



North Carolina Department of Health and Human Services
Division of Health Service Regulation

Pat McCrory
Governor

Richard O. Brajer
Secretary DHHS

Drexdal Pratt
Division Director

November 10, 2015

Catharine W. Cummer
Duke University Health System
3100 Tower Blvd, Suite 1300
Durham, NC 27707

Exempt from Review

Record #: 1784
Facility Name: Duke University Hospital
FID #: 943138
Business Name: Duke University Health System
Business #: 639
Project Description: Planning project to evaluate renovation needs at Duke University Hospital
County: Durham

Dear Ms. Cummer:

The Healthcare Planning and Certificate of Need Section, Division of Health Service Regulation (Agency), determined that based on your letter of October 30, 2015, the above referenced proposal is exempt from certificate of need review in accordance with G.S 131E-184(g). Therefore, you may proceed to offer, develop or establish the above referenced project without a certificate of need.

However, you need to contact the Agency's Construction and Acute and Home Care Licensure Sections to determine if they have any requirements for development of the proposed project.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Agency. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.



Healthcare Planning and Certificate of Need Section

www.ncdhhs.gov

Telephone: 919-855-3873 • Fax: 919-715-4413

Location: Edgerton Building • 809 Ruggles Drive • Raleigh, NC 27603

Mailing Address: 2704 Mail Service Center • Raleigh, NC 27699-2704

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If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,



Bernetta Thorne-Williams
Project Analyst



Martha J. Frisone, Assistant Chief
Certificate of Need

cc: Construction Section, DHSR
Acute and Home Care Licensure and Certification Section, DHSR
Kelli Fisk, Program Assistant, Healthcare Planning

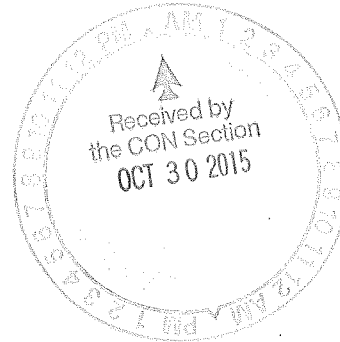
Review ID#
1784

Duke University Health System

Catharine W. Cummer
Regulatory Counsel, Strategic Planning

October 30, 2015

Via Electronic Mail



Ms. Martha Frisone
Certificate of Need Section
Division of Health Service Regulation
2704 Mail Service Center
Raleigh, NC 27699-2704

Re: Exemption Notice/Duke University Hospital

Dear Ms. Frisone:

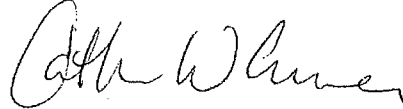
The purpose of this letter is to provide notice of a planning project to evaluate and plan renovations at Duke University Hospital and to request confirmation that this planning project is exempt from certificate of need review. Duke anticipates that it may spend more than \$2 million to develop plans to renovate, replace and/or expand portions of the existing hospital facility located on the main campus. This planning project does not relate to any anticipated change in bed capacity or the addition of any health service facility or new institutional health services other than that allowed in GS 131E-176(b). Accordingly, we understand that this project is exempt from certificate of need review pursuant to G.S. 131E-184.

The “main campus” of Duke University Hospital, defined in N.C.G.S. 131E-176(14n) to include both “[t]he site of the main building from which a licensed health service facility provides clinical patient services and exercises financial and administrative control over the entire facility, including the buildings and grounds adjacent to that main building” and “[o]ther areas and structures that are not strictly contiguous to the main building but are located within 250 yards of the main building,” includes the physically contiguous structure that includes Duke South, Duke North, the Duke Children’s Hospital, the Duke Medical Pavilion, the Morris Clinic, the Duke Cancer Center, the Wadsworth Building, and the new Hudson Building, and other areas within 250 yards of that structure. Duke’s planning project is designed to evaluate how to accommodate existing licensed beds and services on the main campus.

Ms. Martha Frisone
October 30, 2015

We will submit any required notice of any capital projects that may be developed as a result of this planning process. In the meantime, we would appreciate your confirmation that this planning project is exempt from further review. If you have questions about this information, please let me know.

Very truly yours,

A handwritten signature in black ink, appearing to read "Catharine W. Cummer". The signature is fluid and cursive, with the first name being the most prominent.

Catharine W. Cummer