

State-approved Curriculum NURSE AIDE I TRAINING PROGRAM July 2019 Module E



North Carolina Department of Health and Human Services
Division of Health Service Regulation
Health Care Personnel Education and Credentialing Section

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Module E – Law and Ethics Teaching Guide

Objectives

- Describe ethical conduct
- Describe a resident's rights in the nursing home
- Give examples of abuse, neglect, and misappropriation of property
- Explain the role of the Health Care Personnel Registry
- Explain the role of the nurse aide in securing informed consent prior to providing care to the resident

Handouts – Duplicate one copy each per student

- #1E Mistreatment of the Vulnerable Adult
- #5E North Carolina's Bill of Rights for Nursing Home Residents (Condensed Version)

Advance Preparation – In General

- Review curriculum and presentation materials
- Add examples or comments to Notes Section
- Set up computer/projector
- Establish internet connection

Advance Preparation – Teaching Tips

- #2E Web site: Review the following website: NC Division of Health Service Regulation www.ncnar.org
 - Health Care Personnel Investigations (& Registry) located by clicking on the same name on left side of screen, then clicking on Provider Information on the left side of resulting screen, scrolling down the resulting screen and pointing out the following:
 - Reportable Allegations and Types
 - How to Report Allegations (click and scroll down through the process)
- #5E Resident's Rights: Duplicate and distribute a copy of the North Carolina's Bill of Rights for Nursing Home Residents (Condensed Version) to each student. Point out the posted federal Resident Rights' document when in clinical.

Module E – Laws and Ethics Definition List

Abuse – willful infliction of injury, unreasonable confinement, intimidation or punishment with resulting physical harm, pain, or mental anguish

Assault – act of threatening to touch, or attempting to touch a person, without proper consent

Basic Human Rights – protected by the Constitution of the United States and state that a person has the right to be treated with respect, live in dignity, pursue a meaningful life and be free of fear

Battery – touching a person without consent

Civil Law – law that deals with relationships between people

Code of Ethics – rules of conduct for particular group, may differ from one facility to another, but revolves around idea that resident is valuable person who deserves ethical care

Confidentiality – not disclosing or telling information that is personal or private about a resident, except to authorized people

Consent – the right to decide what will be done to the body and who can touch the body, may be written consent, verbal consent, or implied consent

Criminal Law – offenses against the public and society

Defamation – false statement made to a third person that causes a person shame or ridicule, or ruins their reputation; written is called libel; verbal is called slander

Disclosure – making known to the public

Diversion of Drugs – unauthorized taking or use of any drug

Exploitation – Exploitation means taking advantage of a resident for personal gain using manipulation, intimidation, threats, or coercion.

False Imprisonment – unlawful restraining or restricting a person's movement

Fraud – an intentional deception or misrepresentation made by a person with knowledge that deception could result in some unauthorized benefit to self or some other person

Invasion of Privacy – violation of right to control personal information or the right to be left alone

Laws – rules made by government to help protect public

Malpractice – giving care for which you are not allowed legally to perform

Misappropriation of Property – deliberate misplacement, exploitation, or wrongful, temporary, or permanent use of a resident's belongings or money without the resident's consent

Neglect – a failure to provide goods and services to a resident that are necessary to avoid physical harm, pain, mental anguish, or emotional distress

Negligence – actions or failure to act or give proper care resulting in injury

Nondisclosure – not making known to the public

Privacy – the personal responsibility and activities done to prevent the intrusion of one person onto another

Resident's Rights – rights that have been written into Federal law (OBRA) that identify how a resident must be treated while living in a long-term care facility

Sexual abuse – non-consensual sexual contact of any type with a resident

Vulnerable Adults – adults who are at risk for abuse or mistreatment because they are not able to protect selves from harm due to mental, emotional, developmental disability; or brain damage; or changes from aging

Module E – Laws and Ethics

(S-1) Title Slide

(S-2) Objectives

- 1. Describe ethical conduct.
- 2. Describe a resident's rights in the nursing home.
- 3. Give examples of abuse, neglect, and misappropriation of property.
- 4. Explain the role of the Health Care Personnel Registry.
- 5. Explain the role of the nurse aide in securing informed consent prior to providing care to the resident.

