

North Carolina Department of Health and Human Services
Division of Health Service Regulation

Pat McCrory
Governor

Richard O. Brajer
Secretary DHHS

Mark Payne
Health Service Regulation

October 7, 2016

Catharine W. Cummer
3100 Tower Blvd, Suite 1300
Durham, NC 27707

Exempt from Review

Record #: 2067
Facility Name: Duke University Hospital
FID #: 943138
Project Description: Renovate four existing operating rooms designated as operating rooms 6, 7, 8 and 9
County: Durham

Dear Ms. Cummer:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency), determined that based on your letters of September 19, 2016 and September 29, 2016, the above referenced proposal is exempt from certificate of need review in accordance with G.S 131E-184(g). Therefore, you may proceed to offer, develop or establish the above referenced project without a certificate of need.

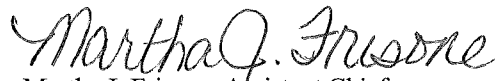
However, you need to contact the Agency's Construction and Acute and Home Care Licensure and Certification Sections to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Agency. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,


Bernetta Thorne-Williams
Project Analyst


Martha J. Frisone, Assistant Chief
Certificate of Need

cc: Construction Section, DHSR
Acute and Home Care Licensure and Certification Section, DHSR
Paige Bennett, Assistant Chief, Healthcare Planning, DHSR



Healthcare Planning and Certificate of Need Section

www.ncdhhs.gov

Telephone: 919-855-3873 • Fax: 919-715-4413

Location: Edgerton Building • 809 Ruggles Drive • Raleigh, NC 27603

Mailing Address: 2704 Mail Service Center • Raleigh, NC 27699-2704

An Equal Opportunity/ Affirmative Action Employer





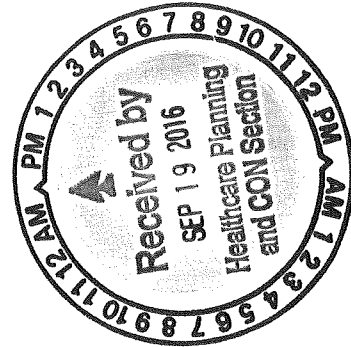
Duke University Health System

Catharine W. Cummer
Regulatory Counsel, Strategic Planning

September 19, 2016

Via Electronic Mail

Ms. Martha Frisone
Certificate of Need Section
Division of Health Service Regulation
2704 Mail Service Center
Raleigh, NC 27699-2704



Re: Exemption Notice/Duke University Hospital

Dear Ms. Frisone:

The purpose of this letter is to provide notice of a renovation project at Duke University Hospital and to request confirmation that this planning project is exempt from certificate of need review. Duke anticipates that it may spend more than \$2 million to renovate and modernize four existing operating rooms (designated as rooms 6, 7, 8, and 9) to be used primarily for pediatric surgery. This project does not include any change in bed capacity or the addition of any health service facility or new institutional health services other than that allowed in GS 131E-176(b). Accordingly, we understand that this project is exempt from certificate of need review pursuant to G.S. 131E-184(g).

Duke University Hospital is a licensed hospital and is therefore an existing health service facility. The "main campus" of Duke University Hospital, defined in N.C.G.S. 131E-176(14n) to include both "[t]he site of the main building from which a licensed health service facility provides clinical patient services and exercises financial and administrative control over the entire facility, including the buildings and grounds adjacent to that main building" and "[o]ther areas and structures that are not strictly contiguous to the main building but are located within 250 yards of the main building," includes the physically contiguous structure that includes Duke South, Duke North, the Duke Children's Hospital, the Duke Medical Pavilion, the Morris Clinic, the Duke Cancer Center, the Wadsworth Building, and the new Hudson Building, and other areas within 250 yards of that structure. The operating rooms to be renovated are in the Duke North portion of the hospital and are therefore on the main campus.

Ms. Martha Frisone
September 19, 2016

We would appreciate your confirmation that this planning project is exempt from further review. If you have questions about this information, please let me know.

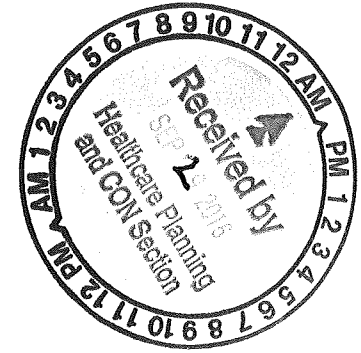
Very truly yours,

A handwritten signature in cursive script that reads "Catharine W. Cummer".

