



North Carolina Department of Health and Human Services  
Division of Health Service Regulation

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Division Director

August 7, 2013

Catharine W. Cummer, Regulatory Counsel, Strategic Planning  
Duke University Health System  
3100 Tower Blvd, Suite 1300  
Durham NC 27707

**Exempt from Review**

Facility: Duke University Health System d/b/a Duke University Hospital  
Project Description: Acquire an enterprise storage expansion to support data storage backup  
County: Durham  
FID #: 943138

Dear Ms. Cummer:

In response to your correspondence of July 24, 2013, the above referenced proposal is exempt from certificate of need review in accordance with N.C.G.S 131E-184(a)(3). Therefore, you may proceed to offer, develop or establish the above referenced project without a certificate of need.

It should be noted that this determination is binding only for the facts represented by you. Consequently, if changes are made in the project or in the facts provided in your correspondence referenced above, a new determination as to whether a certificate of need is required would need to be made by the Certificate of Need Section. Changes in a project include, but are not limited to: (1) increases in the capital cost; (2) acquisition of medical equipment not included in the original cost estimate; (3) modifications in the design of the project; (4) change in location; and (5) any increase in the number of square feet to be constructed.

If you have any questions concerning this matter, please feel free to contact this office.

Sincerely,

Michael J. McKillip  
Project Analyst

Craig R. Smith, Chief  
Certificate of Need Section



**Certificate of Need Section**

www.ncdhhs.gov

Telephone: 919-855-3873 • Fax: 919-733-8139

Location: Edgerton Building • 809 Ruggles Drive • Raleigh, NC 27603

Mailing Address: 2704 Mail Service Center • Raleigh, NC 27699-2704

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**Mckillip, Mike**

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**From:** Catharine Cummer [catharine.cummer@duke.edu]  
**Sent:** Wednesday, July 24, 2013 11:07 AM  
**To:** Mckillip, Mike  
**Cc:** Krista Harris  
**Subject:** DUHS IT Project -- Prior Written Notice

Mike,

I am writing to provide the CON Section with prior written notice of a Duke University Health System information technology project. Duke proposes to spend approximately \$1.3M to fund an Enterprise Storage Expansion, to support data primary storage backup and archive for clinical, financial, operational, academic and research systems. Electronic data for Duke Medicine has grown more than 80% over the last two years and most application data is centrally stored and managed. This is required to eliminate risks of data loss to the institution. The continued growth and technology refresh is required to maintain and sustain the data per application availability and data retention requirements for Duke Medicine.

Even if this were considered major medical equipment, it is our understanding that this project would be exempt from any certificate of need requirements as necessary for the acquisition of data processing equipment. Please let me know if you have any questions about this project. Thank you very much.

Yours,  
Catharine

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