC): presenter 2002 - 2011

Hot Topics in Cardiology: presenter 2005 - 2009

Cardiovascular Innovations 2010, Bethesda, MD, 2010

CRT mtg, presenter, Washington, DC. 2010 - present

VIVA mtg, Las Vegas, NV, October 2011

Research Experience:

Principle Investigator:

CHOICE: Carotid Stenting For High Surgical Risk Patients; Evaluating Outcomes Through The CollectIon Of Clinical Evidence, Rex Hospital – Heart & Vascular Research

SAPPHIRE: Stenting and Angioplasty with Protection in Patients at High-Risk for Endarterectomy, Rex Hospital – Heart & Vascular Research

MOMENTUM: A prospective, multi-center, non-randomized, single-arm clinical trial to evaluate the safety and effectiveness of the Absolute Pro® peripheral self-expanding stent syste<u>M</u> and the Absoulte Pr<u>O</u>® LL peripheral self-expanding stent system in the treat<u>MENT</u> of subjects with atherosclerotic *de novo* or restenotic lesions in the native s<u>U</u>perficial fe<u>M</u>oral artery and/or native proximal popliteal artery, Rex Hospital – Heart & Vascular Research

CANOPY: <u>Carotid Artery SteNting Outcomes in the Standard Risk</u>
<u>Population for Carotid EndarterectomY</u>, Rex Hospital – Heart & Vascular Research

EVOLVE II: A Prospective Multicenter Trial to Assess the Safety and Effectiveness of the Synergy TM Everolimus-Eluting Platinum Chromium Coronary Stent System (Synergy Stent System) for the Treatment of Atherosclerotic Lesion(s), Rex Hospital – Heart & Vascular Research

TRANSLATE: <u>TR</u>eatment with <u>ADP</u> receptor i<u>N</u>hibitor<u>S</u>: <u>L</u>ongitudinal <u>Assessment of Treatment Patterns and Events after <u>Acute Coronary</u> <u>Syndrome</u>, Rex Hospital – Heart & Vascular Research</u>

IN.PACT: Randomized Trial of IN.PACT (Paclitaxel) Admiral Drug-Eluting Balloon (DEB) vs Standard PTA for the Treatment of Atherosclerotic Lesions in the Superficial Femoral Artery (SFA) and/or Proximal Popliteal Artery (PPA) ("SFA II"), Rex Hospital – Heart & Vascular Research

Sub-Investigator:

ALERTS: AngeLmed for Early Recognition and Treatment of STEMI Study, Rex Hospital – Heart & Vascular Research

CLARITY I: Complete Lesion Assessment with ffR and IVUS TechnologY, Rex Hospital – Heart & Vascular Research

ePAD: A randomized, open-label, parallel-group, multi-center study of adding edoxaban or clopidogrel to aspirin to maintain patency in subjects with peripheral arterial disease following femoropopliteal endovascular intervention-edoxaban in Peripheral Arterial Disease, Rex Hospital – Heart & Vascular Research

CHOCOLATE BAR: The <u>Chocolate Balloon Angioplasty Registry</u>, Rex Hospital – Heart & Vascular Research

CloSys: CloSys™ Closure System US Multi-Center Clinical Investigation, Rex Hospital – Heart & Vascular Research Cook Tibiopedal: Tibiopedal Access for Crossing of Peripheral Artery Occlusion, Rex Hospital – Heart & Vascular Research

FSS OPEN Trial: Evaluation of Safety and Efficacy of the FlexStent® Femoropopliteal Self-Expanding Stent System, Rex Hospital – Heart & Vascular Research

SuperNOVA: Stenting of the <u>Superficial Femoral and Proximal</u>
Popliteal Arteries with the Boston Scientific IN<u>NOVA™ Self-Expanding Bare Metal Stent System</u>, Rex Hospital – Heart & Vascular Research

GUIDE SFA Registry: Gaining an Understanding of IVUS Impact on Procedural Time and Decisions in the Superficial Femoral Artery, Rex Hospital- Heart & Vascular Research