Catharine W. Cummer

Duke University Health System

Catharine W. Cummer
Regulatory Counsel, Strategic Planning



September 29, 2016

Via Electronic Mail

Ms. Bernetta Thorne-Williams
Certificate of Need Section
Division of Health Service Regulation
2704 Mail Service Center
Raleigh, NC 27699-2704

Re: Exemption Notice/Duke University Hospital

Dear Ms. Williams:

I am writing to provide additional information regarding our exemption notice dated September 19, 2016 detailing a renovation project at Duke University Hospital to upgrade four operating rooms. The current estimated project cost is \$9.265 million. Floor plans showing the proposed construction to rooms 6, 7, 8, and 9 are enclosed. These rooms are located in the Duke North bed tower.

As set forth in our earlier letter, the “main campus” of Duke University Hospital, defined in N.C.G.S. 131E-176(14n) to include both “[t]he site of the main building from which a licensed health service facility provides clinical patient services and exercises financial and administrative control over the entire facility, including the buildings and grounds adjacent to that main building” and “[o]ther areas and structures that are not strictly contiguous to the main building but are located within 250 yards of the main building,” includes the physically contiguous structure that includes Duke South, Duke North, the Duke Children’s Hospital, the Duke Medical Pavilion, the Morris Clinic, the Duke Cancer Center, the Wadsworth Building, and the new Hudson Building, and other areas within 250 yards of that structure. Duke University Hospital’s chief executive officer and administrative team (as well as the Duke University Health System chancellor) currently have their offices within the Duke South section of this facility. Duke North, where the operating rooms to be renovated are located, is the site of the majority of Duke University Hospital’s inpatient beds and shared operating rooms. A campus map labeling the parts of the hospital facility is also enclosed.

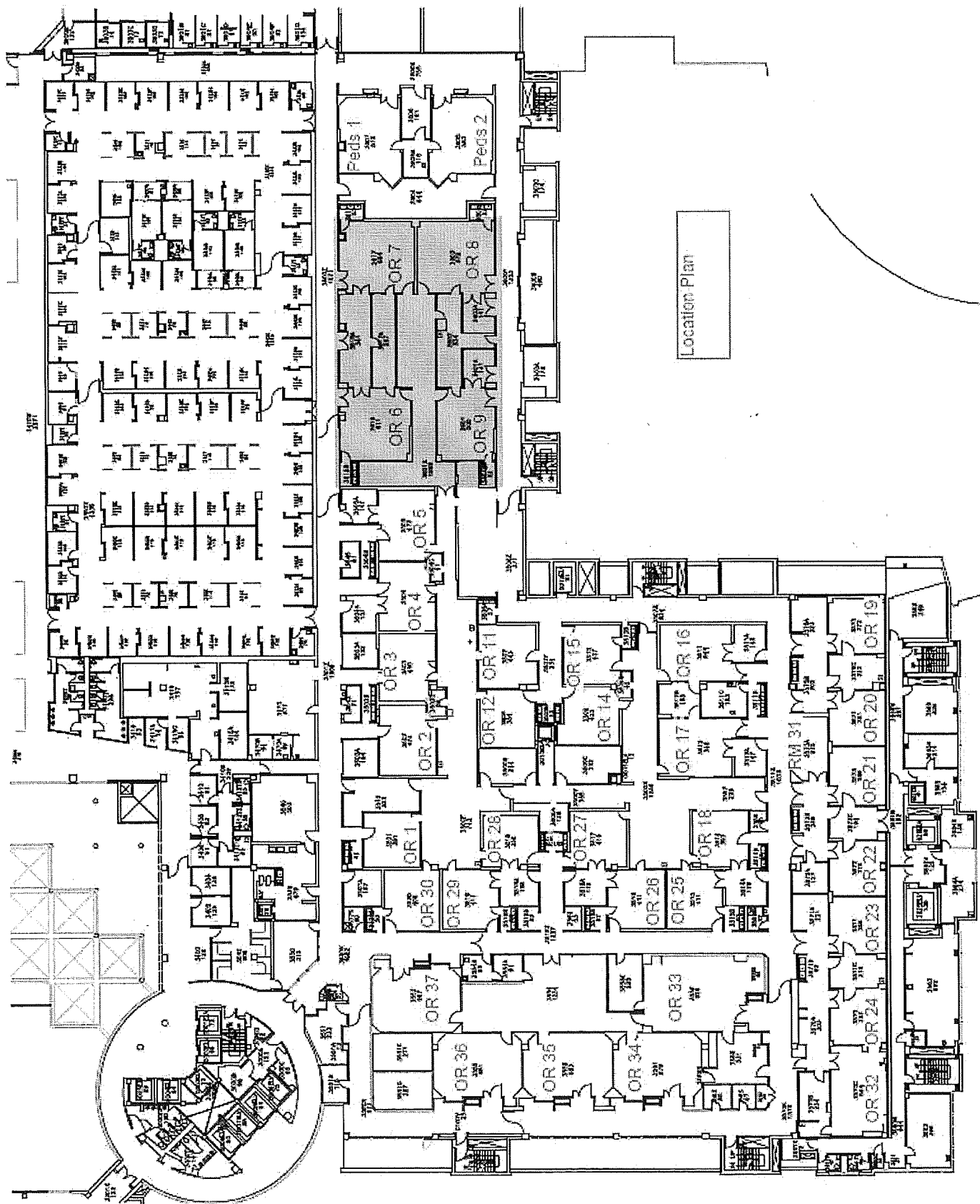
Ms. Bernetta Thorne-Williams
September 29, 2016

