

North Carolina Department of Health and Human Services Division of Health Service Regulation

Pat McCrory Governor

Richard O. Brajer Secretary DHHS

Mark Payne, Director Health Service Regulation

December 21, 2016

Elizabeth Kirkman 2709 Water Ridge Parkway Suite 200 Charlotte, NC 28217

Exempt from Review

Record #: 2121

Facility Name: Carolinas HealthCare System Kings Mountain

FID #: 943292

Business Name: The Charlotte Mecklenburg Hospital Authority

Business #: 1770

Project Description: Replace Air Handling Unit/ HVAC

County: Cleveland

Dear Ms. Kirkman:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency), determined that based on your letter of November 1, 2016, the above referenced proposal is exempt from certificate of need review in accordance with G.S 131E-184(a)(4). Therefore, you may proceed to offer, develop or establish the above referenced project without a certificate of need.

However, you need to contact the Agency's Construction and Acute and Home Care Licensure and Certification Sections to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Agency. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

If you have any questions concerning this matter, please feel free to contact this office.

Paige Bennett, Assistant Chief, Healthcare Planning, DHSR

Sincerely

Gregory F. Yakaboski Project Analyst

cc: Construction Section, DHSR

Martha J. Frisone / Assistant Chief Certificate of Need

Acute and Home Care Licensure and Certification Section, DHSR

Healthcare Planning and Certificate of Need Section

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October 31, 2016

Ms. Martha Frisone, Assistant Section Chief Healthcare Planning and Certificate of Need Section Division of Health Service Regulation N.C. Department of Health & Human Services 809 Ruggles Drive Raleigh, NC 27603

RE: The Charlotte-Mecklenburg Hospital Authority d/b/a Carolinas HealthCare System Kings Mountain – Exemption Notice for Replacement of Air Handling Unit

Dear Ms. Frisone:

This letter serves as notification of The Charlotte-Mecklenburg Hospital Authority d/b/a Carolinas HealthCare System Kings Mountain's ("CHS Kings Mountain") intent to replace an existing air handling unit that is nearing the end of its useful life. The existing air handling unit is located in the basement of the hospital and serves the first and second floors which include patient care and support areas. The replacement air handling unit will be installed on the rooftop and will continue serving the first and second floors of the hospital. Additionally, the first floor distribution area will be renovated for improved HVAC control. The estimated capital cost of this project is \$2,900,000 million.

Pursuant to N.C.G.S. 131E-184(a)(4), this project is exempt from certificate of need review.

Based upon the project as described above and pursuant to N.C.G.S 131E-184(a)(4), this letter serves as notification of our intent to proceed with this project. We would appreciate your written concurrence that this project is exempt from certificate of need review.

If you have any questions or require further information regarding this project, please contact me at 704-446-8475.

Sincerely, Elyabeth V, Kirkman

Elizabeth V. Kirkman Assistant Vice President,

CHS Management Company

cc: Brian Gwyn, President, Carolinas HealthCare System Cleveland