Manuscripts:

- Jackman JD, Zidar JP, Tcheng JE, Overman AM, Phillips HP, Stack RS: Outcome After Prolonged Balloon Inflations of >20 Minutes for Initially Unsuccessful Percutaneous Transluminal Coronary Angioplasty. Am J Cardiol. 1992; 69:1417.
- Tenaglia AN, Zidar JP, Jackman JD, Fortin DF, Frid DJ, Krucoff MW, Tcheng JE, Phillips HR, Stack RS: Treatment of Long Coronary Artery Lesions with Long Angioplasty Balloon Catheters. Am J Cardiol. 1993; 71:1274.
- 3. Tanguay JF, Zidar JP, Phillips HR, Stack RS: Current Status of Biodegradable Stents. Cardiology Clinics. 1994; 12:4:699-713.
- 4. Armstrong B, Zidar JP, Ohman EM: The Use of Intraaortic Balloon Counterpulsation in Acute Myocardial Infarction and High-Risk Coronary Angioplasty. *J Interven Cardiol.* 1995; 8:2:185-191.
- 5. Labinaz M, Zidar JP, Stack RS, Phillips HR: Biodegradable Stents: The Future of Interventional Cardiology? J Interven Cardiol. 1995; 8;4:1-11.
- 6. Kruse KR, Tanguay JF, Williams MS, Phillips HR, Stack RS, Zidar JP. Local Drug Delivery: The ACS Locking Stent. Seminars in Interventional Cardiology. 1996; 1;1:46-48.
- 7. Harrison JK, Pulsipher MW, Zidar JP. Management of the Patient with a Coronary Stent. *Emergency Medicine*. 1996; 28:12-32.
- 8. Zidar JP. Rationale for low-molecular-weight heparin in coronary stenting. Am Heart J. 1997; 134:81-S87.
- 9. Tanguay JF, Crowley JJ, Kruse KR, Armstrong BA, Santos RM, Zidar JP, Virmani R, Phillips HR, Stack RS. Antiplatelet versus warfarin therapy: Platelet, neutrophil, and thrombus deposition for intracoronary stents in a porcine model. *J Interven Cardiol.* 1997; 10:349-356.
- 10. Hearne SE, Davidson CJ, **Zidar JP**, Phillips HR, Stack RS, Sketch MH, Jr. Internal mammary artery graft angioplasty: Acute and Long-term Outcome. *Cath Cardiovasc Diag.* 1998; 44:153-156.
- 11. Zidar, JP. Low-molecular-Weight Heparins in Coronary Stenting: The ENTICES trial. Am J Cardiol. 1998; 82 (5B):29-32L.
- 12. Hearne SE, Amsterdam PB, Baker WA, Sawchak SR, Phillips HR, Sketch MH, Stack RS, Zidar JP. Diagnosing coronary arterial stent thrombosis and arterial closure. *Am J Cardiol.* 1998; 82(5):666-668.
- 13. Zidar, JP. Low-molecular-weight heparin in coronary stenting. Can J Cardiol. 1998; 14:35E-39E.
- 14. Santos RM, Tanguay JF, Crowley JJ, Kruse KR, Zidar JP, Merhi Y, Garcia-Cantu E, Bonan R, Cote G, Stack RS. Local administration of L-703,801 using a composite polymeric stent reduces platelet deposition in canine coronary arteries. *Am J Cardiol.* 1998; 82(5):673-675.