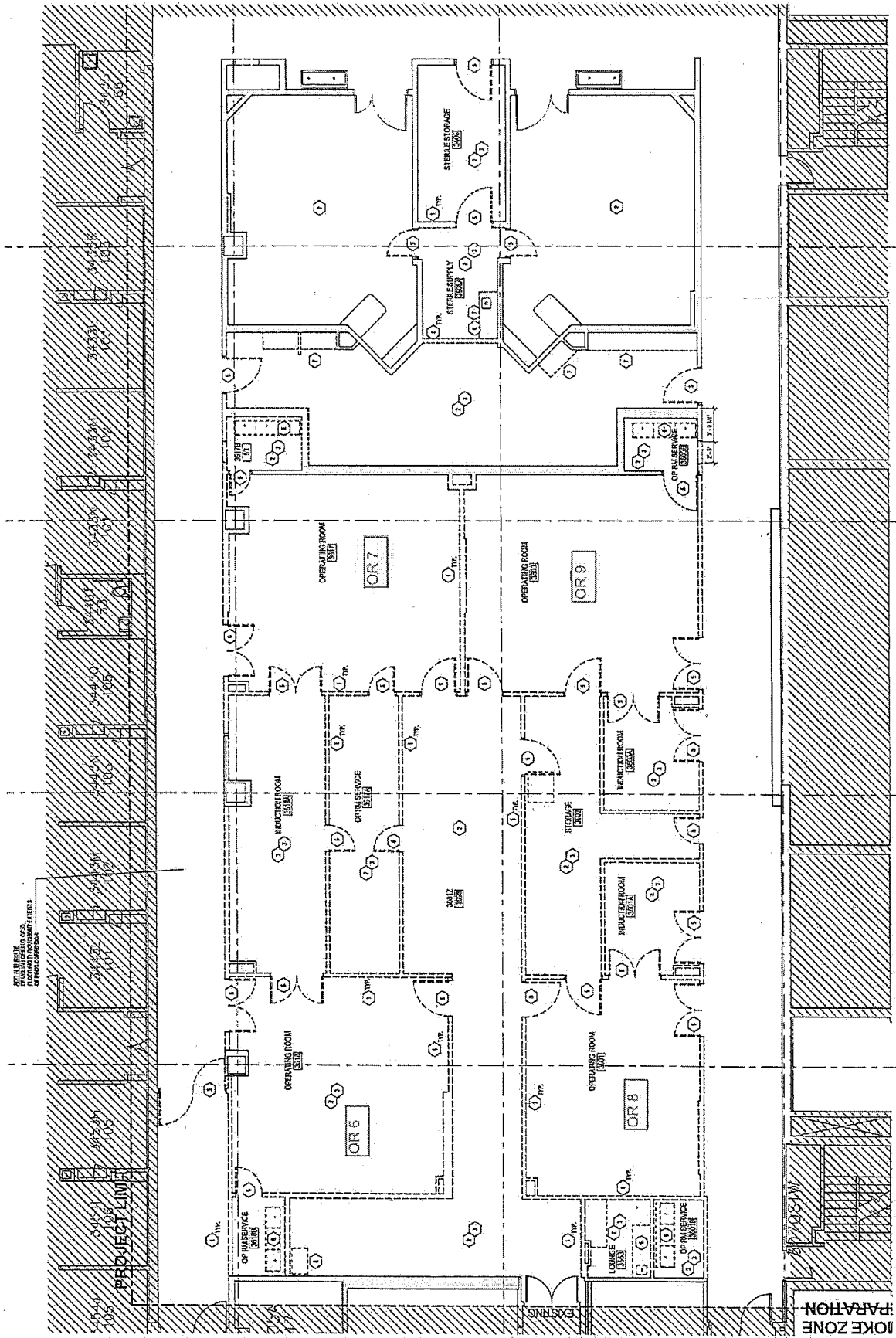
If you have further questions about this information, please let me know. Thank you again for your attention to this request.