Content	Notes
(S-3) Laws	
Are rules made by government to help protect public	
Person liable if laws not obeyed and may be fined or	
jailed	
Two types	
 Criminal – offenses against the public 	
 Civil – deal with relationships between people 	
(S-4) Legal – Key Terms (1)	
 Tort – a wrong committed against a person or property 	
 Unintentional – did not mean to cause harm 	
 Intentional – did mean to cause harm 	
Malpractice	
 Giving care for which you are not allowed legally to 	
perform	
 Example – nurse aide performing treatment only 	
allowed by nurses, such as starting a blood	
transfusion	
Negligence	
 Actions or failure to act or give proper care, resulting 	
in injury	
Examples – brakes on wheelchair not locked and regident falls, failure to provide water if permitted and	
resident falls, failure to provide water if permitted and resident requests	
(S-5) Assault and Battery	
Assault	
 Act of threatening to touch, or attempting to touch a 	
person, without proper consent (key is consent)	
Example – threatening to "tie a resident down"	
Battery	
 Touching a person without consent 	
 Example – hitting a resident 	
 Example – performing a procedure that resident 	
refused	
(S-6) Consent	
The right to decide what will be done to the body and	
who can touch the body	

	Module E – Laws and Ethics		
•	Example of written consent – signs a form (nurse aide		
	does not obtain this)		
•	Example of verbal consent – a verbal "yes" or "ok" (nurse		
	aide can obtain this)		
•	Example of implied consent – resident extends arm after		
	nurse aide asks to check blood pressure (nurse aide can		
/0	obtain this)		
(5	-7) Invasion of Privacy		
•	Violation of right to control personal information or the		
	right to be left alone		
•	Example – gossiping in the hall about a resident's		
	medical condition and others hear the conversation		
•	Example – picture taken of resident and put on a social		
10	network, without consent		
(3	-8) Legal – Key Terms (2)		
•	False imprisonment		
	 Unlawful restraining or restricting a person's movement 		
	 Example – restraining a person, without authorization 		
	or justification		
	 Example – not allowing a person to leave a facility 		
•	Defamation		
	 False statement made to a third person that causes a 		
	person shame or ridicule, or ruins the reputation		
	Written is libel		
	 Verbal is slander 		
	 Example – saying or writing that a resident is insane 		
(S	-9) Legal – Key Terms (3)		
•	Diversion of drugs – unauthorized taking or use of any		
	drug		
•	Fraud – an intentional deception or misrepresentation		
	made by a person with knowledge that deception could		
	result in some unauthorized benefit to self or some other		
	person		
(S	-10) Importance of Laws	s-4	
•	Laws tell people what they can and cannot do		
•	Laws are written to protect the public and society from		
	harm		
(S	-11) Legal – Nurse Aide's Role		
•	Understand range of function and know what he/she can		
	legally perform, while on duty		
•	Keep skills and knowledge current		
•	Keep resident's safety and well-being in mind		
•	Understand directions for use when using equipment,		
	materials, supplies		

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Module E – Laws and Ethics		
Follow long-term care facility's policy and procedures, regarding care of regident.		
regarding care of resident		
Do no harm to resident or belongings Depart questionable practices by others to the pure.		
Report questionable practices by others to the nurse Review legal key terms and understand examples of		
 Review legal key terms and understand examples of each 		
HANDOUT #1E: Mistreatment of the Vulnerable Adult		
TANDOOT #12. MISTIGUTION OF THE VALUE AGAIN		
Distribute handout to class.		
TEACHING TIP #1E: Mistreatment of the Vulnerable		
Adult		
Handout Begins		
Refer to the Mistreatment of the Vulnerable Adult Handout		
and for the next eight (8) slides point out each particular part		
in the handout depicted on each slide and go over with		
students.		
(S-12) Who are Vulnerable Adults?		
Adults who are at risk for abuse or mistreatment because		
they are not able to protect selves from harm due to		
mental, emotional, developmental disability; or brain		
damage; or changes from aging		
damage, or enangee nem aging		
(S-13) We Must Protect our Vulnerable Adults From		
 Neglect – a failure to provide goods and services 		
necessary to avoid physical harm, mental anguish, or		
mental illness		
Misappropriation of property – illegal or improper use of		
resident's money, property, assets; by another, without		
consent, for personal gain		
 Abuse – willful infliction of injury, unreasonable confinement, intimidation or punishment with resulting 		
physical harm, pain, or mental anguish		
 Exploitation – taking advantage of a resident for personal 		
gain by manipulation, intimidation, threats, or coercion.		
(S-14) Role of the Nurse Aide in Regards to Prevention		
of the Mistreatment of Vulnerable Adult		
Care of personal property		
 Handle possessions carefully 		
 Report observed theft 		
Add any new possessions to list of resident		
belongings, per facility policy		
Mark items with resident's name		
 Do not accept tips or ask for tips 		