- Peterson ED, Cowper PA, DeLong ER, Zidar JP, Stack RS, Mark DB. Acute and Long-term Cost Implications of Coronary Stenting. J Am Coll Cardiol. 1999; 33:1610-18.
- Zidar JP. Glycoprotein IIb/IIIa Receptor Antagonists in Acute Coronary Syndrome. J Invas Cardiol. 1999; 11:13A-19A.
- 17. The ERASER Investigators. Acute platelet inhibition with abciximab does not reduce in-stent restenosis (ERASER Study). *Circulation*. 1999; 100:700-706.
- 18. Batchelor WB, Zidar, JP. Favorable vascular remodeling from self-expanding stents: Magic or myth? Editorial. *Am Heart J.* 1999; 138:203-205.
- 19. Kruse KR, Crowley JJ, Tanguay JF, Santos RM, Millare DS, Phillips HR, Zidar JP, Stack RS. Local drug delivery of argatroban from a polymeric-metallic composite stent reduces platelet deposition in a swine coronary model. *Catheterization and Cardiovascular Interventions.* 1999; 46(4): 503-507.
- 20. Cohen MG, Kong DF, Warner JJ, Wrightman MB, Greenbaum AB, Tcheng JE, Peter RH, Sketch MH, Jr, Muhlbaier LM, Zidar JP. Outcomes Following Interventions in Small Coronary Arteries with the Use of Hand-Crimped Palmaz-Schatz Stents. Am J Cardiol. 2000; 85:446-450.
- 21. Cantor WJ, Peterson ED, Popma JJ, Zidar JP, Sketch MH, Jr, Tcheng JE, Ohman EM. Provisional Stenting Strategies: Systematic Overview and Implications for Clinical Decision Making. J Am Coll Cardiol. 2000; 36:1142-51.
- 22. Lansky AJ, Roubin GR, O'Shaughnessy CD, Moore PB, Dean LS, Raizner AE, Safian RD, Zidar JP, Kerr JL, Popma JJ, Mehran R, Kuntz RE, Leon MB. Randomized Comparison of GR-II Stent and Palmaz-Schatz Stent for elective treatment of Coronary Stenoses. *Circulation*. 2000; 102:1364-1368.
- 23. Batchelor WB, Zidar JP. Fragmin and Other Low-Molecular-Weight Heparins in Coronary Intervention. Current Interven Cardiol Reports. 2000; 2(1):41-48.
- 24. Tanguay JF, Cantor WJ, Krucoff MW, Muhlestein B, Barsness GW, Zidar JP, Sketch MH, Jr., Tcheng JE, Phillips HR, Stack RS, Kaplan AV, Ohman EM. Local Delivery of Heparin Post-PTCA: A Multicenter, Randomized Pilot Study. Cathet Cardiovasc Intervent. 2000; 49:461-467.
- 25. Fitzgerald PJ, Oshima A, Hayase M, Metz JA, Bailey SR, Baim DS, Cleman MW, Deutsch E, Diver DJ, Leon MB, Moses JW, Oesterle SN, Overlie PA, Pepine CJ, Safian RD, Shani J, Simonton CA, Smalling RW, Teirstein PS, Zidar JP, Yeung AC, Kuntz RE, Yock PG; for the CRUISE investigators. Final Results of the Can Routine Ultrasound Influence Stent Expansion (CRUISE) Study. Circulation. 2000; 102:523-530.
- Zidar JP. Prolonged Therapy with Low-Molecular-Weight Heparin after PCI. J Invas Cardiol. 2000; 12(4), Suppl C:7C-10C.
- 27. Kereiakes DJ, Fry E, Matthai W, Niederman A, Barr L, Brodie B, **Zidar J**, Casale P, Christy G, Moliterno D, Lengerich R, Broderick T, Shimshak T, Cohen M. Combination Enoxaparin and Abciximab Therapy During Percutaneous Coronary Intervention: "NICE Guys Finish First". *J Invas Cardiol.* 2000; 12(Suppl A):1A-5A.