Very truly yours,

A handwritten signature in cursive script, appearing to read "Catharine W. Cummer".

Catharine W. Cummer

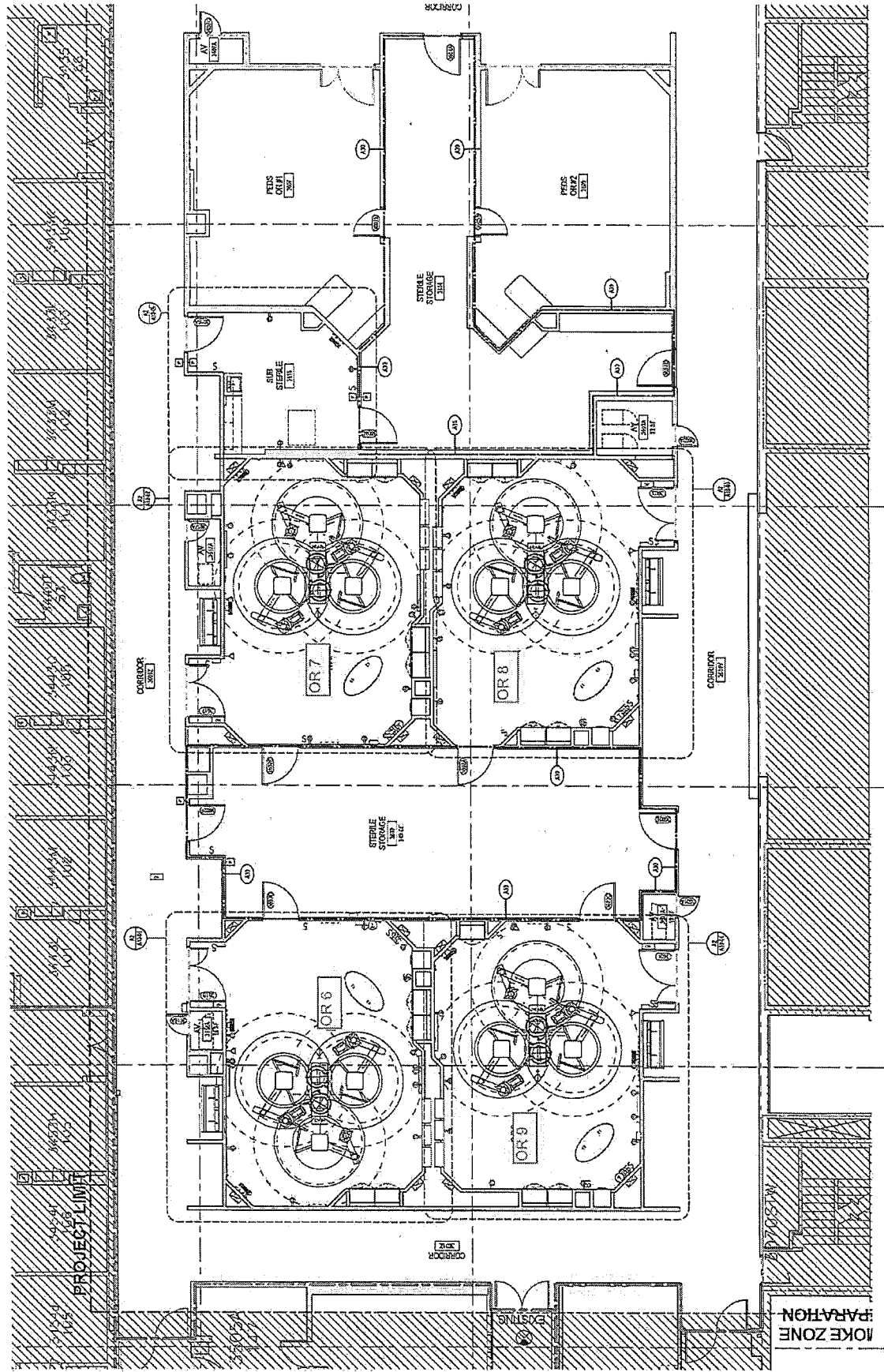




Demo Plan

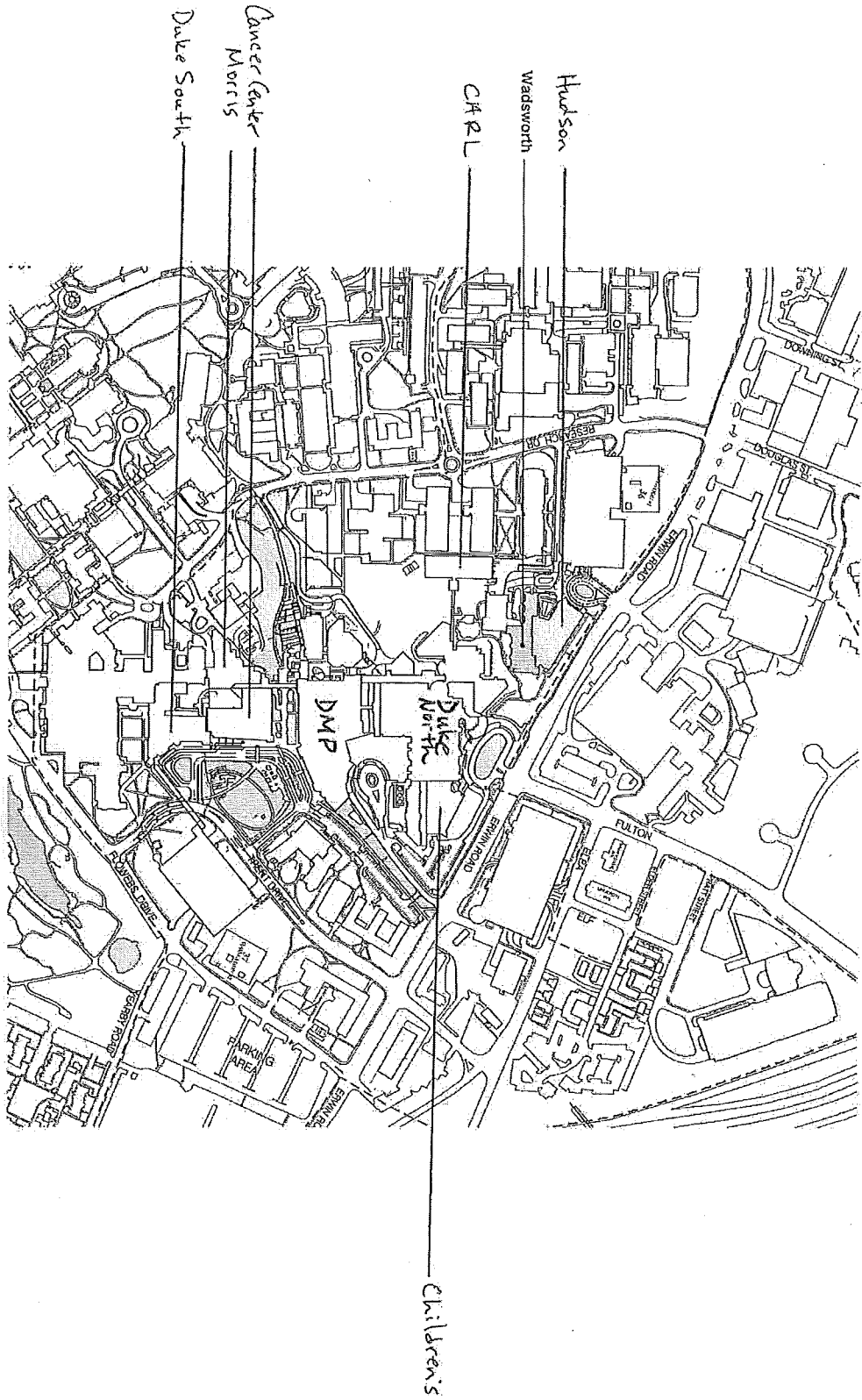
MOKE ZONE
PARATION

A5 DEMOLITION PLAN - LEVEL 03
3/16/11



MOKE ZONE PARAFON
 3/16 • 217
 FLOOR PLAN - LEVEL 03

Renovation Plan



Campus Plan

5.12.2015



EX B

Effective January 01, 2016, this license is issued to
Duke University Health System, Inc.

to operate a hospital known as
Duke University Hospital
located in Durham, North Carolina, Durham County.

This license is issued subject to the statutes of the
State of North Carolina, is not transferable and shall remain
in effect until amended by the issuing agency.

Facility ID: 943138
License Number: H0015

Bed Capacity: 957
General Acute 938, Psych 19,

Dedicated Inpatient Surgical Operating Rooms: 6
Dedicated Ambulatory Surgical Operating Rooms: 9
Shared Surgical Operating Rooms: 50
Dedicated Endoscopy Rooms: 10