Module E - Laws and Ethics Review key terms and understand what must be reported to nurse Recognize signs/symptoms of various types of abuse, neglect, and misappropriation of funds If abuse is observed by another health care provider. stop abuse and report immediately to nurse Report questionable practices by others to nurse **TEACHING TIP #2E: Role-play** Role-play a situation between a nurse aide and a resident where a tip is offered for a service. Ask for volunteers, one to be resident, one to be nurse aide. Resident offers a monetary tip for something the nurse aide did while caring for the resident (examples: helping with a shower, straightening the resident's room) and continues to press nurse aide to accept tip at least once after offering tip. Nurse aide refuses tip each time. After role-play, point out the following: Residents are paying for service Nurse aide is paid to provide service o Care is based on need, not race, creed, color, age or financial resources o Tact and courtesy are important when refusing tip Nurse aide continues to be helpful (S-15) Signs, Symptoms, and Examples of Neglect Dehydration, malnutrition, untreated pressure ulcers, and poor personal hygiene Unsanitary and unclean conditions, such as being dirty, having to lie in feces or urine, inadequate clothing Resident's report of neglect (S-16) Misappropriation of Property Signs and Symptoms o The sudden appearance of a staff member's name on a bank signature card The discovery of a forged version of the resident's o The sudden and unauthorized withdrawal of money using an ATM card or other means Unexplained disappearance of the resident's personal property or money from the resident's room o Resident's report of missing personal property, assets, or money Examples Cashing a resident's checks without permission

	Medule E. Lewis and Ethics		
	Module E – Laws and Ethics		
	o Forging a resident's name on documents		
	Misusing or stealing a resident's money or personal		
/0	property		
•	17) Physical Abuse		
•	Signs and Symptoms		
	 Sprains, dislocations, broken bones, skull fractures 		
	Bruises of face, upper arms, upper thighs, abdomen		
	o Fearfulness		
	 Withdrawn, paranoid behavior 		
	 Bruises, black eyes, welts, lacerations 		
	Rope marks, restraint marks		
	Open wounds, cuts, punctures		
	o Internal injuries/bleeding		
	Repeated "unexplained" injuries		
	 Sudden change in resident's behavior 		
	 Resident's report of physical abuse 		
•	Examples		
	 Hitting, beating, pushing, kicking, slapping, pinching, 		
	shaking		
	o Burning		
	 Handling or moving the resident roughly 		
	 Withholding personal or medical care 		
	 Inappropriate use of drugs and physical restraints 		
	o Force-feeding		
(S-	18) Emotional or Psychological Abuse		
•	Signs and Symptoms		
	 Emotionally upset or agitated 		
	 Extremely withdrawn, will not talk, or is non- 		
	responsive		
	 Deferent, passive, acting shamed 		
	 Depressed, voices feelings of helplessness and 		
	hopelessness		
	 Trembling, clinging, cowering, minimal eye contact 		
	 Unusual behavior (sucking, biting, rocking) that may 		
	be mistakenly attributed to dementia		
	Resident's report of emotional or psychological abuse		
•	Examples		
	o Instilling fear through intimidation		
	o Not answering call signal		
	 Mocking or making mean remarks to resident 		
	 Sexual harassment 		
	 Demands to perform demeaning acts 		
	 Verbal threats of harm, insults, threats 		
	o Humiliation		
	o Harassment		
	 Treating resident like a baby 		
	 Enforced social isolation 		