- 28. Tan HC, Crowley J, Tcheng JE, Sng LW, Sketch JH Jr., Phillips HR, Peter RH, Behar VS, Stack RS, Zidar JP. Treatment of Palmaz-Schatz in stent restenosis: 6-month clinical follow up. *J Interven Cardiol*. 2000; 13:315-322.
- Zidar JP. Combining Treatment Strategies in the 21st Century: Low-molecular-weight Heparins in Acute Coronary Syndromes. J Invas Cardiol. 2000; 12 Suppl B: 16B-21B.
- 30. de la Serna FA, Tolleson TR, Crowley JJ, Stack RS, Zidar JP. Intraarterial Thrombolysis (Reteplase) and ReoPro for Subacute Thrombosis of the Distal Aorta Accomplished by Radial Arterial Access. The radial-kissing-thrombolytic technique. Cath Cardiovas Interven 2001;53:264-268.
- 31. Cantor WJ, Peterson ED, Popma JJ, Zidar JP, Sketch MH Jr, Tcheng JE, Ohman EM. Provisional Stenting Strategies: Systematic Overview and Implications for Clinical Decision-Making. J Am Coll Cardiol 2000;36:1142-51.
- 32. Kereiakes DJ, Grines C, Fry E, Esente P, Hoppensteadt D, Midei M, Barr L, Matthai W, Todd M, Broderick T, Rubinstein R, Fareed J, Santoian E, Neiderman A, Brodie B, Zidar J, Cohen M. Enoxaparin and Abciximab Adjunctive Pharmacotherapy During Percutaneous Coronary Intervention. J Invas Cardiol. 2001;13:272-278.
- 33. Cantor WJ, Hellkamp AS, Peterson ED, Zidar JP, Cowper PA, Sketch MH, Tcheng JE, Califf RM, Ohman EM. Achieving Optimal Results with Standard Balloon Angioplasty: Can Baseline Angiographic Variables Predict Stent-Like Outcomes? J Am Coll Cardiol. 2001;37(7)1883-1890.
- 34. Batchelor WB, Mahaffey KB, Berger PB, Deutsch E, Meier S, Hasselblad V, Fry ETA, Teirstein PS, Ross AM, Binanay CA, Zidar JP, on behalf of the ATLAST Investigators. A Randomized, Placebo-controlled Trial of Enoxaparin after High-risk Coronary Stenting: The ATLAST Trial. JACC. 2001;38(6)1608-1613.
- 35. Trichon BH, Zidar JP, Bashore TM, Donahue M, Sketch MH Jr. Acute Myocardial Infarction in a Young Female. *J Invasive Cardiol.* 2001 Aug;13(8):597-600.
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- 37. Kandzari DE, Zidar JP. Drug-eluting Stents: New Frontiers for Contemporary Percutaneous Intervention. *Harrison's On-line* 2002.
- 38. Cantor WJ, Miller JM, Hellkamp AS, Kramer JM, Peterson ED, Hasselblad V, Zidar JP, Newby LK, Ohman EM. Role of Target Vessel Size and Body Surface Area on Outcomes after Percutaneous Coronary Interventions in Women. Am Heart J, 2002; 144(2): 297-302.
- 39. Batchelor WB, Tolleson T, Larsen R, Hutchinson J, Mantell RM, Huang Y, Davidian M, Cantor WJ, Sketch MH, Ohman EM, Zidar JP, Gretler D, Dibattiste P, Tcheng JE, Califf RM, Harrington RA. Randomized <u>COM</u>parison of <u>Platelet Inhibition with Abciximab, TiRofiban, and Eptifibatide During Percutaneous Coronary Intervention in Acute Coronary Syndromes: The COMPARE Trial. Comparison of Measurements of Plate Aggregation with Aggrastat, ReoPro, and Eptifibatide. *Circulation* 2002; 106(12): 1470-6.</u>

- 40. Behar JV, Nelson RC, Zidar JP, DeLong DD, Smith TP. Thin-Section Multidetector CT Angiography of Renal Artery Stents. AJR Am J Roentgenol 2002;178(5): 1155-9
- 41. Berger PB, Mahaffey KM, Meier SJ, Buller CE, Batchelor W, Fry ETA, Zidar JP. ATLAST Investigators. Safety and Efficacy of only Two Weeks of Ticlopidine Therapy in Patients at Increased Risk of Coronary Stent Thrombosis: Results from the ATLAST (Antiplatelet Therapy Alone versus Lovenox Plus Antiplatelet Therapy in Patients at Increased Risk of Stent Thrombosis) Trial. Am Heart J, 2002;143(5): 841-6.
- 42. Zidar JP, Whitworth H, Gonschior P, Beyer-Enke S, de la Fuente L. Resolving Existing Restenosis. J Invasive Cardiol. 2002;14(11):702-7.
- 43. Kandzari DE, Zidar JP, Behar VS. The VB-1 Catheter: A Novel Catheter for Peripheral Arterial Revascularization. Cath and Cardio Int. 2003;59(4):514-517.
- 44. Zidar JP. Angiography and Interventional Cardiology. J Am Coll Cardiol. 2003 16;42(2):381-3.
- 45. Kong DF, Eisenstein EL, Sketch MH, Zidar JP, Ryan T, Harrington RA, Newman MF, Smith PK, Mark DB, Califf RM. Economic Impact of Drug-Eluting Stents on Hospitals: a Disease-State Model. *American Heart Journal*. 2004; 147(3): 449-456
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- 2. Zidar JP, Labinaz M, Jackman JD, Stack RS: Bioabsorbable Stents. Editors: Roubin, Califf, O'Neill, Phillips, and Stack. INTERVENTIONAL CARDIOVASCULAR MEDICINE: Principles and Practice, 1993.
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- 4. Labinaz M, Zidar JP, Roubin GS, Stack RS: Choosing Coronary Guidewires and Balloon Catheters. Editors: Roubin, Califf, O'Neill, Phillips, and Stack. INTERVENTIONAL CARDIOVASCULAR MEDICINE: Principles and Practice, 1993.
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- 6. Labinaz M, Zidar JP, Brott BC, Stack RS: Biodegradable Stents. Editors: Iyer and Roubin. Coronary Artery Stenting, 1998.
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- 8. Virmani R, Carter AJ, Farb A, Laird JR, Zidar JP, Haber RH, Stack RS, Reeves T, Yoklavich M. Experimental observations with stents in canine and porcine coronary models. Editor: Sigwart. Endoluminal Stenting, Ch. 3, 1996
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- 11. Kong D, **Zidar JP**. Dissection, Abrupt Closure, and Perforation. Editors: Roubin, O'Neill, and Stack. INTERVENTIONAL CARDIOVASCULAR MEDICINE: Principles and Practice, 2nd Edition, 2002.
- 12. **Zidar JP**, Crowley JJ. The ACS Multi-Link Stent. Editors: Roubin, O'Neill, and Stack. INTERVENTIONAL CARDIOVASCULAR MEDICINE: Principles and Practice, 2nd Edition, 2002.
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- 14. Zidar JP, Greenbaum AB, Hermiller JB, Goldberg S, Safian R. Coronary Stents. Editors: Freed and Safian. MANUAL OF INTERVENTIONAL CARDIOLOGY, 3rd Edition. 2001.
- 15. Singh KP, Zidar JP. Evolving Approaches to Iliofemoral, Popliteal and Renal Artery Intervention. Science Innovation Synergy 2006 Yearbook. Chapter 7, pg. 83-94., 2006.
- Zidar FJ, Rao S. Zidar JP. Iliac Angioplasty and Stenting. Editors: King, and Yeung. INTERVENTIONAL CARDIOLOGY. Chapter 51, p.551-560., 2007.