Module E - Laws and Ethics (S-19) Exploitation Signs and symptoms o Resident inconsistent with longstanding values/beliefs Wills, living wills, trusts, income flow altered with new caretaker or friend as beneficiary/executor o Begins using new bankers, physician, attorneys o Increasingly helpless, frightened, despondent, feeling only caretaker or friend can prevent further decline o Resident does not see true nature of the caretaker or friend Examples New friend or caretaker appears to have restrictive control and dominance over resident Visitors are denied access to resident New friend or caretakers makes all decisions for resident Resident mistrusts family members and long-time friends TEACHING TIP #3E: Mistreatment of the Vulnerable Adult **Handout Ends** The use of Mistreatment of the Vulnerable Adult Handout ends. (S-20) Health Care Personnel Registry (HCPR) Lists pending allegations and substantiated findings of nurse aides and other unlicensed personnel HCPR listings can lead to negative consequences for the nurse aide Substantiated finding of abuse, neglect and misappropriation of resident property will cause a finding on the HCPR Nurse aides cannot be employed in a nursing home with a substantiated finding on the HCPR **TEACHING TIP #4E: Web site** Remind the student about the Health Care Personnel Investigations' web page located at the www.ncnar.org Web site: You may want to navigate back to the Web site as a review Reportable Allegations and Types How to Report Allegations (click and scroll down through) the process)

Module E – Laws and Ethics			
(S-21) Mistreatment of Vulnerable Adult – Points to Remember			
Abuse is cause for immediate dismissal of the			
perpetrator and posted on Nurse Aide Registry, if			
substantiated			
 Not reporting abuse is aiding and abetting 			
(S-22) Ethics and Code of Ethics			
Ethics			
 Is knowledge of what is right conduct and wrong 			
conduct, or knowing right from wrong			
 Inner knowledge that assists us in making choices or 			
judgments			
Code of Ethics			
Rules of conduct for particular group			
 May differ from one facility to another, but revolves 			
around idea that resident is valuable person who			
deserves ethical care			
 Helps employees deal with issues of right and wrong 			
 Confidentiality – not disclosing or telling information that 			
is personal or private about a resident, except to			
authorized people			
 Privacy 			
 The personal responsibility and activities that prevent 			
the intrusion of one person onto another			
Example – pulling suspended curtains completely			
around a resident's bed during care provides physical			
barrier from others			
Example – lowering one's voice when talking in the holl shout a resident's condition			
hall about a resident's condition			
HANDOUT #2E: North Carolina's Bill of Rights for Nursing Home Residents (Condensed Version)			
Nuising nome Residents (Condensed Version)			
Distribute handout to class.			
Distribute Harracut to stage.			
TEACHING TIP #5E: North Carolina's Bill of Rights for			
Nursing Home Residents (Condensed Version)			
Refer to the North Carolina's Bill of Rights for Nursing Home			
Residents (Condensed Version)			
Point out rights #1, 5, 6, 8, 10, and 11 where nurse aide			
plays a distinct role			
Point out posted federal Resident Rights when in clinical			
If instructor wants to review the latest federal regulations			
for Resident Rights in nursing homes – located at			
https://www.law.cornell.edu/cfr/text/42/483.10			
(S-23) Basic Human Rights			