EXHIBIT B B

AFFIDAVIT OF DR. WILLIS M. WU

- 1. Dr. Willis Wu, being first duly sworn, states as follows:
- 2. I am over the age of eighteen (18) years and am a resident of Wake County, North Carolina. I do not suffer from any mental disability or infirmity that prevents me from making this Affidavit. This Affidavit is based on my own personal knowledge.
- 3. I have practiced cardiology for years and have extensive training and experience in interventional cardiology procedures. My CV is attached to this Affidavit as Exhibit 1.
- 4. As a cardiologist with special interest in structural heart disease at UNC REX, I routinely perform a number of procedures referred to as "Structural Heart Procedures." Such procedures are referred to using terminology such as Transcatheter Aortic Valve Replacement ("TAVR") and other terms described below. I have confirmed that the current CPT codes for these Structural Heart Procedures are as follows:

TAVR Primary Procedures

33363 - TAVR Open Axillary Art

33362 - TAVR Open Femoral

33364 - TAVR Open Iliac Art

33361 - TAVR Percutaneous Fem Art

33365 - TAVR - Trans-Aortic

33366 - TAVR Transapical

TAVR Add Ons

33369 - TAVR w/ Central A&V Cannulation

33368 - TAVR w/ Open Periph A&V Pump Bypass

33367 - TAVR w/ Perc A&V Pummp Bypass

Mitral Clip procedures

33418 - Initial Clip

33419 - Add'l Clip

Watchman procedures

33340 - Percutaneous Transcatheter Closure Left Atrial Appendage

ASD/PFO

93580 - Closure ASD/PFO W/ Implant

- 5. Structural Heart Procedures: (1) are not diagnostic; and (2) do not involve interventions pertaining to the coronary blood vessels of the heart. Rather, Structural Heart Procedures are therapeutic interventions to restore, repair or reconstruct the heart muscle or heart valves, not coronary blood vessels.
- 6. At UNC REX, we have historically performed these Structural Heart Procedures on UNC REX medical equipment designated as cardiac cath equipment for certificate of need ("CON") regulatory purposes. However, Structural Heart Procedures are perfectly safe to perform on non-cardiac cath equipment.

Further affiant sayeth not.

Willis M. Wu, M.D.

STATE OF NORTH CAROLINA COUNTY OF WAKE

Notary Public

My Commission expires: NUUMPU 27, 2021 WHITE COUNTY HE IS

Exhibit

1. Curriculum Vitae of Ravish Sachar, M.D.

Willis M. Wu, M.D. 3406 Roller Mill Ct. Raleigh, NC 27607 willis.wu@unchealth.unc.edu



Education and Training:

August 2013 – Present North Carolina Heart and Vascular, Raleigh, NC

Interventional Cardiologist

July 2011 – June 2013 Cleveland Clinic, Cleveland, OH

Fellow in Interventional Cardiology

January 2009 – June 2011 Cleveland Clinic, Cleveland, OH

Chief Fellow, Department of Cardiovascular Medicine

July 2008 – June 2011 Cleveland Clinic, Cleveland, OH

Fellow in Cardiovascular Medicine

July 2007-June 2008 University of North Carolina Hospitals, Chapel Hill, NC

Chief Resident in Internal Medicine

June 2004-June 2007 University of North Carolina Hospitals, Chapel Hill, NC

Resident in Internal Medicine

August 2000-May 2004 University of Maryland School of Medicine, Baltimore, MD

Doctor of Medicine

September 1996-May 2000 Princeton University, Princeton, NJ

Bachelor of Arts in History

Awards and Achievements:

2016 Rising Star Award

UNC Rex Hospital

2013 Guest Editor of Manual of Cardiovascular Medicine, 4th edition. Philadelphia,

PA: Lippincott 2013.

*Winner of British Medical Association Medical Book Awards First Prize in

Cardiology 2013

June 2011 Razavi Award for Clinical Excellence

Cleveland Clinic

September 2007 Kaiser Permanente Excellence in Teaching Award, UNC School of Medicine

Whitehead Medical Society

University of North Carolina Hospitals

August 2000-May 2004 Inducted into Benjamin Rush Medical Society, an honor society whose

membership is based on character and achievements

University of Maryland School of Medicine

September 1996-May 2000 Member of 1998 NCAA Division I National Championship lacrosse team

Received three varsity letters in lacrosse

Princeton University

Experience:

July 2009-2013 Clinical Events Adjudicator for PRECISION (Prospective Randomized

Evaluation of Celecoxib Integrated Safely versus Ibuprofen Or Naprosyn)

Cleveland Clinic

August 2009-June 2011 Heart and Vascular Institute Documentation and Problem List Committee

Cleveland Clinic

July 2007-June 2008 Committee on Pharmacology and Therapeutics

Committee of Service Chiefs, Department of Medicine

Committee on Commitment to Caring

Pain Review Committee

Clinical Instructor for Introduction to Clinical Medicine

University of North Carolina Hospitals

July 2006-June 2008 Education Committee, Department of Medicine

University of North Carolina Hospitals

August 2000-May 2004 Coordinator of Student Hosting Program for Office of Admissions

University of Maryland School of Medicine

September 2001-May 2002 Co-Chairman of Project H.O.P.E., coordinated activities at the American

Cancer Society Hope Lodge and Ronald McDonald House

University of Maryland School of Medicine

Office of Admissions, interviewed applicants for School of Medicine

University of Maryland School of Medicine

May 2001-September 2001 Co-Chairman of Big Sibling Committee

University of Maryland School of Medicine

Research Publications: Wu W, Masri A, Popovic ZB, Smedira NG, Lytle BW, Marwick TH,

Griffin BP, Desai MY. "Long-term survival of patients with radiation heart disease undergoing cardiac surgery: a cohort study." Circulation.

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Wu W, Lincoff AM. "Pharmacotherapy During Saphenous Vein Graft

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Khurana RK, Wu WM, "The Valsalva Maneuver: A Literature Review and

Personal Observations", Autonomic Review, 2001, Volume: 5, Pages: 61-70.

Wu W, Runge M. "Sudden Cardiac Death in Athletes." In: Runge, Patterson,

Stouffer editors. Netter's Cardiology 2nd Edition. Philadelphia, PA: Saunders

Elsevier 2010.

Book Chapters:

Wu W, Kapadia S. "Risk Stratification and Post-Myocardial Infarction Therapy"

In: Griffin, Kapadia, Rimmerman editors. The Cleveland Clinic Cardiology

Board Review 2nd Edition. Philadelphia, PA: Lippincott. 2013: 627-640.

Wu W, Menon V. "Post-Myocardial Infarction Risk Stratification and Management." In: Griffin, editor. *Manual of Cardiovascular Medicine 4*th

Edition. Philadelphia, PA: Lippincott. 2013: 86-96.

"The role of percutaneous mitral repair for high risk patients" in *Percutaneous Mitral Leaflet Repair: MitraClip Therapy for Mitral Regurgitation*. In Press.

Abstracts:

Wu W, Smedira N, Hammer D, Marwick T, Lytle B, Griffin B, Desai M. "Long-term outcomes of patients with radiation heart disease undergoing cardiac surgery: Clinical and Echocardiographic predictors" Presented at ACC 2011.

Ko C, Kim S, Wu WM, Chua SC, Jr., Huang L-S, "A Quantitative Trait Locus (QTL) on Chromosome 5 is Associated with Plasma ApoB responsiveness to Fish Oil in Human ApoB Transgenic Mouse Strains", Supplement to American Journal of Human Genetics, 2001, Volume: 69, Page 525.

Fiscus L, Vavalle J, Wu W, "Implementation of a Comprehensive Procedure Curriculum for First-Year Residents," 2008 Association of Program Directors in Internal Medicine Spring Meeting.

Licensure:

Ohio Medical Board 35.098436 Expiration 10/01/2013

North Carolina Medical Board 2007-01060 Expiration 04/12/2017

Certification:

American Board of Internal Medicine

*Internal Medicine 10/2007

*Cardiovascular Medicine 11/2011 *Interventional Cardiology 11/2012

Family:

Married to Christine Wu, M.D. (Pediatrics)

Daughter Evelyn age 7 Son Wesley age 6 Son James age 3

Hobbies:

Spending time with family, exercising, playing golf, traveling