	Module E – Laws and Ethics				
•	Are protected by the Constitution of the United States				
•	Right to be treated with respect, live in dignity, pursue a				
	meaningful life and be free of fear				
•	Examples of infringement of these rights – addressing				
	residents as children, using demeaning nicknames for				
	residents, leaving door open during bath, threatening a				
	resident with harm				
(S	-24) Resident's Rights				
•	Defined				
	 Residents have same legal rights as all citizens of the 				
	United States plus legally protected Resident's Rights				
	 Rights that have been written into federal law (OBRA) 				
	that identify how a resident must be treated while				
	living in a long-term care facility				
	 Provides a code of ethics for health care providers 				
	 Posted in long-term care facility and given to 				
	resident/legal representative on admission				
•	Ombudsman – every resident living in a North Carolina				
	long-term care facility has access to a person assigned				
	to their district who supports or promotes their interests				
	 District assignments of NC long term care 				
	ombudsman – located at				
	https://files.nc.gov/ncdhhs/Ombudsman-				
	Contact%20List_2.pdf				
(S	-25) * Ethics – Importance (1)				
•	Knowledge of right and wrong guides sense of duty and				
	conduct of all health care providers				
•	Guides all health care providers in providing quality care				
•	Governs actions of health care providers				
•	Vital to safety and well-being of residents				
(S	-26) * Ethics – Nurse Aide's Role (2)				
•	Use good judgment				
	Keep staff and resident information confidential				
•	Document accurately				
•	Follow plan of care as outlined				
	Be honest and trustworthy at all times				
•	Report abuse or suspected abuse				
•	Understand and respect Resident's Rights				
18					
(3	-27) * Ethics – Nurse Aide's Role (3)				
•	Report all resident observations and incidents				
•	Show empathy for residents				
•	Respect all residents equally				
•	Provide high quality of resident care				
•	Protect residents' privacy				
•	Treat all residents professionally				

Module E – Laws and Ethics	
Avoid stereotyping due to residents beliefs or culture	
Respect values and beliefs that differ from your own	
Safeguard the resident's property	
(S-28) * Ethics – Points to Remember (4)	
Ethical behavior – always being accountable for actions	
When do nurse aides use ethical behavior? Always!	
Ethical behavior can vary with different cultures and	
social backgrounds	
We are all individuals who think differently	
(S-29) * Ethics – End of Life Care	
End of life decision making usually follow resident's	
individual ethical principles	
Nurse aides must respect fact that resident has right to	
make own self-determination regarding end of life	
decisions and may differ from nurse aide's own personal	
ethics	
Resident has	
Right to refuse medical intervention at end of life	
Right to request everything possible in order to	
prolong life	

Handout #1E: Mistreatment of the Vulnerable Adult

Who Are Vulnerable Adults?

Adults who are at risk for abuse or mistreatment because they are not able to protect selves from harm due to mental, emotional, developmental disability; or brain damage; or changes from aging

We Must Protect Them From...

- Abuse willful infliction of injury, unreasonable confinement, intimidation or punishment with resulting physical harm, pain, or mental anguish
- Neglect a failure to provide goods and services necessary to avoid physical harm, mental anguish, or mental illness
- Misappropriation illegal or improper use of resident's money, property, assets; by another, without consent, for personal gain
- Exploitation taking advantage of a resident for personal gain through the use of manipulation, intimidation, threats, or coercion

What is the Role of the Nurse Aide in Regards to Prevention of the Mistreatment of Vulnerable Adult?

- Personal property
 - Handle possessions carefully
 - Report observed theft
 - Add any new possessions to list of resident belongings, per facility policy
 - Mark items with resident's name
 - Do not accept tips or ask for tips
- Report questionable practices by others to nurse
- If abuse is observed by another health care provider, stop abuse and report immediately to nurse
- Review key terms and understand what must be reported to nurse
- Recognize signs/symptoms of various types of abuse, neglect, misappropriation and exploitation

Signs, Symptoms, and Examples of Neglect

- Dehydration, malnutrition, untreated pressure ulcers, and poor personal hygiene
- Unsanitary and unclean conditions, such as being dirty, having to lie in feces or urine, inadequate clothing
- Resident's report of neglect

Chart of Abuse

	Misappropriation of Property		
	Signs/Symptoms	Examples	
•	Sudden appearance of staff member's name on a bank signature card Discovery of forged version of the resident's name Sudden and unauthorized withdrawal of money using an ATM card or other means Unexplained disappearance of the resident's personal property or money from the resident's room Resident's report of missing personal property, assets, or money Physical Abus	 Cashing a resident's checks without permission Forging a resident's name on documents Misusing or stealing a resident's money or personal property 	
	Signs/Symptoms	e Examples	
•	Repeated "unexplained" injuries Internal injuries/bleeding Sprains, dislocations, broken bones, skull fractures Bruises of face, upper arms, upper thighs, abdomen Black eyes, welts, lacerations Rope marks, restraint marks Open wounds, cuts, punctures Sudden change in resident's behavior Fearfulness, withdrawn, paranoid behavior	 Hitting, beating, pushing, kicking, slapping, pinching, shaking Burning Handling or moving the resident roughly Withholding personal or medical care Inappropriate use of drugs and physical restraints Force-feeding 	
•	Resident's report of physical abuse Emotional or Psycholog	ical Abusa	
	Signs/Symptoms	Examples	
•	Emotionally upset or agitated Extremely withdrawn, will not talk, or is non- responsive Deferent, passive, acting shamed Depressed, voices feelings of helplessness and hopelessness Trembling, clinging, cowering, minimal eye contact Unusual behavior (sucking, biting, rocking) that may be mistakenly attributed to dementia Resident's report of emotional or psychological abuse	 Instilling fear through intimidation Not answering call signal Mocking or making mean remarks to resident Sexual harassment Demands to perform demeaning acts Verbal threats of harm, insults Humiliation Harassment Treating resident like a baby Enforced social isolation 	
	Exploitation		
•	Signs/Symptoms Inconsistent with longstanding values/beliefs Wills, living wills, trusts, income flow altered with new caretaker or friend as beneficiary/executor Begins using new bankers, physician, attorneys Increasingly helpless, frightened, despondent, feeling only caretaker or friend can prevent further decline Resident does not see true nature of the caretaker or friend	New friend or caretaker appears to have restrictive control and dominance over resident Visitors are denied access to resident New friend or caretakers makes all decisions for resident Resident mistrusts family members and long-time friends	

Handout #2E: North Carolina's Bill of Rights for Nursing Home Residents (Condensed Version)

EVERY RESIDENT SHALL HAVE THE FOLLOWING RIGHTS:

- (1) To be treated with consideration, respect, and full recognition of personal dignity and individuality.
- (2) To receive care, treatment, and services that are adequate and appropriate, and in compliance with relevant federal and State statutes and rules.
- (3) To receive at the time of admission and during stay, a written statement of services provided by the facility, including those required to be offered on an as needed basis, and of related charges. Charges for services not covered under Medicare and Medicaid shall be specified. The patient will sign a written receipt upon receiving the above information.
- (4) To have on file physician's orders with proposed schedule of medical treatment. Written, signed evidence of prior informed consent to participation in experimental research shall be in patient's file.
- (5) To receive respect and privacy in his medical care program. All personal and medical records are confidential.
- (6) To be free of mental and physical abuse. Except in emergencies, to be free of chemical and physical restraint unless authorized for a specified period of time by a physician according to clear and indicated medical need.
- (7) To receive from the administration or staff of the facility a reasonable response to all requests.
- (8) To associate and communicate privately and without restriction with persons and groups of the patient's choice at any reasonable hour. To send and receive mail promptly and unopened. To have access to a telephone where the patient may speak privately. To have access to writing instruments, stationary and postage.
- (9) To manage his/her own financial affairs unless other legal arrangements have been implemented. The facility may also assist the patient but is required to follow stringent guidelines.
- (10) To have privacy in visits by the patient's spouse, and if both are patients in the same facility, they shall be given the opportunity, where feasible, to share a room.
- (11) To enjoy privacy in his/her room.
- (12) To present grievances and recommend changes in policies and services personally, through other persons or in combination with others, without fear of reprisal, restraint, interference, coercion, or discrimination.
- (13) To not be required to perform services for the facility without personal consent and the written approval of the attending physician.
- (14) To retain, to secure storage for, and to use his personal clothing and possessions, where reasonable.
- (15) To not be transferred or discharged from a facility except for medical, financial, or their own or other patient's welfare, nonpayment for the stay or when mandated by Medicare or Medicaid. Any such transfer shall require at least five days' notice, unless the attending physician orders immediate transfer, which shall be documented in the patient's medical record.
- (16) To be notified within ten days after the facility's license is revoked or made provisional. The responsible party or guardian must be notified as well.